

Schedule T - Fiduciary and Related Services

(State Chartered Banks)

as of September 30, 2011

	(Column A) Managed Assets	(Column B) Non-Managed Assets	(Column C) Number of Managed Accounts	(Column D) Number of Non-Managed Accounts	
	(000s)	(000s)			
FIDUCIARY AND RELATED ASSETS					
4. Personal trust and agency accounts.....	5,256,704	188,592	3,755	95	4.
5. Employee benefit and retirement-related trust and agency accounts:					5.
a. Employee benefit - defined contribution.....	96,508	406,168	105	184	5.a.
b. Employee benefit - defined benefit.....	112,029	64,323	20	8	5.b.
c. Other employee benefit and retirement-related accounts.....	411,993	158,243	36,639	358	5.c.
6. Corporate trust and agency accounts.				1	6.
7. Investment management and investment advisory agency accounts.....	2,592,623	40,044	1,765	16	7.
8. Foundation and endowment trust and agency accounts	234,412	68,079	160	28	8.
9. Other fiduciary accounts	5,827	125	7	6	9.
10. Total fiduciary accounts (sum of items 4 through 9)	8,710,096	925,574	42,451	696	10.
11. Custody and safekeeping accounts.....		4,015,316		1,288	11.
12. Not applicable					
13. Individual Retirement Accounts, Health Savings Accounts, and other similar accounts (included in items 5c and 11)	402,581	339,120	36,636	259	13.

Schedule T - Fiduciary and Related Services - Continued

Memoranda	(Column A)	(Column B)	(Column C)	
	Personal Trust and Agency and Investment Management Agency Accounts	Employee Benefit and Retirement-Related Trust and Agency Accounts	All Other Accounts	
	(000s)	(000s)	(000s)	
1. Managed assets held in fiduciary accounts:				
a. Noninterest-bearing deposits.....	452	-303	-66	M.1.a.
b. Interest-bearing deposits.....	96,853	5,059	3,490	M.1.b.
c. U.S. Treasury and U.S. Government agency obligations.....	383,460	26,344	27,559	M.1.c.
d. State, county and municipal obligations.....	1,312,884	3,786	1,779	M.1.d.
e. Money market mutual funds.....	604,759	53,528	23,970	M.1.e.
f. Equity mutual funds.....	594,467	103,891	42,571	M.1.f.
g. Other mutual funds.....	642,304	131,804	34,824	
h. Common trust funds and collective investment funds.....				
i. Other short-term obligations.....	88,779			
j. Other notes and bonds.....	506,419	139,353	36,577	M.1.g.
k. Investments in unregistered funds and private equity investments.....	87,702	9	163	
l. Other common and preferred stocks.....	1,727,023	151,828	61,493	M.1.h.
m. Real estate mortgages.....	23,083	708	214	M.1.i.
n. Real estate.....	770,630	143	2,635	M.1.j.
o. Miscellaneous assets.....	1,015,432	4,383	6,157	M.1.k.
p. Total managed assets held in fiduciary accounts (for each column, sum of Memorandum items 1.a through 1.o)	7,854,247	620,533	241,366	

q. Investments of managed fiduciary accounts in advised or sponsored mutual funds.....

	(Column A) Managed Assets	(Column B) Number of Managed Assets

Memoranda

2. Corporate trust and agency accounts:

	(Column A) Number of Issues	(Column B) Principal Amount Outstanding (000s)	
a. Corporate and municipal trusteeships.....			M.2.a.
(1) Issues reported in Memoranda 2a. that are in default.....			M.2.a.1
b. Transfer agent, registrar, paying agent, and other corporate agency.....	1		M.2.b.

3. Collective investment funds and common trust funds:

	(Column A) Number of Funds	(Column B) Market Value of Fund Assets (000s)	
a. Domestic equity.....			M.3.a
b. International/Global equity.....			M.3.b.
c. Stock/Bond blend.....			M.3.c.
d. Taxable bond.....			M.3.d.
e. Municipal bond.....			M.3.e.
f. Short term investments/Money market.....			M.3.f.
g. Specialty/Other.....			M.3.g.
h. Total collective investment funds (sum of Memorandum items 3.a through 3.g).....			M.3.h.

P. Trust Business for Which Securities Are on Deposit with the State Treasurer

	(Column A) Court Trusts (000s)	(Column B) Private Trusts (000s)	
P.1. Trust business for which securities are on deposit with the State Treasurer.....	263,019	11,980,468	P.1.
P.2. Less: real estate.....	14,126		P.2.
P.3. Trust business on which security is required.....	248,893	11,980,468	P.3.
P.4. Amount of security required by Sections 1540 and 1541 of the Financial Code.....	4,075	1,600	P.4.
P.5. Market value of securities on deposit with the State Treasurer.....	9,165	6,533	P.5.
P.6. Excess or deficiency; denote negative amount in ().....	5,090	4,933	P.6.

FIDUCIARY AND RELATED SERVICES INCOME		(000s)
14. Personal trust and agency accounts.....		24,372
15. Employee benefit and retirement-related trust and agency accounts:		
a. Employee benefit-defined contribution		1,659
b. Employee benefit-defined benefit.....		426
c. Other employee benefit and retirement-related accounts.....		2,665
16. Corporate trust and agency accounts		
17. Investment management and investment advisory agency accounts		10,410
18. Foundation and endowment trust and agency accounts.....		849
19. Other fiduciary accounts		77
20. Custody and safekeeping accounts		3,170
21. Other fiduciary and related services income.....		90
22. Total gross fiduciary and related services income (sum of items 14 through 21)		43,717
23. Less: Expenses.....		40,854
24. Less: Net losses from fiduciary and related services.....		209
25. Plus: Intracompany income credits for fiduciary and related services		5,370
26. Net fiduciary and related services income		8,025

Memoranda	(Column A) Gross Losses Managed Accounts (000s)	(Column B) Gross Losses Non-Managed Accounts (000s)	(Column C) Recoveries (000s)
4. Fiduciary settlements, surcharges, and other losses			
a. Personal trust and agency accounts.....	161		15
b. Employee benefit and retirement-related trust and agency accounts			
c. Investment management and investment advisory..... agency accounts.....	58		
d. Other fiduciary accounts and related services.....	58		
e. Total fiduciary settlements, surcharges, and other losses (sum of Memorandum items 4.a through 4.d) (sum of columns A and B minus column C must equal			