# Schedule T - Fiduciary and Related Services
## (State Chartered Banks)
## as of March 31, 2011

| | (Column A) Managed Assets | (Column B) Non-Managed Assets | (Column C) Number of Managed Accounts | (Column D) Number of Non-Managed Accounts | |
|---|---|---|---|---|---|
| | (000s) | (000s) | | | |
| FIDUCIARY AND RELATED ASSETS | | | | | |
| 4. Personal trust and agency accounts……. | 5,546,104 | 695,690 | 4,143 | 126 | 4. |
| 5. Employee benefit and retirement-related trust and agency accounts: | | | | | 5. |
| a. Employee benefit - defined contribution...................…….. | 111,773 | 413,904 | 97 | 189 | 5.a. |
| b. Employee benefit - defined benefit.................………... | 124,303 | 68,144 | 19 | 8 | 5.b. |
| c. Other employee benefit and retirement-related accounts………. | 469,674 | 162,994 | 1,065 | 381 | 5.c. |
| 6. Corporate trust and agency accounts. | | 2 | | 1 | 6. |
| 7. Investment management and investment advisory agency accounts...... | 2,832,894 | 25,806 | 1,965 | 16 | 7. |
| 8. Foundation and endowment trust and agency accounts | 203,651 | 69,018 | 144 | 36 | 8. |
| 9. Other fiduciary accounts ......…..……. | 22,283 | 8 | 13 | 8 | 9. |
| 10. Total fiduciary accounts (sum of items 4 through 9) ......…..……. | 9,310,682 | 1,435,566 | 7,446 | 765 | 10. |
| 11. Custody and safekeeping accounts….. | | 4,296,798 | | 1,359 | 11. |
| 12. Not applicable | | | | | |
| 13. Individual Retirement Accounts, Health Savings Accounts, and other similar accounts (included in items 5c and 11) | 387,184 | 341,036 | 950 | 267 | 13. |

## Schedule T - Fiduciary and Related Services - Continued

Memoranda

| | (Column A) Personal Trust and Agency and Investment Management Agency Accounts | (Column B) Employee Benefit and Retirement-Related Trust and Agency Accounts | (Column C) All Other Accounts | |
|---|---|---|---|---|
| 1. Managed assets held in fiduciary accounts: | (000s) | (000s) | (000s) | |
| a. Noninterest-bearing deposits…………… | 1,578 | 295 | 33 | M.1.a. |
| b. Interest-bearing deposits………….. | 160,470 | 5,492 | 4,235 | M.1.b. |
| c. U.S. Treasury and U.S. Government agency obligations………. | 390,986 | 26,439 | 16,142 | M.1.c. |
| d. State, county and municipal obligations………. | 1,100,434 | 4,159 | 3,107 | M.1.d. |
| e. Money market mutual funds………. | 599,189 | 42,817 | 13,673 | M.1.e. |
| f. Equity mutual funds………. | 806,086 | 140,730 | 62,047 | M.1.f. |
| g. Other mutual funds………. | 737,952 | 136,382 | 38,002 | |
| h. Common trust funds and collective investment funds………. | | | | |
| i. Other short-term obligations………. | 98,836 | | | |
| j. Other notes and bonds………. | 426,934 | 147,107 | 17,870 | M.1.g. |
| k. Investments in unregistered funds and private equity investments………. | 89,020 | 1 | 88 | |
| l. Other common and preferred stocks………. | 1,946,560 | 183,420 | 56,589 | M.1.h. |
| m. Real estate mortgages………. | 47,198 | 1,539 | 217 | M.1.i. |
| n. Real estate………. | 987,267 | 129 | 4,111 | M.1.j. |
| o. Miscellaneous assets………. | 985,042 | 17,246 | 9,819 | M.1.k. |
| p. Total managed assets held in fiduciary accounts (for each column, sum of Memorandum items 1.a through 1.o) | 8,377,552 | 705,756 | 225,933 | |

|  | (Column A) Managed Assets | (Column B) Number of Managed Assets |
|---|---|---|
| q. Investments of managed fiduciary accounts in advised or sponsored mutual funds………. |  |  |
|  |  |  |

Memoranda

| | (Column A) Number of Issues | (Column B) Principal Amount Outstanding (000s) | |
|---|---|---|---|
| 2. Corporate trust and agency accounts: |  |  |  |
| a. Corporate and municipal trusteeships…………………………………… |  |  | M.2.a. |
| (1) Issues reported in Memoranda 2a. that are in default….….….….… |  |  | M.2.a.1 |
| b. Transfer agent, registrar, paying agent, and other corporate agency……… | 1 |  | M.2.b. |

| | (Column A) Number of Funds | (Column B) Market Value of Fund Assets (000s) | |
|---|---|---|---|
| 3. Collective investment funds and common trust funds: |  |  |  |
| a. Domestic equity…………………………………………………… |  |  | M.3.a |
| b. International/Global equity………………………………………… |  |  | M.3.b. |
| c. Stock/Bond blend………………………………………………… |  |  | M.3.c. |
| d. Taxable bond……………………………………………………… |  |  | M.3.d. |
| e. Municipal bond …………………………………………………… |  |  | M.3.e. |
| f. Short term investments/Money market……………………………… |  |  | M.3.f. |
| g. Specialty/Other…………………………………………………… |  |  | M.3.g. |
| h. Total collective investment funds (sum of Memorandum items 3.a through 3.g)………………………………………………… |  |  | M.3.h. |

## P. Trust Business for Which Securities Are on Deposit with the State Treasurer

| | (Column A) Court Trusts (000s) | (Column B) Private Trusts (000s) | |
|---|---|---|---|
| P.1. Trust business for which securities are on deposit with the State Treasurer……………………………………… | 282,940 | 14,100,739 | P.1. |
| P.2. Less: real estate…………………………………………………… | 36,245 |  | P.2. |
| P.3. Trust business on which security is required…………………………. | 246,695 | 14,100,739 | P.3. |
| P.4. Amount of security required by Sections 1540 and 1541 of the Financial Code………………………………………… | 4,950 | 1,800 | P.4. |
| P.5. Market value of securities on deposit with the State Treasurer…………… | 9,098 | 5,846 | P.5. |
| P.6. Excess or deficiency; denote negative amount in (    )………………… | 4,148 | 4,046 | P.6. |

# Schedule T - Fiduciary and Related Services
## (State Chartered Banks)
## as of March 31, 2011

### SCHEDULE T -Fiduciary and Related Services

### INCOME

| FIDUCIARY AND RELATED SERVICES INCOME | (000s) | |
|---|---:|---|
| 14. Personal trust and agency accounts..................................................................………. | 9,333 | 14. |
| 15. Employee benefit and retirement-related trust and agency accounts: | | |
|    a. Employee benefit-defined contribution ...........................................................………. | 602 | 15.a. |
|    b. Employee benefit-defined benefit.................................................................………. | 135 | 15.b. |
|    c. Other employee benefit and retirement-related accounts............................................. | 978 | 15.c. |
| 16. Corporate trust and agency accounts .......................................................……….. | | 16. |
| 17. Investment management and investment advisory agency accounts ........................... …… | 3,769 | 17. |
| 18. Foundation and endowment trust and agency accounts………………………………… | 291 | 18. |
| 19. Other fiduciary accounts ...............................................................................………. | 25 | 19. |
| 20. Custody and safekeeping accounts ...............................................................………. | 1,159 | 20. |
| 21. Other fiduciary and related services income....................................................………. | 31 | 21. |
| 22. Total gross fiduciary and related services income (sum of items 14 through 21) ........................... | 16,322 | 22. |
| 23. Less: Expenses…………………….……………………………………………………. | 15,650 | 23. |
| 24. Less: Net losses from fiduciary and related services..................................................……. | 17 | 24. |
| 25. Plus: Intracompany income credits for fiduciary and related services ...........................……. | 1,717 | 25. |
| 26. Net fiduciary and related services income ........................................................……. | 2,372 | 26. |

| Memoranda | (Column A) Gross Losses Managed Accounts (000s) | (Column B) Gross Losses Non-Managed Accounts (000s) | (Column C) Recoveries (000s) | |
|---|---:|---:|---:|---|
| 4. Fiduciary settlements, surcharges, and other losses | | | | |
|   a. Personal trust and agency accounts…………………………. | 20 | | 6 | M.4.a. |
|   b. Employee benefit and retirement-related trust and agency accounts …………………………………………… | | | | M.4.b. |
|   c. Investment management and investment advisory……… agency accounts…………………....…………… | 3 | | | M.4.c. |
|   d. Other fiduciary accounts and related services……………… | 3 | | | M.4.d. |
|   e. Total fiduciary settlements, surcharges, and other losses (sum of Memorandum items 4.a through 4.d) (sum of columns A and B minus column C must equal Schedule T, item 21)………………………………………. | 26 | | 6 | M.4.e. |