

DOCQNET Project

Securities & Franchise Filings Search



CA Department of Business Oversight

Version 2.0

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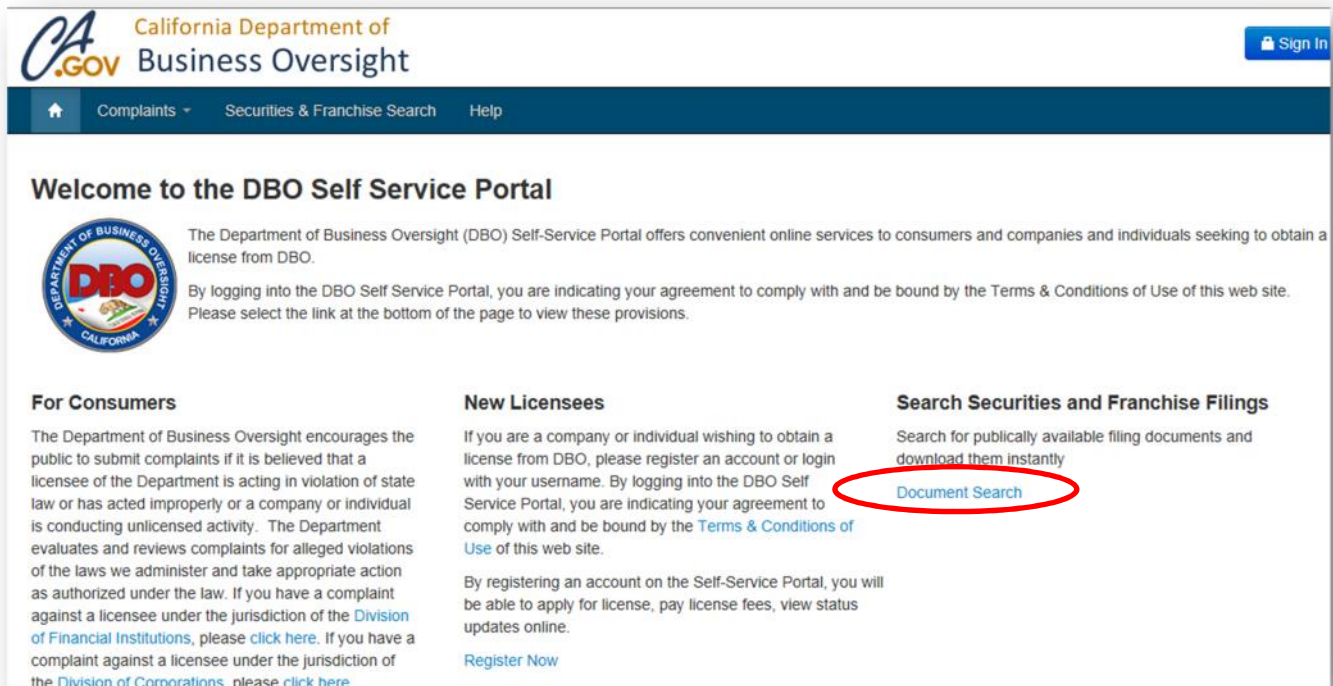
1. Overview

The DBO Self Service Portal provides the public with the ability to search a repository of all public filing documents related to securities and franchise filings that have been submitted to DBO. Each filing document is stored as a PDF file, and is available for the public to view and/or download.

2. Searching for Securities/Franchise Filings

Steps to Complete Process

1. With the Portal being open to the homepage, click on the hyperlink “Document Search” under the Securities & Franchise Filing Search description on the right side of the screen.



2. Enter the search criteria and then click the “Search” button.

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Complaints ▾ Securities & Franchise Search

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Search

Q&R Application Type: ▾

Q&R Notice Type: ▾

Filing Received From: To:

Legal Name:

Search

3. Click on the hyperlink for the Business/Organization the document is associated with.

Search

Q&R Application Type: ▾

Q&R Notice Type: ▾

Filing Received From: To:

Legal Name:

Search

Legal Name	Application/Notice Type	Filing Type	Received Date
Trinity Technology Group	Material Modification	Initial	06/17/2014
VANGUARD CAPITAL	Material Modification	Initial	06/12/2014
asghar corporation	Negotiating Permit	Initial	01/01/0001
Franchise, Inc.	Negotiating Permit	Initial	01/01/0001
ACCORD CAPITAL PARTNERS LLC	Negotiating Permit	Initial	01/01/0001
asghar corporation	Non-Issuer Notification	Initial	01/01/0001

4. Click on the hyperlink of the document to open and review it. The PDF document can be saved to your computer and/or printed from your web browser.

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Documents List

Document Title	Received Date
Application Transmittal letter	03/28/2013
Application Filing Form	03/28/2013
Consent to Service of Process	03/28/2013
Franchise Disclosure Document	03/28/2013
Order and Letter	04/12/2013

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