

**SUMMARY OF ENFORCEMENT ACTIONS (ADMINISTRATIVE AND CIVIL)
BY THE CALIFORNIA DEPARTMENT OF BUSINESS OVERSIGHT
October 2017**

SUBJECT	ACTION	VIOLATIONS & CHARGING SECTIONS
<p>SWBC Mortgage Corporation San Antonio, Texas</p>	<p>Accusation Statement of Facts in Support of Order to Discontinue Violations and Notice of Intent to Make Order Final Order to Discontinue Violations October 2, 2017</p>	<p>Unlawful Residential Mortgage Lending Activity (Financial Code Sections 50204(o), 50321, 50327, 50504(o) and 50513(b))</p>
<p>Blackwood Equities, LLC Los Angeles, California</p>	<p>Desist and Refrain Order October 2, 2017</p>	<p>Unlawful Offer and Sale of Securities (Corporations Code Section 25401)</p>
<p>IMPAC Mortgage Corp. Irvine, California</p>	<p>Consent Order October 2, 2017</p>	<p>Unlawful Residential Mortgage Lending Activity (Financial Code Sections 50204(o) and 50321)</p>

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Western Pioneer Financial, Inc. Clovis, California	Order (1) Granting Petition for Reinstatement and (2) Reinstating California Finance Lender License October 3, 2017	Unlawful Finance Lenders Law Activity (Government Code Section 11522)
Total Mortgage Services, LLC Milford, Connecticut	Accusation Statement of Facts in Support of Order to Discontinue Violations and Notice of Intent to Make Order Final Order to Discontinue Violations Order to Refund Excessive Per Diem Interest Charges October 4, 2017	Unlawful Residential Mortgage Lending and Finance Lenders Law Activity (Financial Code Sections 50204(i) and (o), 50314, 50327, 50513(b), 50321, 50504 and 22714)
HOA Credit Corporation Michael Todd Chulak Agoura Hills, California	Order Denying Finance Lender and Broker License Application October 4, 2017	Unlawful Finance Lenders Law Activity (Financial Code Section 22109)

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AG-Wise, Inc. Eric Mireles Saddleback Resolution Group, LLC Ali Barkhordar Lemoore, California Irvine, California Valencia, California	Desist and Refrain Order October 9, 2017	Unlawful Offer and Sale of Securities (Corporations Code Sections 25110 and 25401)
Bannockburn Global Forex, LLC Cincinnati, Ohio	Cease and Desist Order and Order Levying Penalties October 9, 2017	Unlawful Money Transmission Law Activity (Financial Code Sections 567, 2030 and 2151.1)
AmWest Funding Corp. Brea, California	Settlement Agreement October 10, 2017	Unlawful Residential Mortgage Lending and Finance Lenders Law Activity (Financial Code Sections 50204(o), 50321, 50327, 50513(b), 50504 and 50504(o))

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Archie John Luse dba Harbor Island Wealth Management Newport Beach, California	Consent Order October 11, 2017	Unlawful Investment Adviser Activity (Corporations Code Sections 25235, 25238, 25241 and 25249)
Adrienne Johnson Torrance, California	Order Denying Mortgage Loan Originator License Application October 11, 2017	Non-Issuance of Mortgage Loan Originator License (Financial Code Section 50141)
Nancy Tran Garden Grove, California	Notice of Petition for Reinstatement October 11, 2017	Unlawful Escrow Law Activity (Government Code Section 11522)

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FastCredit Financial, Inc. Los Angeles, California	Consent Order October 12, 2017	Unlawful Finance Lenders Law Activity (Financial Code Section 22712)
Candide Group, LLC Oakland, California	Consent Order October 12, 2017	Unlawful Investment Adviser Activity (Corporations Code Section 25230)
JMR Capital Management, Inc. San Francisco, California	Statement in Support of Notice of Intention to Issue Order Levying Penalties Statement of Facts in Support of Order to Discontinue Violations October 20, 2017 Order to Discontinue Violations October 25, 2017	Unlawful Investment Adviser Activity (Corporations Code Sections 25249 and 25252)

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Lumen Solutions, Inc. Santa Ana, California	Statement of Issues in Support of Order Denying California Finance Lender License Application October 23, 2017	Unlawful Finance Lenders Law Activity (Financial Code Section 22109(a))
The Lending Partners, LLC forced to do business in California as GreenPath Lending, LLC Plano, Texas	Accusation Statement of Facts in Support of Order to Discontinue Violations and Notice of Intent to Make Order Final Order to Discontinue Violations October 23, 2017	Unlawful Residential Mortgage Lending Law Activity (Financial Code Sections 50202(a), 50204(o), 50314, 50321, title 10 § 1950.314.1 and 1950.314.6, 50513(b) and 50504(o))
TechnoVision Management, LLC Saratoga, California	Desist and Refrain Order Consent Order October 26, 2017	Unlawful Investment Adviser Activity (Corporations Code Sections 25230, 25230(a) and 25532(b))

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Joseph Francis Diez dba Alii Consulting San Francisco, California	Order Revoking Investment Adviser Certificate October 27, 2017	Unlawful Investment Adviser Activity (Corporations Code Sections 25232)
William John Mickley, Jr. Tustin, California	Accusation in Support of Order Revoking Mortgage Loan Originator License of William John Mickley, Jr. October 31, 2017	Mortgage Loan Originator License (Financial Code Sections 50141 and 50513)