

**SUMMARY OF ENFORCEMENT ACTIONS (ADMINISTRATIVE AND CIVIL)  
BY THE CALIFORNIA DEPARTMENT OF BUSINESS OVERSIGHT  
Oct-13**

| <b>SUBJECT</b>  | <b>ACTION</b>  | <b>VIOLATIONS &amp;<br/>CHARGING SECTIONS</b>  |
|---|--|--|
| Allan D. Snyder<br><br>Aliso Viejo, California  | Order Denying Petition for<br>Reinstatement<br><br>October 2, 2013 | Unlawful Escrow Law<br>Activity<br><br>(Government Code Section<br>11522)  |
| Diversity Escrow, Inc.<br>David Z. Jimenez<br>Diana Lopez<br>Christina Espitia<br><br>Granada Hills, California | Accusation<br><br>October 4, 2013                                  | Unlawful Escrow Law<br>Activity<br><br>(Financial Code Sections<br>17209, 17210, 17212.1,<br>17400, 17404, 17414, § 1726,<br>1732, 1732.2, 1738, 1738.1<br>and 1738.2) |

| <b>SUBJECT</b>   | <b>ACTION</b>                               | <b>VIOLATIONS &amp;<br/>CHARGING SECTIONS</b>  |
|--|---|--|
| UBS Financial Services, Inc.<br><br>Palo Alto, California  | Consent Order<br><br>October 4, 2013        | Unlawful Broker-Dealer<br>Activity<br><br>(Corporations Code Sections<br>25210(b), 25217, and 25241)   |
| Topline Escrow Service, Inc.<br><br>San Fernando, California                                     | Accusation<br><br>October 4, 2013           | Unlawful Escrow Law<br>Activity<br><br>(Financial Code Sections<br>17406(a), 17406(c) and<br>17600(c)) |
| William E. Hamm and Associates, Inc. dba<br>Independent Financial Partners<br><br>Tampa, Florida | Settlement Agreement<br><br>October 7, 2013 | Unlawful Investment Adviser<br>Activity<br><br>(Corporations Code Section<br>25230)                    |

| <b>SUBJECT</b>   | <b>ACTION</b>                                   | <b>VIOLATIONS &amp;<br/>CHARGING SECTIONS</b>   |
|--|---|---|
| Robyn Lee<br>James M. Clark<br>Western America Equities LLC<br><br>San Mateo, California<br>Bellevue, Washington   | Desist and Refrain Order<br><br>October 7, 2013 | Unlawful Offer and Sale of Securities<br><br>(Corporations Code Section 25110)            |
| Kenneth Crowder<br>Chris Crowder<br>David Wanzek<br>Michael Bersch<br>Concordia Financing Co., Ltd.<br><br>Rancho Cucamonga, California  | Desist and Refrain Order<br><br>October 7, 2013 | Unlawful Offer and Sale of Securities<br><br>(Corporations Code Sections 25110 and 25401) |
| Leonard Leandrew Hollins<br>Anthony Millan<br>Mack Machen aka<br>Dr. Mack Machen<br>Global Investment Trust aka<br>Global Investment Trust, LLC<br><br>Beverly Hills, California | Desist and Refrain Order<br><br>October 7, 2013 | Unlawful Offer and Sale of Securities<br><br>(Corporations Code Sections 25110 and 25401) |

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|--|--|---|
| Mambo Cash aka<br>Mambocash.com  | Desist and Refrain Order<br><br>October 7, 2013  | Unlawful Deferred Deposit<br>Transaction Law Activity<br><br>(Financial Code Section<br>23050)            |
| Efficiency Escrow, Inc.<br>Anela Miyashiro-Lindo<br><br>Carlsbad, California | Accusation<br><br>Order Setting Aside Prohibition<br>from Engaging in Escrow Processing<br>Activities<br><br>October 9, 2013 | Unlawful Escrow Law<br>Activity<br><br>(Financial Code Sections<br>17348 and 17423(c))                    |
| B&J Service Center & Check Cashing, Inc.<br><br>San Jose, California         | Amended Desist and Refrain Order;<br>and Citation<br><br>October 14, 2013  | Unlawful Deferred Deposit<br>Transaction Law Activity<br><br>(Financial Code Sections<br>23050 and 23058) |

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|---|--|--|
| Artience Capital Management, LLC<br><br>San Francisco, California   | Desist and Refrain Order<br><br>Settlement Agreement<br><br>October 14, 2013   | Unlawful Investment Adviser Activity<br><br>(Financial Code Section 25230)     |
| Robert Mark Seibert<br>Southwest Biofuels, LLC<br><br>Mesa, Arizona   | Desist and Refrain Order<br><br>October 16, 2013   | Unlawful Offer and Sale of Securities<br><br>(Corporations Code Section 25401) |
| Efficient Services Escrow Group<br>Robert C. Crenshaw<br>Daniel J. Crenshaw<br><br>Huntington Beach, California | Order Revoking Escrow Agent's License<br><br>Order Barring Robert C. Crenshaw from any Position of Employment, Management or Control of any Escrow Agent<br><br>October 17, 2013 | Unlawful Escrow Law Activity<br><br>(Financial Code Sections 17423 and 17608)  |

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|---|---|---|
| Wee Prefer Escrow, Inc.<br>Steve Yang<br>Tammi Nguyen<br><br>Orange, California           | Accusation<br><br>October 21, 2013  | Unlawful Escrow Law Activity<br><br>(Financial Code Sections 17209.3, 17415, 17423 and 17608) |
| International Lending Network, Inc.<br>Raymond Dellovo, Jr.<br><br>Medford, Massachusetts | Desist and Refrain Order<br><br>October 22, 2013  | Unlawful Finance Lender Law Activity<br><br>(Financial Code Section 22712)                    |
| Rama Capital Partners, LLC<br><br>Calabasas, California                                   | Desist and Refrain Order; and Order Levying Administrative Penalties<br><br>Settlement Agreement Between Rama Capital Partners, LLC and The California Department of Business Oversight Resolving:<br>1. Desist and Refrain Order; and<br>2. Order Levying Administrative Penalties<br><br>October 22, 2013 |   |

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|--|--|---|
| Aventis Healthcare International, Inc. dba<br>StartupHomeCare<br>Geoffrey Mark Dunning<br><br>Irvine, California   | Stipulation to Desist and Refrain<br>Order<br><br>October 22, 2013 | Unlawful Franchise Investment<br>Law Activity<br><br>(Corporations Code Section<br>31402) |
| Trang Dang<br><br>Tustin, California   | Stipulation<br><br>October 23, 2013                                | Unlawful Escrow Law<br>Activity<br><br>(Financial Code Section<br>17423)                  |
| Prestamos y Inversiones, Inc. aka<br>Oficina de Prestamos<br>Lending World, Inc.<br>Lending Experts, Inc.<br>LS Lending, Inc.<br><br>El Monte, California<br>National City, California<br>Los Angeles, California<br>North Hollywood, California<br>Pomona, California | Desist and Refrain Order<br><br>October 24, 2013                   | Unlawful Finance Lender Law<br>Activity<br><br>(Financial Code Section<br>22100)          |

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|---|---|---|
| Sandra Hockenberry aka<br>Sandy Hockenberry<br>Tom Wozny<br>Red Horizon Films, Inc.<br><br>Costa Mesa, California | Amended Desist and Refrain<br>Order<br><br>October 25, 2013   | Unlawful Offer and Sale of<br>Securities<br><br>(Corporations Code Section<br>25110)  |
| David Flores Valencia<br><br>San Jose, California   | Amended Desist and Refrain<br>Order<br><br>October 29, 2013   | Unlawful Offer and Sale of<br>Securities and Broker-Dealer<br>Activities<br><br>(Corporations Code Sections<br>25110 and 25210) |
| Gregory Kenneth DeLong, Sr. aka<br>Gegory K. DeLong<br><br>Anaheim, California                                    | Accusation to Issue Order Barring<br>from any Position of Employment,<br>Management or Control of any<br>Escrow Agent<br><br>October 30, 2013 | Unlawful Escrow Law<br>Activity<br><br>(Financial Code Sections<br>17414.1(b), 17423 and 17702)                                 |



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|--|---|--|
| WAYUP Wealth Building Group<br>Ed Wei<br><br>Newport Beach, California | Desist and Refrain Order;<br>Calim for Ancillary Relief; and<br>Order Levying Administrative<br>Penalties<br><br>October 30, 2013 | Unlawful Investment Adviser<br>Activity<br><br>(Corporations Code Sections<br>25532, 25254 and 25252)                              |
| RBC Capital Markets, LLC<br><br>San Francisco, California              | Administrative Consent Order<br><br>October 31, 2013  | Unlawful Broker-Dealer<br>Activity<br><br>(Corporations Code Sections<br>25217(a), title 10 § 260.218.4,<br>25210(b) and 25252(d)) |