

Schedule T - Fiduciary and Related Services

(State Chartered Banks)

as of March 31, 2016

	(Column A) Managed Assets	(Column B) Non-Managed Assets	(Column C) Number of Managed Accounts	(Column D) Number of Non-Managed Accounts	
	(000s)	(000s)			
FIDUCIARY AND RELATED ASSETS					
4. Personal trust and agency accounts.....	7,244,191	233,467	4,048	113	4.
5. Employee benefit and retirement-related trust and agency accounts:					5.
a. Employee benefit - defined contribution.....	107,172	472,082	90	125	5.a.
b. Employee benefit - defined benefit.....	155,743	74,850	20	4	5.b.
c. Other employee benefit and retirement-related accounts.....	528,700	249,243	1,031	185	5.c.
6. Corporate trust and agency accounts.....				1	6.
7. Investment management and investment advisory agency accounts.....	3,128,618	16,320	1,771	7	7.
8. Foundation and endowment trust and agency accounts.....	342,298	112,407	190	42	8.
9. Other fiduciary accounts.....	25,396	108	16	3	9.
10. Total fiduciary accounts (sum of items 4 through 9).....	11,532,118	1,158,477	7,166	480	10.
11. Custody and safekeeping accounts.....		14,681,470		1,162	11.
12. Not applicable					
13. Individual Retirement Accounts, Health Savings Accounts, and other similar accounts (included in items 5c and 11)	454,711	47,495	1,016	116	13.

Schedule T - Fiduciary and Related Services - Continued

Memoranda	(Column A)	(Column B)	(Column C)	
	Personal Trust and Agency and Investment Management Agency Accounts	Employee Benefit and Retirement-Related Trust and Agency Accounts	All Other Accounts	
	(000s)	(000s)	(000s)	
1. Managed assets held in fiduciary accounts:				
a. Noninterest-bearing deposits.....	598	72	25	M.1.a.
b. Interest-bearing deposits.....	191,048	13,173	5,393	M.1.b.
c. U.S. Treasury and U.S. Government agency obligations.....	274,158	30,720	18,261	M.1.c.
d. State, county and municipal obligations.....	1,540,067	15,377	7,301	M.1.d.
e. Money market mutual funds.....	550,604	35,711	39,776	M.1.e.
f. Equity mutual funds.....	1,141,548	226,331	82,524	M.1.f.
g. Other mutual funds.....	1,036,296	171,765	61,425	
h. Common trust funds and collective investment funds.....				
i. Other short-term obligations.....	38,257			
j. Other notes and bonds.....	425,113	57,336	35,003	M.1.g.
k. Investments in unregistered funds and private equity investments.....	858,240	689	370	
l. Other common and preferred stocks.....	2,585,913	236,555	98,856	M.1.h.
m. Real estate mortgages.....	55,815	387	5,173	M.1.i.
n. Real estate.....	880,470	1,040	10,825	M.1.j.
o. Miscellaneous assets.....	794,685	2,456	2,765	M.1.k.
p. Total managed assets held in fiduciary accounts (for each column, sum of Memorandum items 1.a through 1.o)	10,372,812	791,612	367,697	

q. Investments of managed fiduciary accounts in advised or sponsored mutual funds.....

	(Column A) Managed Assets	(Column B) Number of Managed Assets

Memoranda

2. Corporate trust and agency accounts:

	(Column A) Number of Issues	(Column B) Principal Amount Outstanding (000s)	
a. Corporate and municipal trusteeships.....			M.2.a.
(1) Issues reported in Memoranda 2a. that are in default.....			M.2.a.1
b. Transfer agent, registrar, paying agent, and other corporate agency.....	1		M.2.b.

3. Collective investment funds and common trust funds:

	(Column A) Number of Funds	(Column B) Market Value of Fund Assets (000s)	
a. Domestic equity.....			M.3.a
b. International/Global equity.....			M.3.b.
c. Stock/Bond blend.....			M.3.c.
d. Taxable bond.....			M.3.d.
e. Municipal bond.....			M.3.e.
f. Short term investments/Money market.....			M.3.f.
g. Specialty/Other.....			M.3.g.
h. Total collective investment funds (sum of Memorandum items 3.a through 3.g).....			M.3.h.

P. Trust Business for Which Securities Are on Deposit with the State Treasurer

	(Column A) Court Trusts (000s)	(Column B) Private Trusts (000s)	
P.1. Trust business for which securities are on deposit with the State Treasurer.....	376,964	25,985,702	P.1.
P.2. Less: real estate.....	56,529		P.2.
P.3. Trust business on which security is required.....	320,435	25,985,702	P.3.
P.4. Amount of security required by Sections 1540 and 1541 of the Financial Code.....	3,975	1,300	P.4.
P.5. Market value of securities on deposit with the State Treasurer.....	989,675	795,676	P.5.
P.6. Excess or deficiency; denote negative amount in ().....	985,700	794,376	P.6.

FIDUCIARY AND RELATED SERVICES INCOME

	(000s)	
14. Personal trust and agency accounts.....	8,836	14.
15. Employee benefit and retirement-related trust and agency accounts:		
a. Employee benefit-defined contribution	264	15.a.
b. Employee benefit-defined benefit.....	100	15.b.
c. Other employee benefit and retirement-related accounts.....	898	15.c.
16. Corporate trust and agency accounts	73	16.
17. Investment management and investment advisory agency accounts	4,234	17.
18. Foundation and endowment trust and agency accounts.....	364	18.
19. Other fiduciary accounts	26	19.
20. Custody and safekeeping accounts	1,301	20.
21. Other fiduciary and related services income.....	56	21.
22. Total gross fiduciary and related services income (sum of items 14 through 21)	16,152	22.
23. Less: Expenses.....	16,528	23.
24. Less: Net losses from fiduciary and related services.....	20	24.
25. Plus: Intracompany income credits for fiduciary and related services	2,050	25.
26. Net fiduciary and related services income	1,654	26.

Memoranda

	(Column A) Gross Losses Managed Accounts (000s)	(Column B) Gross Losses Non-Managed Accounts (000s)	(Column C) Recoveries (000s)	
4. Fiduciary settlements, surcharges, and other losses				
a. Personal trust and agency accounts.....	14		1	M.4.a.
b. Employee benefit and retirement-related trust and agency accounts				M.4.b.
c. Investment management and investment advisory..... agency accounts.....	6			M.4.c.
d. Other fiduciary accounts and related services.....	1			M.4.d.
e. Total fiduciary settlements, surcharges, and other losses (sum of Memorandum items 4.a through 4.d) (sum of columns A and B minus column C must equal				