

**SUMMARY OF ENFORCEMENT ACTIONS (ADMINISTRATIVE AND CIVIL)  
BY THE CALIFORNIA DEPARTMENT OF BUSINESS OVERSIGHT  
September 2018**

<b>SUBJECT</b>	<b>ACTION</b>	<b>VIOLATIONS &amp; CHARGING SECTIONS</b>
J.J. Best & Company New Bedford, Massachusetts	Settlement Agreement September 4, 2018	Unlawful California Financing Law Activity  (Financial Code Sections 22159 and 22715)
Beechum Financial Corp. Roseville, California	Settlement Agreement September 4, 2018	Unlawful California Financing Law Activity  (Financial Code Sections 22159 and 22715)
Tiara Enterprises LLC Huntington Beach, California	Settlement Agreement September 4, 2018	Unlawful California Financing Law Activity  (Financial Code Sections 22159 and 22715)

<b>SUBJECT</b>	<b>ACTION</b>	<b>VIOLATIONS &amp; CHARGING SECTIONS</b>
First Mortgage Company, L.L.C.  Oklahoma City, Oklahoma	Order Revoking Mortgage Lenders License  September 4, 2018	Unlawful Residential Mortgage Lending Activity  (Financial Code Section 50327)
USFIA, Inc. Steve Chen Leonard Stacy Johnson Weiwen (Wayne) Zhao  Arcadia, California	Amended Desist and Refrain Order  September 6, 2018	Unlawful Offer and Sale of Securities  (Corporations Code Sections 25110 and 25401(b))
Rockland Fund Financial LLC  El Segundo, California	Order Denying Petition for Reinstatement  September 6, 2018	Unlawful California Financing Law Activity  (Government Code Section 11522)
AIF Opportunity Fund, LLC Agricap Investment Fund, LLC  Monterey, California	Citation  September 6, 2018	Unlawful California Financing Law Activity  (Financial Code Section 22707.5)

<b>SUBJECT</b>	<b>ACTION</b>	<b>VIOLATIONS &amp; CHARGING SECTIONS</b>
Secure Funding Group  Chula Vista, California	Settlement Agreement  September 7, 2018	Unlawful California Financing Law Activity  (Financial Code Sections 22159 and 22715)
Eduardo Antonio Lopez  Arcadia, California	Desist and Refrain Order  September 7, 2018	Unlawful Offer and Sale of Securities  (Corporations Code Section 25404)
OC Health Enterprises, Inc. dba B&B Collective Kent George Lehman  Santa Ana, California Anaheim, California	Desist and Refrain Order  September 10, 2018	Unlawful Offer and Sale of Securities  (Corporations Code Sections 25110 and 25401)
Primary Resource Network Inc.  Hawthorne, California	Settlement Agreement  September 10, 2018	Unlawful California Financing Law Activity  (Financial Code Sections 22159 and 22715)

<b>SUBJECT</b>	<b>ACTION</b>	<b>VIOLATIONS &amp; CHARGING SECTIONS</b>
Rachel G. Kessee Irvine, California	Accusation to Bar Rachel G. Kessee from any Position of Employment, Management or Control of any Financial Lender, Broker, or Mortgage Loan Origination  September 11, 2018	Mortgage Loan Originator License  (Financial Code Sections 22169(a)(1), 22109.1(a)(3) and 22169(a)(2))
Dollar Loan Center California, LLC Las Vegas, Nevada	Consent Order  September 11, 2018	Unlawful California Financing Law Activity  (Financial Code Sections 22001 (a), 22161, 22161(a) and (b), 22303, 22304 and 22712)
Mirae Asset Wealth Management (USA) Inc. Los Angeles, California	Settlement Agreement  Final Order to Discontinue Violations  September 14, 2018	Unlawful Investment Adviser Activity  (Corporations Code Sections 25249, 25241 and title 10 § 260.241.3(a)(7) and (e)(2))

<b>SUBJECT</b>	<b>ACTION</b>	<b>VIOLATIONS &amp; CHARGING SECTIONS</b>
Paragon Portfolio Management, LLC Michael James Altobell  Roseville, California	Order Revoking the Investment Adviser Certificate of Paragon Portfolio Management, LLC  Order Barring Michael James Altobell from any Position of Employment, Management or Control of any Investment Adviser, Broker-Dealer or Commodity Adviser  September 14, 2018	Unlawful Investment Adviser Law Activity  (Corporations Code Sections 25232(e) and (h), 25232.1 and 25232.2)
Electronic Processing NW, LLC  Dundee, Oregon	Order Rescinding Order Revoking California Finance Lenders License  September 17, 2018	Unlawful California Financing Law Activity  (Financial Code Section 22715)
Jeffrey L. Johnson, Jr.  San Diego, California	Order Denying Application for Mortgage Loan Originator License  September 18, 2018	Non-Issuance of Mortgage Loan Originator License  (Financial Code Section 50141)

<b>SUBJECT</b>	<b>ACTION</b>	<b>VIOLATIONS &amp; CHARGING SECTIONS</b>
Wyatt Leasing, LLC Cashmere, Washington	Settlement Agreement September 18, 2018	Unlawful California Financing Law Activity  (Financial Code Sections 22159 and 22715)
Credit Karma, Inc. San Francisco, California	Stipulation September 21, 2018	Unlawful California Financing Law Activity  (Financial Code Sections 22100 and 22712)
Draper and Kramer Mortgage Corp. Lombard, Illinois	Settlement Agreement September 21, 2018	Unlawful Residential Mortgage Lending Activity  (Financial Code Section 50321)
German Gourmet Kitchen, LLC Christian Topperwien Beverly Hills, California	Desist and Refrain Order September 21, 2018	Unlawful Franchise Investment Law Activity  (Corporations Code Section 31110)

<b>SUBJECT</b>	<b>ACTION</b>	<b>VIOLATIONS &amp; CHARGING SECTIONS</b>
Matthew John Davis  Murrieta, California	Settlement Agreement  Order Suspending Matthew John Davis from any Position of Employment, Management or Control of any Investment Adviser, Broker-Dealer or Commodity Adviser  September 21, 2018	Unlawful Investment Adviser Activity  (Corporations Code Sections 25232.1, 25232(a) and 25232(d)(2) and (d)(3))
Fast Auto Loans, Inc. dba Fast Auto and Payday Loans  Bakersfield, California	Desist and Refrain Order  September 22, 2018	Unlawful California Financing Law Activity  (California Code of Regulations title 10 § 1451 and 1557)
Chicago Mortgage Solutions Corporation  Lincolnshire, California	Accusation to Revoke License  September 25, 2018	Unlawful Residential Mortgage Lending Activity  (Financial Code Section 50327)

<b>SUBJECT</b>	<b>ACTION</b>	<b>VIOLATIONS &amp; CHARGING SECTIONS</b>
Mortgage Enterprise, Ltd.  Carle Place, New York	Accusation to Revoke License  September 25, 2018	Unlawful Residential Mortgage Lending Activity  (Financial Code Section 50327)
Lido Isle Escrow, Inc.  Newport Beach, California	Consent Order Revoking Escrow Agent's License  September 25, 2018	Unlawful Escrow Law Activity  (Financial Code Section 17608)
Michelle Denise Robinson  Aguanga, California	Order Denying Mortgage Loan Originator License Application  September 25, 2018	Non-Issuance of Mortgage Loan Originator License  (Financial Code Section 22109.1)
Realty Escrow Corporation Roberto Melchor Frank Cancino Carol Alvarez  Commerce, California	First Amended Accusation  September 26, 2018	Unlawful Escrow Law Activity  (Financial Code Sections 17414(a)(1) and (a)(2), 17420, 17608, 17423 and title 10 § 1738 and 1738.2)



<b>SUBJECT</b>	<b>ACTION</b>	<b>VIOLATIONS &amp; CHARGING SECTIONS</b>
Trans Lease, Inc. Denver, Colorado	Consent Order September 27, 2018	Unlawful California Financing Law Activity  (Financial Code Sections 22712 and 22713(c))
Admiral Home Mortgage, L.L.C. Charlotte, North Carolina	Settlement Agreement September 27, 2018	Unlawful California Financing Law Activity  (Financial Code Sections 22159 and 22715)
Elite Escrow Group Inc. Rancho Cucamonga, California	Accusation in Support of Notice of Intent to Issue Penalty Order September 28, 2018	Unlawful Escrow Law Activity  (Financial Code Section 17213.2)