California Call Report Directions

Licensees on the NMLS

These directions apply to California money transmission licensees (“Licensees”) who are reporting on the NMLS for California and submitting the NMLS Money Services Business Call Report directly through the NMLS. In addition to that filing, California Licensees must file the following documents directly with the California Department of Financial Protection and Innovation (“Department”):

1. The California Call Report, in the form of the Call Report Excel workbook provided by the Department. Only the following parts of the California Call Report must be completed:
2. All of Page 1;
3. Only the “quarter ending date” at the top right-hand corner of Page 2;
4. If applicable, Schedule RC-B and Schedule RC-C on Page 3;
5. All of Page 4;
6. All of Schedule RC-E on Page 5; however, you do not need to complete lines 5 - 8 for “Money Received for Transmission – Volume”;
7. The Agent and Branch Information for California on the bottom of Page 6;
8. The “year to date” column for Schedule RI – Income Statement on page 7; and
9. All of Page 8.
10. Average Daily Transmission Liability (“ADTL”) reports for the calendar year quarter;
11. A company prepared cash flow statement for the calendar year quarter; and
12. If the Money Transmitter reports total US outstanding transmission liability of $10 million or more, a schedule of eligible securities.

These forms and the detailed Call Report instructions will be emailed to each Money Transmitter upon request and are also available at the following website: <https://dfpi.ca.gov/call-report-forms/>.

The cash flow statement and ADTL reports shall be submitted in the form of a PDF file of the original, or another file format which is compatible with the Department's software (such as Excel or Word). The California Call Report shall be submitted on the Excel workbook provided by the Department. All documents must be submitted to the Department by email to moneytransmitters.callreport@dfpi.ca.gov.

The Call Report shall be completed in accordance with the requirements detailed in the Call Report instructions available on the same web page.

Any Money Transmitter who reports total US outstanding transmission liability of $10 million or more must also file a schedule of eligible securities. The schedule of eligible securities shall indicate the amount and percentage of total eligible securities by type of eligible security as listed in Financial Code §§ 2082(b)(1) to (b)(11) and 2082(c)(1) to (c)(3). The schedule of eligible securities should also separately list all investments in securities and indicate the date of purchase, rating, rating agency, maturity date and market value of the investments. If investments exceed $100 million, also provide the average duration and the average stated maturities of the securities held in the portfolio. The schedule of eligible securities shall be filed electronically via email to moneytransmitters.callreport@dfpi.ca.gov.

Agent and licensee branches shall be reported using the NMLS Uniform Authorized Agent Reporting process. More information is available on the NMLS webpage: <https://mortgage.nationwidelicensingsystem.org/slr/common/Pages/UniformAuthorizedAgentDelegateReporting.aspx>.