

Schedule T - Fiduciary and Related Services

(State Chartered Banks)

as of June 30, 2013

	(Column A) Managed Assets	(Column B) Non-Managed Assets	(Column C) Number of Managed Accounts	(Column D) Number of Non-Managed Accounts	
	(000s)	(000s)			
FIDUCIARY AND RELATED ASSETS					
4. Personal trust and agency accounts.....	6,011,975	203,094	3,791	118	4.
5. Employee benefit and retirement-related trust and agency accounts:					5.
a. Employee benefit - defined contribution.....	114,296	427,164	96	170	5.a.
b. Employee benefit - defined benefit.....	130,007	72,477	16	5	5.b.
c. Other employee benefit and retirement-related accounts.....	414,846	185,305	993	258	5.c.
6. Corporate trust and agency accounts.				1	6.
7. Investment management and investment advisory agency accounts.....	3,040,273	17,067	1,805	13	7.
8. Foundation and endowment trust and agency accounts	265,443	95,838	146	28	8.
9. Other fiduciary accounts	31,966	119	20	6	9.
10. Total fiduciary accounts (sum of items 4 through 9)	10,008,806	1,001,064	6,867	599	10.
11. Custody and safekeeping accounts.....		5,730,576		1,277	11.
12. Not applicable					
13. Individual Retirement Accounts, Health Savings Accounts, and other similar accounts (included in items 5c and 11)	394,495	95,194	979	214	13.

Schedule T - Fiduciary and Related Services - Continued

Memoranda

	(Column A)	(Column B)	(Column C)	
	Personal Trust and Agency and Investment Management Agency Accounts	Employee Benefit and Retirement-Related Trust and Agency Accounts	All Other Accounts	
	(000s)	(000s)	(000s)	
1. Managed assets held in fiduciary accounts:				
a. Noninterest-bearing deposits.....	6,009	277	24	M.1.a.
b. Interest-bearing deposits.....	112,465	8,212	2,305	M.1.b.
c. U.S. Treasury and U.S. Government agency obligations.....	418,589	21,471	13,855	M.1.c.
d. State, county and municipal obligations.....	1,379,004	7,119	2,347	M.1.d.
e. Money market mutual funds.....	498,985	38,102	51,302	M.1.e.
f. Equity mutual funds.....	867,430	185,301	52,312	M.1.f.
g. Other mutual funds.....	909,682	155,309	43,945	
h. Common trust funds and collective investment funds.....				
i. Other short-term obligations.....	67,953			
j. Other notes and bonds.....	489,084	53,429	27,434	M.1.g.
k. Investments in unregistered funds and private equity investments.....	115,174	4	4	
l. Other common and preferred stocks.....	2,357,784	182,494	64,996	M.1.h.
m. Real estate mortgages.....	26,128	617		M.1.i.
n. Real estate.....	885,874	3,802	3,886	M.1.j.
o. Miscellaneous assets.....	918,087	3,014	34,999	M.1.k.
p. Total managed assets held in fiduciary accounts (for each column, sum of Memorandum items 1.a through 1.o)	9,052,248	659,151	297,409	

q. Investments of managed fiduciary accounts in advised or sponsored mutual funds.....

	(Column A) Managed Assets	(Column B) Number of Managed Assets

Memoranda

2. Corporate trust and agency accounts:

	(Column A) Number of Issues	(Column B) Principal Amount Outstanding (000s)	
a. Corporate and municipal trusteeships.....			M.2.a.
(1) Issues reported in Memoranda 2a. that are in default.....			M.2.a.1
b. Transfer agent, registrar, paying agent, and other corporate agency.....	1		M.2.b.

3. Collective investment funds and common trust funds:

	(Column A) Number of Funds	(Column B) Market Value of Fund Assets (000s)	
a. Domestic equity.....			M.3.a
b. International/Global equity.....			M.3.b.
c. Stock/Bond blend.....			M.3.c.
d. Taxable bond.....			M.3.d.
e. Municipal bond.....			M.3.e.
f. Short term investments/Money market.....			M.3.f.
g. Specialty/Other.....			M.3.g.
h. Total collective investment funds (sum of Memorandum items 3.a through 3.g).....			M.3.h.

P. Trust Business for Which Securities Are on Deposit with the State Treasurer

	(Column A) Court Trusts (000s)	(Column B) Private Trusts (000s)	
P.1. Trust business for which securities are on deposit with the State Treasurer.....	325,732	16,312,834	P.1.
P.2. Less: real estate.....	40,290		P.2.
P.3. Trust business on which security is required.....	285,442	16,312,834	P.3.
P.4. Amount of security required by Sections 1540 and 1541 of the Financial Code.....	4,075	1,600	P.4.
P.5. Market value of securities on deposit with the State Treasurer.....	10,139	6,234	P.5.
P.6. Excess or deficiency; denote negative amount in ().....	6,064	4,634	P.6.

FIDUCIARY AND RELATED SERVICES INCOME		(000s)
14. Personal trust and agency accounts.....	18,006	14.
15. Employee benefit and retirement-related trust and agency accounts:		
a. Employee benefit-defined contribution	1,144	15.a.
b. Employee benefit-defined benefit.....	285	15.b.
c. Other employee benefit and retirement-related accounts.....	1,801	15.c.
16. Corporate trust and agency accounts		16.
17. Investment management and investment advisory agency accounts	7,807	17.
18. Foundation and endowment trust and agency accounts.....	582	18.
19. Other fiduciary accounts	292	19.
20. Custody and safekeeping accounts	2,261	20.
21. Other fiduciary and related services income.....	446	21.
22. Total gross fiduciary and related services income (sum of items 14 through 21)	32,624	22.
23. Less: Expenses.....	30,143	23.
24. Less: Net losses from fiduciary and related services.....	91	24.
25. Plus: Intracompany income credits for fiduciary and related services	5,910	25.
26. Net fiduciary and related services income	8,300	26.

Memoranda	(Column A) Gross Losses Managed Accounts (000s)	(Column B) Gross Losses Non-Managed Accounts (000s)	(Column C) Recoveries (000s)
4. Fiduciary settlements, surcharges, and other losses			
a. Personal trust and agency accounts.....	4,087		20
b. Employee benefit and retirement-related trust and agency accounts	1		
c. Investment management and investment advisory..... agency accounts.....	11,041	2	1,936
d. Other fiduciary accounts and related services.....		2	
e. Total fiduciary settlements, surcharges, and other losses (sum of Memorandum items 4.a through 4.d) (sum of columns A and B minus column C must equal			