

Schedule T - Fiduciary and Related Services

(State Chartered Banks)

as of June 30, 2012

	(Column A) Managed Assets	(Column B) Non-Managed Assets	(Column C) Number of Managed Accounts	(Column D) Number of Non-Managed Accounts	
	(000s)	(000s)			
FIDUCIARY AND RELATED ASSETS					
4. Personal trust and agency accounts.....	5,696,384	233,317	3,764	104	4.
5. Employee benefit and retirement-related trust and agency accounts:					5.
a. Employee benefit - defined contribution.....	115,496	426,132	109	178	5.a.
b. Employee benefit - defined benefit.....	129,479	72,083	21	6	5.b.
c. Other employee benefit and retirement-related accounts.....	378,590	161,745	966	338	5.c.
6. Corporate trust and agency accounts.				1	6.
7. Investment management and investment advisory agency accounts.....	2,809,650	42,280	1,760	17	7.
8. Foundation and endowment trust and agency accounts	251,686	100,511	154	29	8.
9. Other fiduciary accounts	6,288	189	8	8	9.
10. Total fiduciary accounts (sum of items 4 through 9)	9,387,573	1,036,257	6,782	681	10.
11. Custody and safekeeping accounts.....		4,426,996		1,293	11.
12. Not applicable					
13. Individual Retirement Accounts, Health Savings Accounts, and other similar accounts (included in items 5c and 11)	368,820	344,319	918	241	13.

Schedule T - Fiduciary and Related Services - Continued

Memoranda	(Column A)	(Column B)	(Column C)	
	Personal Trust and Agency and Investment Management Agency Accounts	Employee Benefit and Retirement-Related Trust and Agency Accounts	All Other Accounts	
	(000s)	(000s)	(000s)	
1. Managed assets held in fiduciary accounts:				
a. Noninterest-bearing deposits.....	-266	-154	-124	M.1.a.
b. Interest-bearing deposits.....	97,364	4,562	3,948	M.1.b.
c. U.S. Treasury and U.S. Government agency obligations.....	397,955	28,850	29,033	M.1.c.
d. State, county and municipal obligations.....	1,390,938	5,340	2,754	M.1.d.
e. Money market mutual funds.....	522,094	38,734	16,677	M.1.e.
f. Equity mutual funds.....	691,384	127,030	41,726	M.1.f.
g. Other mutual funds.....	722,454	147,062	38,462	
h. Common trust funds and collective investment funds.....				
i. Other short-term obligations.....	73,628			
j. Other notes and bonds.....	494,821	68,091	34,099	M.1.g.
k. Investments in unregistered funds and private equity investments.....	87,156	16	164	
l. Other common and preferred stocks.....	2,182,122	198,979	83,724	M.1.h.
m. Real estate mortgages.....	18,135	687	210	M.1.i.
n. Real estate.....	789,445	168	932	M.1.j.
o. Miscellaneous assets.....	1,039,013	4,203	6,163	M.1.k.
p. Total managed assets held in fiduciary accounts (for each column, sum of Memorandum items 1.a through 1.o)	8,506,243	623,568	257,768	

q. Investments of managed fiduciary accounts in advised or sponsored mutual funds.....

	(Column A) Managed Assets	(Column B) Number of Managed Assets

Memoranda

2. Corporate trust and agency accounts:

	(Column A) Number of Issues	(Column B) Principal Amount Outstanding (000s)	
a. Corporate and municipal trusteeships.....			M.2.a.
(1) Issues reported in Memoranda 2a. that are in default.....			M.2.a.1
b. Transfer agent, registrar, paying agent, and other corporate agency.....	1		M.2.b.

3. Collective investment funds and common trust funds:

	(Column A) Number of Funds	(Column B) Market Value of Fund Assets (000s)	
a. Domestic equity.....			M.3.a
b. International/Global equity.....			M.3.b.
c. Stock/Bond blend.....			M.3.c.
d. Taxable bond.....			M.3.d.
e. Municipal bond.....			M.3.e.
f. Short term investments/Money market.....			M.3.f.
g. Specialty/Other.....			M.3.g.
h. Total collective investment funds (sum of Memorandum items 3.a through 3.g).....			M.3.h.

P. Trust Business for Which Securities Are on Deposit with the State Treasurer

	(Column A) Court Trusts (000s)	(Column B) Private Trusts (000s)	
P.1. Trust business for which securities are on deposit with the State Treasurer.....	302,707	13,443,926	P.1.
P.2. Less: real estate.....	36,890		P.2.
P.3. Trust business on which security is required.....	265,817	13,443,926	P.3.
P.4. Amount of security required by Sections 1540 and 1541 of the Financial Code.....	4,075	1,600	P.4.
P.5. Market value of securities on deposit with the State Treasurer.....	9,711	6,746	P.5.
P.6. Excess or deficiency; denote negative amount in ().....	5,636	5,146	P.6.

FIDUCIARY AND RELATED SERVICES INCOME		(000s)
14. Personal trust and agency accounts.....		8,023
15. Employee benefit and retirement-related trust and agency accounts:		
a. Employee benefit-defined contribution		572
b. Employee benefit-defined benefit.....		156
c. Other employee benefit and retirement-related accounts.....		834
16. Corporate trust and agency accounts		
17. Investment management and investment advisory agency accounts		3,589
18. Foundation and endowment trust and agency accounts.....		389
19. Other fiduciary accounts		9
20. Custody and safekeeping accounts		1,040
21. Other fiduciary and related services income.....		164
22. Total gross fiduciary and related services income (sum of items 14 through 21)		14,776
23. Less: Expenses.....		13,175
24. Less: Net losses from fiduciary and related services.....		51
25. Plus: Intracompany income credits for fiduciary and related services		3,070
26. Net fiduciary and related services income		4,621

Memoranda	(Column A) Gross Losses Managed Accounts (000s)	(Column B) Gross Losses Non-Managed Accounts (000s)	(Column C) Recoveries (000s)
4. Fiduciary settlements, surcharges, and other losses			
a. Personal trust and agency accounts.....	11	1	55
b. Employee benefit and retirement-related trust and agency accounts			
c. Investment management and investment advisory..... agency accounts.....	91		
d. Other fiduciary accounts and related services.....	91		
e. Total fiduciary settlements, surcharges, and other losses (sum of Memorandum items 4.a through 4.d) (sum of columns A and B minus column C must equal			