

DEPARTMENT OF FINANCIAL INSTITUTIONS
SUMMARY OF PENDING APPLICATIONS AS OF FEBRUARY 2010

Assembly Bill 1301 (Gaines) Changes Procedures for Banking Office Applications

AB 1301 (Gaines) became law on January 1, 2009. Among the changes made by the new law were to:

- Reclassify banking offices as head office, branch office and facility;
- Eliminate the place of business and extension of banking office categories;
- Eliminate the requirement that banks give advance notice to DFI before opening or relocating a banking office, or redesignating a head office and branch office. Consequently, *notice of banking offices that open, relocate or are redesignated on or after January 1, 2009 will only be published after the fact.*
- Eliminate the Miscellaneous Powers and Provisions chapter of the Financial Code that required banks receive approval to engage in certain activities, e.g., FC 752, FC 772, etc.

<u>APPLICATION TYPE</u>	<u>PAGE NO.</u>
BANK APPLICATION	
NEW BANK	1
CONVERSION TO STATE CHARTER	1
ACQUISITION OF CONTROL	1
MERGER	2
NEW BRANCH	2
NEW FACILITY	3
HEAD OFFICE RELOCATION	4
BRANCH OFFICE RELOCATION	4
DISCONTINUANCE OF BRANCH OFFICE	5
DISCONTINUANCE OF FACILITY	7
CHANGE OF NAME	7
INDUSTRIAL BANK APPLICATION	
CONVERSION TO STATE CHARTER	8
ACQUISITION OF CONTROL	8
PURCHASE OF PARTIAL BUSINESS UNIT	8
NEW BRANCH	8
DISCONTINUANCE OF BRANCH	8
PREMIUM FINANCE COMPANY APPLICATION	
NEW PREMIUM FINANCE COMPANY	9
ACQUISITION OF CONTROL	10
HEAD OFFICE RELOCATION	11
NEW BRANCH	11
FOREIGN (OTHER NATION) BANK APPLICATION	
NEW OFFICE	11
DISCONTINUANCE	12
RELOCATION	12
CHANGE OF NAME	12
FOREIGN (OTHER STATE) BANK APPLICATION	
NEW FACILITY	12
CREDIT UNION APPLICATION	
MERGER	13
TRANSMITTER OF MONEY ABROAD APPLICATION	
NEW TRANSMITTER	13
ACQUISITION OF CONTROL	14
VOLUNTARY SURRENDER OF LICENSE	14
ISSUER OF PAYMENT INSTRUMENT APPLICATION	
NEW PAYMENT INSTRUMENT	14
ISSUER OF TRAVELERS CHECKS	
VOLUNTARY SURRENDER OF LICENSE	15

BANK APPLICATION

NEW BANK

Approved: 1

FIRST REPUBLIC BANK
111 Pine Street, City and County of San Francisco
Correspondent: David F. Freeman, Jr.
Arnold & Porter LLP
555 Twelfth Street, NW
Washington, DC 20004
(202) 942-5745
Filed: 12/22/09
Approved: 2/10/09

CONVERSION TO STATE CHARTER

Filed: 1

BAY CITIES NATIONAL BANK, Redondo Beach, to convert to state-chartered commercial bank under the name of OPUS BANK
Filed: 2/9/10

ACQUISITION OF CONTROL

Filed: 5 Approved: 2

CHARLES W. REINKING, to acquire control of EXCHANGE BANK
Filed: 2/22/10
Approved: 2/25/10

JEFFREY T. SEABOLD, to acquire control of PREMIER BUSINESS BANK
Filed: 1/11/10

JENQ HORNG CHEN, to acquire control of GOLDEN COAST BANK
Filed: 2/11/10

PRIVATE BANCORP OF AMERICA, INC., to acquire control of SAN DIEGO PRIVATE BANK
Filed: 8/24/09

STEVEN A. SUGARMAN, to acquire control of PREMIER BUSINESS BANK
Filed: 1/11/10

SEQUOIA ACQUISITION, INC. to acquire control of FIRST REPUBLIC BANK
DIVISION OF BANK OF AMERICA, NATIONAL ASSOCIATION
Filed: 12/22/09
Approved: 2/10/10

BANK APPLICATION**ACQUISITION OF CONTROL (Continued)**

TZE-YUN CHEN, to acquire control of GOLDEN COAST BANK
 Filed: 1/27/10

MERGER

Approved: 1 Effected: 1

COMMUNITY BANKS OF NORTHERN CALIFORNIA, Tracy, California, to merge with
 and into COMMUNITY BANKS OF COLORADO, Greenwood Village, Colorado
 Effected: 2/28/10

POINT LOMA COMMUNITY BANK, San Diego, to merge with and into BANK OF
 ESCONDIDO, Escondido, SUNRISE BANK OF SAN DIEGO, San Diego, and SUNRISE
 COMMUNITY BANK, Palm Desert, with the resulting institution being called SUNRISE
 BANK
 Filed: 1/5/10
 Approved: 1/12/10

NEW BRANCH

Notified: 10 Withdrawn: 1

AMERICAN PRINCIPLE BANK
 2646 Santa Maria Way, Santa Maria, Santa Barbara County
 Notified: 9/3/09

BANK OF THE SIERRA
 2446 McCall Avenue, Selma, Fresno County
 Notified: 12/15/08

COMMUNITY BANK OF SAN JOAQUIN
 520 N. El Dorado Street, Stockton, San Joaquin County
 Notified: 2/7/08

EAST WEST BANK
 35 Nguyen Hue Street, Harbour View Tower, Ho Chi Minh City, Vietnam
 Notified: 9/26/05
 Extended: 9/26/07
 Extended: 9/26/08
 Extended: 9/26/09

EAST WEST BANK
 49C Taipei 101 Tower, 7 Xin Yi Road Sec. 5, Taipei, Taiwan
 Notified: 12/21/07
 Extended: 12/21/09

BANK APPLICATION**NEW BRANCH (Continued)**

FARMERS & MERCHANTS BANK OF CENTRAL CALIFORNIA
East side of Peach Avenue and north of Church Avenue, City and County of Fresno
Notified: 7/22/08

NARA BANK
485-495 Great Neck Road, Great Neck Community, Nassau County, New York
Notified: 3/10/08

PACIFIC COMMERCE BANK
301 N. Lake Avenue, Pasadena, Los Angeles County
Notified: 1/29/08
Withdrawn: 2/4/10

SILICON VALLEY BANK
Country of India
Notified: 2/17/09

SILICON VALLEY BANK
United Kingdom
Notified: 3/9/09

TORREY PINES BANK
601 West 5th Street, City and County of Los Angeles
Notified: 11/3/08

NEW FACILITY

Notified: 3 **Opened: 2**

1st CAPITAL BANK
445 Tyler Street, Monterey, Monterey County
Notified: 11/10/09
Opened: 2/25/10

CALIFORNIA BANK & TRUST
13200 Crossroads Parkway North, City of Industry, Los Angeles County
Opened: 2/8/10

CATHAY BANK
City of Beijing, China
Notified: 12/24/08

PLUMAS BANK
915 Highland Pointe Drive, Roseville, Placer County
Notified: 3/23/08

BANK APPLICATION**NEW FACILITY (Continued)**

SEACOAST COMMERCE BANK
2375 E. Camelback Road, Phoenix, Maricopa County, Arizona
Notified: 1/5/10

HEAD OFFICE RELOCATION

Notified: 1

SECURITY FIRST BANK
From 3720 N. Blackstone Avenue, to 663 W. Nees Avenue, City and County of Fresno
Notified: 2/17/10

BRANCH OFFICE RELOCATION

Notified: 5 Approved: 2

BANK OF HEMET
From 1497 South San Jacinto Street, to 1861 South San Jacinto, San Jacinto, Riverside
County
Filed: 10/21/09
Approved: 10/21/09

FARMERS & MERCHANTS BANK OF CENTRAL CALIFORNIA
From 530 S. Cherokee Lane, to the north side of Kettleman and east of Beckman, Lodi,
San Joaquin County
Notified: 10/27/08

FARMERS & MERCHANTS BANK OF LONG BEACH
From 501 North El Camino Real, to 621 North El Camino Real, San Clemente, Orange
County
Notified: 3/17/08

MISSION BANK
From 955 E. Lerdo Highway, to 1110 E. Lerdo Highway, Shafter, Kern County
Notified: 11/6/08

OAK VALLEY COMMUNITY BANK
From 170 Mountain Boulevard, to 307 Old Mammoth Road, Mammoth Lakes, Mono
County
Notified: 11/23/09

TRI-VALLEY BANK
From 1756 First Street, to 1987 First Street, Livermore, Alameda County
Notified: 11/19/09

BANK APPLICATION**BRANCH OFFICE RELOCATION (Continued)**

UNITI BANK

From 2666 W. Olympic Boulevard, to 3327 Wilshire Boulevard, City and County of Los Angeles

Filed: 12/16/08

Approved: 12/31/08

DISCONTINUANCE OF BRANCH OFFICE

Notified: 29 Discontinued: 5

1ST PACIFIC BANK OF CALIFORNIA

3500 College Boulevard, Oceanside, San Diego County

Notified: 1/15/10

AMERICAN SECURITY BANK

13792 Bear Valley Road, Victorville, San Bernardino County

Notified: 8/26/09

BANK OF RIO VISTA

202 Second Street, Isleton, Sacramento County

Notified: 1/21/10

BANK OF THE ORIENT

9100 Alcosta Boulevard, San Ramon, Contra Costa County

Notified: 2/11/10

Discontinued: 2/24/10

BANK OF THE WEST

18900 Willamette Drive, West Linn, Clackamas County, Oregon

Notified: 3/18/08

BANK OF THE WEST

2020 N. Pacific Avenue, Santa Cruz, Santa Cruz County

Notified: 1/20/10

Discontinued: 2/12/10

CHINATRUST BANK (USA)

- 366 Madison Avenue, New York, New York County, New York
- 315 S. Diamond Bar Boulevard, Diamond Bar, Los Angeles County
- 200 State Route 10, East Hanover, Morris County, New Jersey
- 46801 Warm Springs Boulevard, Fremont, Alameda County

Notified: 2/25/10

CITIZENS BUSINESS BANK

255 N. Euclid Avenue, Ontario, San Bernardino County

Notified: 11/10/09

Discontinued: 2/26/10

BANK APPLICATION**DISCONTINUANCE OF BRANCH OFFICE (Continued)****CITIZENS BUSINESS BANK**

4814 Panama Lane, Bakersfield, Kern County

Notified: 11/23/09

EAST WEST BANK

- 10123 North Wolfe Road, Cupertino, Santa Clara County
- 2311 Hawthorne Boulevard, Torrance, Los Angeles County
- 2295 Huntington Drive, San Marino, Los Angeles County
- 3550 E. Philadelphia Street, Ontario, San Bernardino County
- 1318 Stockton Street, City and County of San Francisco

Notified: 2/18/10

EXCHANGE BANK

369 S. McDowell Boulevard, Petaluma, Sonoma County

Notified: 7/29/09

FIRST NORTHERN BANK OF DIXON

1111 Alvarado Avenue, Davis, Yolo County 95616

Notified: 12/30/09

FREMONT BANK

39100 Argonaut Drive, Fremont, Alameda County

Notified: 10/14/09

FREMONT BANK

39170 Fremont Boulevard, Fremont, Alameda County

Notified: 2/11/10

IMPERIAL CAPITAL BANK

200 St. Paul Place, Baltimore, Baltimore City County, Maryland

Notified: 10/29/09

PLUMAS BANK

- 604 Main Street, Loyalton, Sierra County
- 315 Birch Street, Westwood, Lassen County

Notified: 11/4/09

PREFERRED BANK

- 524 Wilshire Boulevard, Santa Monica, Los Angeles County
- 3926 Grand Avenue, Chino, San Bernardino County

Notified: 11/10/09

Discontinued: 2/19/10

REDDING BANK OF COMMERCE

950 Tharp Road, Yuba City, Sutter County

Notified: 2/9/09

BANK APPLICATION**DISCONTINUANCE OF BRANCH OFFICE (Continued)**

RIVER CITY BANK
1837 E. Gibson Road, Woodland, Yolo County
Notified: 9/10/09

SUMMIT BANK
1149 A Street, Hayward, Alameda County
Notified: 3/3/09

SUNWEST BANK
18831 Von Karman Avenue, Irvine, Orange County
Notified: 8/4/09

WILSHIRE STATE BANK

- 3265 Wilshire Boulevard, City and County of Los Angeles
- 18253 Colima Road, Rowland Heights, Los Angeles County
- 1122 S. Maple Avenue, City and County of Los Angeles
- 2390 Crenshaw Boulevard, Torrance, Los Angeles County

Notified: 8/10/09

DISCONTINUANCE OF FACILITY

Notified: 5

CALIFORNIA UNITED BANK
1025 W. 190th Street, Gardena, Los Angeles County
Notified: 1/13/10

GATEWAY BUSINESS BANK

- 701 Palomar Airport Road, Carlsbad, San Diego County
- 101 Golf Course, Rohnert Park, Sonoma County

Notified: 1/11/10

GILMORE BANK
4035 E. Thousand Oaks Boulevard, Westlake Village, Los Angeles County
Notified: 5/11/09

MOTHER LODE BANK
150 Big Trees Road, Murphys, Calaveras County
Notified: 10/27/09

CHANGE OF NAME

Notified: 1

AMERICAN PRINCIPLE BANK, to change its name to AMERICAN PERSPECTIVE BANK
Notified: 10/27/09

INDUSTRIAL BANK APPLICATION

CONVERSION TO STATE CHARTER

Approved: 1

CIRCLE BANK, Novato, to convert to state-chartered commercial bank
Filed: 1/21/09
Approved: 2/17/09

ACQUISITION OF CONTROL

Approved: 1

FIRST AMERICAN FINANCIAL CORPORATION, to acquire control of FIRST SECURITY BUSINESS BANK
Filed: 1/27/10
Approved: 2/11/10

PURCHASE OF PARTIAL BUSINESS UNIT

Approved: 1

FINANCE AND THRIFT COMPANY, Porterville, to acquire certain assets and assume certain liabilities from the Bakersfield branch office of BALBOA THRIFT AND LOAN ASSOCIATION, Chula Vista
Filed: 7/21/09
Approved: 8/13/09

NEW BRANCH

Notified: 1 Opened: 1

COMMUNITY COMMERCE BANK
915 Douglas Avenue, Roseville, Placer County
Notified: 9/22/09

FINANCE AND THRIFT COMPANY
649 County Center Drive, Visalia, Tulare County
Notified: 10/29/09
Opened: 2/1/10

DISCONTINUANCE OF BRANCH

Notified: 4

COMMUNITY COMMERCE BANK
1500 River Park Road, City and County of Sacramento
Notified: 8/19/09

INDUSTRIAL BANK APPLICATION

DISCONTINUANCE OF BRANCH

FINANCE AND THRIFT COMPANY

- 516 East Yosemite Avenue, City and County of Madera
- 1139 7th Street, Wasco, Kern County
- 236 Highway 65 & Hermosa, Lindsay, Tulare County

Notified: 12/21/09

PREMIUM FINANCE COMPANY APPLICATION

NEW PREMIUM FINANCE COMPANY

Filed: 1

Approved: 11

ADVANCED CONSULTING ENTERPRISES, INC.

6030 Pat Avenue, Woodland Hills, Los Angeles County

Filed: 8/18/09

Approved: 9/30/09

CITRUS PREMIUM FINANCE, INC.

500 East E Street, Ontario, San Bernardino County

Filed: 11/12/09

Approved: 1/14/10

GLOBAL PREMIUM FINANCE WEST, INC.

818 West Seventh Street, City and County of Los Angeles

Filed: 6/10/09

Approved: 6/30/09

GREEN PREMIUM FINANCE CORPORATION

15455 San Fernando Mission Boulevard, Mission Hills, Los Angeles County

Filed: 1/16/10

Approved: 1/28/10

INTEGRITY PREMIUM FINANCE COMPANY, INC.

8530 La Mesa Boulevard, La Mesa, San Diego County

Filed: 9/28/08

Approved: 10/24/08

Name changed: on 10/24/08 from INTEGRITY PREMIUM FINANCE CORPORATION

PACIFIC PREMIUM FINANCING SPECIALISTS, INC.

500 East E Street, Ontario, San Bernardino County

Filed: 9/3/09

Approved: 2/4/10

PREMIUM FINANCE COMPANY APPLICATION**NEW PREMIUM FINANCE COMPANY (Continued)**

PENINSULA LIFE SOLUTIONS, INC.
2730 Gateway Oaks Drive, City and County of Sacramento
Filed: 9/1/09
Approved: 1/27/10

PREMIUM ONE FUNDING CORPORATION
8530 La Mesa Boulevard, La Mesa, San Diego County
Filed: 12/8/08
Approved: 12/24/08

SEAWAY PREMIUM FINANCE COMPANY
500 N. State College Boulevard, Orange, Orange County
Filed: 12/23/08
Approved: 1/15/09
Extended: 7/15/09

SUNDANCE PREMIUM FINANCE COMPANY
6943 Wildrose Terrace, City and County of San Diego
Filed: 8/18/09
Approved: 1/21/10

TRISTAN CAPITAL GROUP, INC.
2029 Century Park East, City and County of Los Angeles
Filed: 7/15/08
Approved: 7/30/08
Extended: 7/8/09

WESTERN PREMIUM FINANCE, INC.
6320 Canoga Avenue, Woodland Hills, Los Angeles County
Filed: 2/23/10

ACQUISITION OF CONTROL

Filed: 1

ESTATE OF CARL SEAMAN / LINDA SEAMAN, to acquire control of E.T.I. FINANCIAL CORPORATION
Filed: 6/19/09

PREMIUM FINANCE COMPANY APPLICATION

HEAD OFFICE RELOCATION

Effected: 1

XPRESS CAPITAL PREMIUM FINANCE CORP.

From 5862 Wedgewood Drive, Granite Bay, to 3709 Stone Temple Court, Rocklin,
Placer County

Approved: 2/4/10

Effected: 1/1/10

NEW BRANCH

Opened: 3

PREMIUM FINANCING SPECIALISTS OF CALIFORNIA, INC.

- 45 East River Park Place West, Fresno, Fresno County
- 101 Hudson Street, Jersey City, Hudson County, New Jersey
- 101 Winstead Drive, Cary, Wake County, North Carolina

Opened: 2/16/10

FOREIGN (OTHER NATION) BANK APPLICATION

NEW OFFICE

Filed: 1 Approved: 3

ABN AMRO BANK, N.V.

620 Newport Center Drive, Newport Beach, Orange County (Representative Office)

Filed: 1/19/07

ICICI BANK

City and County of San Francisco (Representative Office)

Filed: 9/23/08

Approved: 4/2/09

OVERSEA-CHINESE BANKING CORPORATION LIMITED

801 South Figueroa Street, City and County of Los Angeles (Upgrade from Depository
Agency to Wholesale Branch Office)

Filed: 10/26/07

Approved: 4/28/08

Extended: 4/26/09

Extended: 10/26/09

UNITED OVERSEAS BANK LIMITED

777 South Figueroa Street, City and County of Los Angeles (Upgrade from Depository
Agency to Wholesale Branch Office)

Filed: 2/10/09

Approved: 7/2/09

Extended: 1/2/10

FOREIGN (OTHER NATION) BANK APPLICATION**DISCONTINUANCE****Approved: 2**

OVERSEA-CHINESE BANKING CORPORATION LIMITED
 801 South Figueroa Street, City and County of Los Angeles (Depository Agency)
 Filed: 10/26/07
 Approved: 4/28/08
 Extended: 4/26/09
 Extended: 10/26/09

UNITED OVERSEAS BANK LIMITED
 777 South Figueroa Street, City and County of Los Angeles (Depository Agency)
 Filed: 2/10/09
 Approved: 7/2/09

RELOCATION**Effected: 1**

DBS BANK, LTD.
 From 445 South Figueroa Street, Los Angeles, to 725 South Figueroa Street, City and County of Los Angeles (Depository Agency)
 Filed: 12/31/09
 Approved: 1/4/10
 Effected: 2/16/10

CHANGE OF NAME**Effected: 1**

ABN AMRO BANK N.V., to change its name to THE ROYAL BANK OF SCOTLAND N.V.
 Notified: 7/28/09
 Effected: 2/6/10

FOREIGN (OTHER STATE) BANK APPLICATION**NEW FACILITY****Notified: 4 Opened: 1**

BANK2 (Facility – Insured Bank)
 120 Railroad Avenue, Richmond, Contra Costa
 Notified: 9/30/08

CHOICE BANK (Facility – Insured Bank)
 441 Marketview, Irvine, Orange County
 Notified: 7/2/07

FOREIGN (OTHER STATE) BANK APPLICATION**NEW FACILITY (Continued)**

GREAT WESTERN BANK (Facility – Insured Bank)
 1990 N. California Boulevard, Walnut Creek, Contra Costa County
 Notified: 12/29/08

MEADOWS BANK (Facility – Insured Bank)
 7485 Rush River Drive, Sacramento, Sacramento County
 Notified: 1/12/10
 Opened: 2/1/10

NEVADA COMMERCE BANK (Facility – Insured Bank)
 City of Folsom, County of Sacramento
 Notified: 10/16/08

CREDIT UNION APPLICATION**MERGER**

Filed: 1 Approved: 2

EL FUTURO CREDIT UNION, Porterville, California, to merge with and into SELF-HELP FEDERAL CREDIT UNION, Durham, North Carolina
 Filed: 11/16/09
 Approved: 12/3/09

LIMONEIRA FEDERAL CREDIT UNION, Santa Paula, to merge with and into CALIFORNIA AGRIBUSINESS CREDIT UNION, Buena Park
 Filed: 8/20/09
 Approved: 9/1/09

YOSEMITE CREDIT UNION, Yosemite, to merge with and into VALLEY FIRST CREDIT UNION, Modesto
 Filed: 10/12/09

TRANSMITTER OF MONEY ABROAD APPLICATION**NEW TRANSMITTER**

Filed: 3 Approved: 4

ABS-CBN INTERNATIONAL, INC.
 Filed: 7/28/08

GOLDEN MONEY TRANSFER, INC.
 Filed: 10/8/09
 Approved: 2/25/10

TRANSMITTER OF MONEY ABROAD APPLICATION

NEW TRANSMITTER (Continued)

GPS CAPITAL MARKETS, INC.
Filed: 1/4/10

HIFX, INC.
Filed: 5/6/08
Approved: 2/4/09

M-VIA, INC.
Filed: 8/3/09
Approved: 11/19/09

UNIDOS FINANCIAL SERVICES, INC.
Filed: 5/5/09
Approved: 11/19/09

WORLD TRANSFERS INC.
Filed: 7/2/09

ACQUISITION OF CONTROL

Approved: 1

MONEY TRANSFER ACQUISITION, INC., to acquire control of DOLEX DOLLAR EXPRESS, INC.
Filed: 1/5/10
Approved: 2/25/10

VOLUNTARY SURRENDER OF LICENSE

Effected: 1

ALANTE FINANCIAL CORP.
Effected: 2/3/10

ISSUER OF PAYMENT INSTRUMENT APPLICATION

NEW PAYMENT INSTRUMENT

Filed: 1

GPS CAPITAL MARKETS, INC.
Filed: 1/4/10

ISSUER OF TRAVELERS CHECKS

VOLUNTARY SURRENDER OF LICENSE

Effected: 1

TRAVELLERS CHEQUE ASSOCIATES, LTD.

Effected: 2/24/10