

Schedule T - Fiduciary and Related Services

(State Chartered Banks)

as of March 31, 2018

	(Column A) Managed Assets	(Column B) Non-Managed Assets	(Column C) Number of Managed Accounts	(Column D) Number of Non-Managed Accounts	
	(000s)	(000s)			
FIDUCIARY AND RELATED ASSETS					
4. Personal trust and agency accounts.....	7,886,361	300,929	3,890	122	4.
5. Employee benefit and retirement-related trust and agency accounts:					5.
a. Employee benefit - defined contribution.....	225,204	532,553	109	131	5.a.
b. Employee benefit - defined benefit.....	175,746	98,729	18	5	5.b.
c. Other employee benefit and retirement-related accounts.....	589,046	195,970	1,056	157	5.c.
6. Corporate trust and agency accounts.....					6.
7. Investment management and investment advisory agency accounts.....	3,123,688	5,098	1,745	5	7.
8. Foundation and endowment trust and agency accounts.....	487,495	135,052	264	34	8.
9. Other fiduciary accounts.....	3,022	108	2	5	9.
10. Total fiduciary accounts (sum of items 4 through 9).....	12,490,562	1,268,439	7,084	459	10.
11. Custody and safekeeping accounts.....		14,872,591		1,121	11.
12. Not applicable					
13. Individual Retirement Accounts, Health Savings Accounts, and other similar accounts (included in items 5c and 11)	526,932	88,825	1,046	151	13.

Schedule T - Fiduciary and Related Services - Continued

Memoranda	(Column A)	(Column B)	(Column C)	
	Personal Trust and Agency and Investment Management Agency Accounts	Employee Benefit and Retirement-Related Trust and Agency Accounts	All Other Accounts	
	(000s)	(000s)	(000s)	
1. Managed assets held in fiduciary accounts:				
a. Noninterest-bearing deposits.....	222	75	1,036	M.1.a.
b. Interest-bearing deposits.....	224,312	12,694	13,486	M.1.b.
c. U.S. Treasury and U.S. Government agency obligations.....	328,067	30,944	16,600	M.1.c.
d. State, county and municipal obligations.....	1,366,395	17,918	13,262	M.1.d.
e. Money market mutual funds.....	454,252	42,245	51,784	M.1.e.
f. Equity mutual funds.....	1,500,195	328,164	120,476	M.1.f.
g. Other mutual funds.....	1,142,990	193,304	79,899	
h. Common trust funds and collective investment funds.....				
i. Other short-term obligations.....	42,675			
j. Other notes and bonds.....	436,432	77,658	34,823	M.1.g.
k. Investments in unregistered funds and private equity investments.....	291,158	1,571	1	
l. Other common and preferred stocks.....	3,179,202	283,328	173,786	M.1.h.
m. Real estate mortgages.....	55,385	2,800		M.1.i.
n. Real estate.....	571,797		7,605	M.1.j.
o. Miscellaneous assets.....	1,402,522	2,218		M.1.k.
p. Total managed assets held in fiduciary accounts (for each column, sum of Memorandum items 1.a through 1.o)	10,995,604	992,919	512,758	

q. Investments of managed fiduciary accounts in advised or sponsored mutual funds.....

	(Column A) Managed Assets	(Column B) Number of Managed Assets

Memoranda

2. Corporate trust and agency accounts:

	(Column A) Number of Issues	(Column B) Principal Amount Outstanding (000s)	
a. Corporate and municipal trusteeships.....			M.2.a.
(1) Issues reported in Memoranda 2a. that are in default.....			M.2.a.1
b. Transfer agent, registrar, paying agent, and other corporate agency.....			M.2.b.

3. Collective investment funds and common trust funds:

	(Column A) Number of Funds	(Column B) Market Value of Fund Assets (000s)	
a. Domestic equity.....			M.3.a
b. International/Global equity.....			M.3.b.
c. Stock/Bond blend.....			M.3.c.
d. Taxable bond.....			M.3.d.
e. Municipal bond.....			M.3.e.
f. Short term investments/Money market.....			M.3.f.
g. Specialty/Other.....			M.3.g.
h. Total collective investment funds (sum of Memorandum items 3.a through 3.g).....			M.3.h.

P. Trust Business for Which Securities Are on Deposit with the State Treasurer

	(Column A) Court Trusts (000s)	(Column B) Private Trusts (000s)	
P.1. Trust business for which securities are on deposit with the State Treasurer.....	432,998	27,018,897	P.1.
P.2. Less: real estate.....	56,394		P.2.
P.3. Trust business on which security is required.....	376,604	27,018,897	P.3.
P.4. Amount of security required by Sections 1540 and 1541 of the Financial Code.....	4,025	1,300	P.4.
P.5. Market value of securities on deposit with the State Treasurer.....	9,314	4,444	P.5.
P.6. Excess or deficiency; denote negative amount in ().....	5,289	3,144	P.6.

Schedule T - Fiduciary and Related Services
(State Chartered Banks)
as of March 31, 2018

SCHEDULE T -Fiduciary and Related Services

INCOME

FIDUCIARY AND RELATED SERVICES INCOME	(000s)	
14. Personal trust and agency accounts.....	10,945	14.
15. Employee benefit and retirement-related trust and agency accounts:		
a. Employee benefit-defined contribution	821	15.a.
b. Employee benefit-defined benefit.....	197	15.b.
c. Other employee benefit and retirement-related accounts.....	1,174	15.c.
16. Corporate trust and agency accounts		16.
17. Investment management and investment advisory agency accounts	4,889	17.
18. Foundation and endowment trust and agency accounts	749	18.
19. Other fiduciary accounts	5	19.
20. Custody and safekeeping accounts	1,389	20.
21. Other fiduciary and related services income.....	60	21.
22. Total gross fiduciary and related services income (sum of items 14 through 21)	20,229	22.
23. Less: Expenses.....	16,103	23.
24. Less: Net losses from fiduciary and related services.....	460	24.
25. Plus: Intracompany income credits for fiduciary and related services	2,288	25.
26. Net fiduciary and related services income	5,954	26.

Memoranda

	(Column A) Gross Losses Managed Accounts (000s)	(Column B) Gross Losses Non-Managed Accounts (000s)	(Column C) Recoveries (000s)	
4. Fiduciary settlements, surcharges, and other losses				
a. Personal trust and agency accounts.....	432		1	M.4.a.
b. Employee benefit and retirement-related trust and agency accounts				M.4.b.
c. Investment management and investment advisory..... agency accounts.....	3			M.4.c.
d. Other fiduciary accounts and related services.....	23	1		M.4.d.
e. Total fiduciary settlements, surcharges, and other losses (sum of Memorandum items 4.a through 4.d) (sum of columns A and B minus column C must equal Schedule T, item 21).....	458	1	1	M.4.e.