

Schedule T - Fiduciary and Related Services

(State Chartered Banks)

as of March 31, 2014

	(Column A) Managed Assets	(Column B) Non-Managed Assets	(Column C) Number of Managed Accounts	(Column D) Number of Non-Managed Accounts	
	(000s)	(000s)			
FIDUCIARY AND RELATED ASSETS					
4. Personal trust and agency accounts.....	6,456,673	196,689	3,907	116	4.
5. Employee benefit and retirement-related trust and agency accounts:					5.
a. Employee benefit - defined contribution.....	120,266	444,523	101	170	5.a.
b. Employee benefit - defined benefit.....	134,045	77,880	18	5	5.b.
c. Other employee benefit and retirement-related accounts.....	470,774	208,885	1,040	260	5.c.
6. Corporate trust and agency accounts.....	22,561		2	1	6.
7. Investment management and investment advisory agency accounts.....	3,160,548	13,797	1,842	14	7.
8. Foundation and endowment trust and agency accounts.....	265,084	113,786	152	27	8.
9. Other fiduciary accounts.....	49,365	4,814	12	8	9.
10. Total fiduciary accounts (sum of items 4 through 9).....	10,679,316	1,060,374	7,074	601	10.
11. Custody and safekeeping accounts.....		11,237,162		1,262	11.
12. Not applicable					
13. Individual Retirement Accounts, Health Savings Accounts, and other similar accounts (included in items 5c and 11)	458,237	105,554	1,034	219	13.

Schedule T - Fiduciary and Related Services - Continued

Memoranda	(Column A)	(Column B)	(Column C)	
	Personal Trust and Agency and Investment Management Agency Accounts	Employee Benefit and Retirement-Related Trust and Agency Accounts	All Other Accounts	
	(000s)	(000s)	(000s)	
1. Managed assets held in fiduciary accounts:				
a. Noninterest-bearing deposits.....	7,515	27	-46	M.1.a.
b. Interest-bearing deposits.....	99,988	6,381	2,244	M.1.b.
c. U.S. Treasury and U.S. Government agency obligations.....	383,719	18,161	11,102	M.1.c.
d. State, county and municipal obligations.....	1,327,053	5,730	3,257	M.1.d.
e. Money market mutual funds.....	566,422	28,557	30,024	M.1.e.
f. Equity mutual funds.....	1,069,171	222,919	61,658	M.1.f.
g. Other mutual funds.....	923,888	169,725	44,448	
h. Common trust funds and collective investment funds.....				
i. Other short-term obligations.....	59,678			
j. Other notes and bonds.....	488,760	47,188	27,001	M.1.g.
k. Investments in unregistered funds and private equity investments.....	185,932	2,693	5	
l. Other common and preferred stocks.....	2,594,609	207,246	77,916	M.1.h.
m. Real estate mortgages.....	25,843	539		M.1.i.
n. Real estate.....	907,809	8,561	25,906	M.1.j.
o. Miscellaneous assets.....	976,837	7,360	53,497	M.1.k.
p. Total managed assets held in fiduciary accounts (for each column, sum of Memorandum items 1.a through 1.o)	9,617,224	725,087	337,012	

q. Investments of managed fiduciary accounts in advised or sponsored mutual funds.....

	(Column A) Managed Assets	(Column B) Number of Managed Assets

Memoranda

2. Corporate trust and agency accounts:

	(Column A) Number of Issues	(Column B) Principal Amount Outstanding (000s)	
a. Corporate and municipal trusteeships.....			M.2.a.
(1) Issues reported in Memoranda 2a. that are in default.....			M.2.a.1
b. Transfer agent, registrar, paying agent, and other corporate agency.....	1		M.2.b.

3. Collective investment funds and common trust funds:

	(Column A) Number of Funds	(Column B) Market Value of Fund Assets (000s)	
a. Domestic equity.....			M.3.a
b. International/Global equity.....			M.3.b.
c. Stock/Bond blend.....			M.3.c.
d. Taxable bond.....			M.3.d.
e. Municipal bond.....			M.3.e.
f. Short term investments/Money market.....			M.3.f.
g. Specialty/Other.....			M.3.g.
h. Total collective investment funds (sum of Memorandum items 3.a through 3.g).....			M.3.h.

P. Trust Business for Which Securities Are on Deposit with the State Treasurer

	(Column A) Court Trusts (000s)	(Column B) Private Trusts (000s)	
P.1. Trust business for which securities are on deposit with the State Treasurer.....	339,232	24,972,307	P.1.
P.2. Less: real estate.....	41,789		P.2.
P.3. Trust business on which security is required.....	297,443	24,972,307	P.3.
P.4. Amount of security required by Sections 1540 and 1541 of the Financial Code.....	4,050	1,600	P.4.
P.5. Market value of securities on deposit with the State Treasurer.....	10,455	6,165	P.5.
P.6. Excess or deficiency; denote negative amount in ().....	6,405	4,565	P.6.

FIDUCIARY AND RELATED SERVICES INCOME

	(000s)	
14. Personal trust and agency accounts.....	10,324	14.
15. Employee benefit and retirement-related trust and agency accounts:		
a. Employee benefit-defined contribution	663	15.a.
b. Employee benefit-defined benefit.....	136	15.b.
c. Other employee benefit and retirement-related accounts.....	1,080	15.c.
16. Corporate trust and agency accounts	96	16.
17. Investment management and investment advisory agency accounts	4,257	17.
18. Foundation and endowment trust and agency accounts.....	378	18.
19. Other fiduciary accounts	13	19.
20. Custody and safekeeping accounts	1,045	20.
21. Other fiduciary and related services income.....	48	21.
22. Total gross fiduciary and related services income (sum of items 14 through 21)	18,040	22.
23. Less: Expenses.....	17,966	23.
24. Less: Net losses from fiduciary and related services.....	30	24.
25. Plus: Intracompany income credits for fiduciary and related services	2,558	25.
26. Net fiduciary and related services income	2,602	26.

Memoranda

	(Column A) Gross Losses Managed Accounts (000s)	(Column B) Gross Losses Non-Managed Accounts (000s)	(Column C) Recoveries (000s)	
4. Fiduciary settlements, surcharges, and other losses				
a. Personal trust and agency accounts.....	30			M.4.a.
b. Employee benefit and retirement-related trust and agency accounts	15		15	M.4.b.
c. Investment management and investment advisory..... agency accounts.....				M.4.c.
d. Other fiduciary accounts and related services.....				M.4.d.
e. Total fiduciary settlements, surcharges, and other losses (sum of Memorandum items 4.a through 4.d) (sum of columns A and B minus column C must equal				