

SUMMARY OF ENFORCEMENT ACTIONS (ADMINISTRATIVE AND CIVIL)

Nov-12

BY THE CALIFORNIA DEPARTMENT OF CORPORATIONS

SUBJECT		VIOLATIONS & CHARGING SECTIONS
Casa Blanca Escrow, Inc. Erlinda Hernandez Covina, California	Order Barring Erlinda Hernandez from any Position of Employment, Management or Control of any Escrow Agent Order Revoking Escrow Agent's License November 6, 2012	Unlawful Escrow Law Activity (Financial Code Sections 17423 and 17608)
Alfaro Oil and Gas, LLC Brian Keith Alfaro Timothy Clarence Hundley Screaming Eagle 1H Well Joint Venture San Antonio, Texas	Stipulation to Final Order That Alfaro Oil and Gas, LLC, Brian Keith Alfaro, Timothy Clarence Hundley, and Screaming Eagle 1H Well Joint Venture Desist and Refrain from the Offer and Sale of Unqualified, Non-Exempt Securities and from Misrepresenting and/or Omitting Material Facts in Connection with the Offer and Sale of Securities November 8, 2012	Unlawful Offer and Sale of Securities (Corporations Code Sections 25110 and 25401)

SUBJECT	VIOLATIONS & CHARGING SECTIONS	
Corner Escrow, Inc. Tustin, California	Order to Discontinue Violations Settlement Agreement ACTION November 13, 2012	Unlawful Escrow Law Activity (Financial Code Sections 17210, 17602 title 10 § 1732.2 and 1738.1)
Properties Escrow South Gate, California	Order Revoking Escrow Agent's License November 13, 2012	Unlawful Escrow Law Activity (Financial Code Section 17608)
Northwest Escrow Services Corp. San Clemente, California	Order Revoking Escrow Agent's License November 13, 2012	Unlawful Escrow Law Activity (Financial Code Section 17608)

SUBJECT		VIOLATIONS & CHARGING SECTIONS
Summit Financial Resources, L.P. Salt Lake City, Utah	Notice of Petition for Reinstatement ACTION November 13, 2012	Unlawful Finance Lender Law Activity (Government Code Section 11522)
Cornerstone Capital Management, Inc. Laura Jean Kent Redwood City, California	Statement of Issues in Support of Notice of Intention to Issue Orders: 1. Denying Application of Cornerstone Capital Management, Inc. for an Investment Adviser Certificate; 2. Barring Laura Jean Kent from any Position of Employment, Management or Control of any Investment Adviser November 19, 2012	Unlawful Investment Adviser Activity (Corporations Code Sections 25232, 25232.1 and 25256)
Brightgreen Home Loans, Inc. Charlotte, North Carolina	Accusation November 21, 2012	Unlawful Residential Mortgage Lending Activity (Financial Code Sections 50307(a), 50129(a)(2), 50401(a), 50307(b) and title 10 § 1950.314.8(b))

SUBJECT	VIOLATIONS & CHARGING SECTIONS	
<p>A L G Capital, Inc. Crystal Lynn Palomino Michale McConville Sean McConville Garrett Holdridge Joseph Nguyen Alan Ruiz</p> <p>Mission Hills, California</p>	<p>Stipulation for Entry of Final Judgment Against Defendant Alan Ruiz</p> <p>ACTION November 21, 2012</p> <p>Stipulation for Entry of Final Judgment Against Defendant Garrett Holdridge</p> <p>November 27, 2012</p> <p>Stipulation for Entry of Final Judgment Against Defendant Joseph Nguyen</p> <p>November 27, 2012</p>	<p>Unlawful Finance Lender Law Activity</p> <p>(Financial Code Section 22161)</p>
<p>Franklin Roxas</p> <p>San Diego, California</p>	<p>Order Barring Franklin Roxas from any Position of Employment, Management or Control of any Escrow Agent</p> <p>November 27, 2012</p>	<p>Unlawful Escrow Law Activity</p> <p>(Financial Code Section 17423)</p>

SUBJECT	VIOLATIONS & CHARGING SECTIONS	
Jaqueline Burchell Anaheim, California	Order Barring Jacqueline Burchell from any Position of Employment, Management or Control of any Escrow Agent November 27, 2012	Unlawful Escrow Law Activity (Financial Code Section 17423)
Online Escrow Pro EZ-Escrow Ez-escrow.com Maleak Pierce aka Almalik Pierce Plainfield, New Jersey	Amended Desist and Refrain Order November 27, 2012	Unlawful Escrow Law Activity (Financial Code Sections 17200 and 17403)