

## Schedule T - Fiduciary and Related Services

(State Chartered Banks)

as of March 31, 2015

	(Column A) Managed Assets	(Column B) Non-Managed Assets	(Column C) Number of Managed Accounts	(Column D) Number of Non-Managed Accounts	
	(000s)	(000s)			
<b>FIDUCIARY AND RELATED ASSETS</b>					
4. Personal trust and agency accounts.....	7,189,141	206,217	4,010	116	4.
5. Employee benefit and retirement-related trust and agency accounts:					5.
a. Employee benefit - defined contribution.....	119,197	481,867	92	160	5.a.
b. Employee benefit - defined benefit.....	160,836	78,132	20	5	5.b.
c. Other employee benefit and retirement-related accounts.....	537,370	255,651	991	199	5.c.
6. Corporate trust and agency accounts.....	23,103	4,495	3	11	6.
7. Investment management and investment advisory agency accounts.....	3,073,861	11,609	1,742	10	7.
8. Foundation and endowment trust and agency accounts.....	369,064	129,890	173	31	8.
9. Other fiduciary accounts.....	25,600	12,660	17	5	9.
10. Total fiduciary accounts (sum of items 4 through 9).....	11,498,172	1,180,521	7,048	537	10.
11. Custody and safekeeping accounts.....		16,391,781		1,172	11.
12. Not applicable					
13. Individual Retirement Accounts, Health Savings Accounts, and other similar accounts (included in items 5c and 11)	446,232	57,197	962	135	13.

### Schedule T - Fiduciary and Related Services - Continued

Memoranda	(Column A)	(Column B)	(Column C)	
	Personal Trust and Agency and Investment Management Agency Accounts	Employee Benefit and Retirement-Related Trust and Agency Accounts	All Other Accounts	
	(000s)	(000s)	(000s)	
1. Managed assets held in fiduciary accounts:				
a. Noninterest-bearing deposits.....	6,307	1	4	M.1.a.
b. Interest-bearing deposits.....	107,567	9,471	3,914	M.1.b.
c. U.S. Treasury and U.S. Government agency obligations.....	258,174	28,631	15,720	M.1.c.
d. State, county and municipal obligations.....	1,404,085	9,396	5,874	M.1.d.
e. Money market mutual funds.....	444,126	33,763	47,225	M.1.e.
f. Equity mutual funds.....	1,310,096	242,459	77,647	M.1.f.
g. Other mutual funds.....	1,093,802	176,156	55,360	
h. Common trust funds and collective investment funds.....				
i. Other short-term obligations.....	35,696			
j. Other notes and bonds.....	478,490	59,587	38,064	M.1.g.
k. Investments in unregistered funds and private equity investments.....	846,235	732		
l. Other common and preferred stocks.....	2,582,700	244,675	104,325	M.1.h.
m. Real estate mortgages.....	40,323	8,733	5,202	M.1.i.
n. Real estate.....	845,632	1,160	31,966	M.1.j.
o. Miscellaneous assets.....	839,024	2,638	3,209	M.1.k.
p. Total managed assets held in fiduciary accounts (for each column, sum of Memorandum items 1.a through 1.o)	10,292,257	817,402	388,510	

q. Investments of managed fiduciary accounts in advised or sponsored mutual funds.....

	(Column A) Managed Assets	(Column B) Number of Managed Assets

Memoranda

2. Corporate trust and agency accounts:

	(Column A) Number of Issues	(Column B) Principal Amount Outstanding (000s)	
a. Corporate and municipal trusteeships.....			M.2.a.
(1) Issues reported in Memoranda 2a. that are in default.....			M.2.a.1
b. Transfer agent, registrar, paying agent, and other corporate agency.....			M.2.b.

3. Collective investment funds and common trust funds:

	(Column A) Number of Funds	(Column B) Market Value of Fund Assets (000s)	
a. Domestic equity.....			M.3.a
b. International/Global equity.....			M.3.b.
c. Stock/Bond blend.....			M.3.c.
d. Taxable bond.....			M.3.d.
e. Municipal bond.....			M.3.e.
f. Short term investments/Money market.....			M.3.f.
g. Specialty/Other.....			M.3.g.
h. Total collective investment funds (sum of Memorandum items 3.a through 3.g).....			M.3.h.

**P. Trust Business for Which Securities Are on Deposit with the State Treasurer**

	(Column A) Court Trusts (000s)	(Column B) Private Trusts (000s)	
P.1. Trust business for which securities are on deposit with the State Treasurer.....	360,716	27,671,832	P.1.
P.2. Less: real estate.....	44,173		P.2.
P.3. Trust business on which security is required.....	316,543	27,671,832	P.3.
P.4. Amount of security required by Sections 1540 and 1541 of the Financial Code.....	4,150	2,466	P.4.
P.5. Market value of securities on deposit with the State Treasurer.....	9,464	5,427	P.5.
P.6. Excess or deficiency; denote negative amount in ( ).....	5,314	2,961	P.6.

FIDUCIARY AND RELATED SERVICES INCOME

	(000s)	
14. Personal trust and agency accounts.....	9,619	14.
15. Employee benefit and retirement-related trust and agency accounts:		
a. Employee benefit-defined contribution .....	718	15.a.
b. Employee benefit-defined benefit.....	169	15.b.
c. Other employee benefit and retirement-related accounts.....	1,080	15.c.
16. Corporate trust and agency accounts .....	22	16.
17. Investment management and investment advisory agency accounts .....	4,308	17.
18. Foundation and endowment trust and agency accounts.....	436	18.
19. Other fiduciary accounts .....	37	19.
20. Custody and safekeeping accounts .....	1,127	20.
21. Other fiduciary and related services income.....	41	21.
22. Total gross fiduciary and related services income (sum of items 14 through 21) .....	17,557	22.
23. Less: Expenses.....	16,675	23.
24. Less: Net losses from fiduciary and related services.....	48	24.
25. Plus: Intracompany income credits for fiduciary and related services .....	1,900	25.
26. Net fiduciary and related services income .....	2,734	26.

Memoranda

	(Column A) Gross Losses Managed Accounts (000s)	(Column B) Gross Losses Non-Managed Accounts (000s)	(Column C) Recoveries (000s)	
4. Fiduciary settlements, surcharges, and other losses				
a. Personal trust and agency accounts.....	23	23		M.4.a.
b. Employee benefit and retirement-related trust and agency accounts .....				M.4.b.
c. Investment management and investment advisory..... agency accounts.....	3			M.4.c.
d. Other fiduciary accounts and related services.....				M.4.d.
e. Total fiduciary settlements, surcharges, and other losses (sum of Memorandum items 4.a through 4.d) (sum of columns A and B minus column C must equal				