

Schedule T - Fiduciary and Related Services

(State Chartered Banks)

as of September 30, 2012

	(Column A) Managed Assets	(Column B) Non-Managed Assets	(Column C) Number of Managed Accounts	(Column D) Number of Non-Managed Accounts	
	(000s)	(000s)			
FIDUCIARY AND RELATED ASSETS					
4. Personal trust and agency accounts.....	5,902,643	214,205	3,870	105	4.
5. Employee benefit and retirement-related trust and agency accounts:					5.
a. Employee benefit - defined contribution.....	113,084	419,408	104	168	5.a.
b. Employee benefit - defined benefit.....	127,740	72,750	21	6	5.b.
c. Other employee benefit and retirement-related accounts.....	390,661	147,788	975	278	5.c.
6. Corporate trust and agency accounts.				1	6.
7. Investment management and investment advisory agency accounts.....	2,934,713	26,108	1,820	14	7.
8. Foundation and endowment trust and agency accounts	241,475	93,722	145	29	8.
9. Other fiduciary accounts	46,721	113	15	5	9.
10. Total fiduciary accounts (sum of items 4 through 9)	9,757,037	974,094	6,950	606	10.
11. Custody and safekeeping accounts.....		4,665,072		1,305	11.
12. Not applicable					
13. Individual Retirement Accounts, Health Savings Accounts, and other similar accounts (included in items 5c and 11)	379,126	347,095	972	234	13.

Schedule T - Fiduciary and Related Services - Continued

Memoranda	(Column A)	(Column B)	(Column C)	
	Personal Trust and Agency and Investment Management Agency Accounts	Employee Benefit and Retirement-Related Trust and Agency Accounts	All Other Accounts	
	(000s)	(000s)	(000s)	
1. Managed assets held in fiduciary accounts:				
a. Noninterest-bearing deposits.....	6,201	190	29	M.1.a.
b. Interest-bearing deposits.....	133,945	6,650	4,372	M.1.b.
c. U.S. Treasury and U.S. Government agency obligations.....	455,416	26,886	22,668	M.1.c.
d. State, county and municipal obligations.....	1,418,849	7,895	9,133	M.1.d.
e. Money market mutual funds.....	485,056	32,386	32,802	M.1.e.
f. Equity mutual funds.....	739,263	152,398	45,180	M.1.f.
g. Other mutual funds.....	816,698	146,455	38,745	
h. Common trust funds and collective investment funds.....				
i. Other short-term obligations.....	76,092			
j. Other notes and bonds.....	535,674	59,841	30,042	M.1.g.
k. Investments in unregistered funds and private equity investments.....	103,113	26	139	
l. Other common and preferred stocks.....	2,235,689	191,110	72,339	M.1.h.
m. Real estate mortgages.....	27,862	647		M.1.i.
n. Real estate.....	930,266	3,003	915	M.1.j.
o. Miscellaneous assets.....	876,103	3,998	29,092	M.1.k.
p. Total managed assets held in fiduciary accounts (for each column, sum of Memorandum items 1.a through 1.o)	8,840,226	631,485	285,456	

q. Investments of managed fiduciary accounts in advised or sponsored mutual funds.....

	(Column A) Managed Assets	(Column B) Number of Managed Assets

Memoranda

2. Corporate trust and agency accounts:

	(Column A) Number of Issues	(Column B) Principal Amount Outstanding (000s)	
a. Corporate and municipal trusteeships.....			M.2.a.
(1) Issues reported in Memoranda 2a. that are in default.....			M.2.a.1
b. Transfer agent, registrar, paying agent, and other corporate agency.....	1		M.2.b.

3. Collective investment funds and common trust funds:

	(Column A) Number of Funds	(Column B) Market Value of Fund Assets (000s)	
a. Domestic equity.....			M.3.a
b. International/Global equity.....			M.3.b.
c. Stock/Bond blend.....			M.3.c.
d. Taxable bond.....			M.3.d.
e. Municipal bond.....			M.3.e.
f. Short term investments/Money market.....			M.3.f.
g. Specialty/Other.....			M.3.g.
h. Total collective investment funds (sum of Memorandum items 3.a through 3.g).....			M.3.h.

P. Trust Business for Which Securities Are on Deposit with the State Treasurer

	(Column A) Court Trusts (000s)	(Column B) Private Trusts (000s)	
P.1. Trust business for which securities are on deposit with the State Treasurer.....	312,460	13,850,470	P.1.
P.2. Less: real estate.....	39,078		P.2.
P.3. Trust business on which security is required.....	273,382	13,850,470	P.3.
P.4. Amount of security required by Sections 1540 and 1541 of the Financial Code.....	4,075	1,500	P.4.
P.5. Market value of securities on deposit with the State Treasurer.....	8,867	6,031	P.5.
P.6. Excess or deficiency; denote negative amount in ().....	4,792	4,531	P.6.

FIDUCIARY AND RELATED SERVICES INCOME		(000s)
14. Personal trust and agency accounts.....		25,969
15. Employee benefit and retirement-related trust and agency accounts:		
a. Employee benefit-defined contribution		1,708
b. Employee benefit-defined benefit.....		440
c. Other employee benefit and retirement-related accounts.....		2,573
16. Corporate trust and agency accounts		
17. Investment management and investment advisory agency accounts		10,907
18. Foundation and endowment trust and agency accounts.....		1,165
19. Other fiduciary accounts		77
20. Custody and safekeeping accounts		3,587
21. Other fiduciary and related services income.....		135
22. Total gross fiduciary and related services income (sum of items 14 through 21)		46,561
23. Less: Expenses.....		38,390
24. Less: Net losses from fiduciary and related services.....		-47
25. Plus: Intracompany income credits for fiduciary and related services		8,562
26. Net fiduciary and related services income		16,780

Memoranda	(Column A) Gross Losses Managed Accounts (000s)	(Column B) Gross Losses Non-Managed Accounts (000s)	(Column C) Recoveries (000s)
4. Fiduciary settlements, surcharges, and other losses			
a. Personal trust and agency accounts.....	37	1	91
b. Employee benefit and retirement-related trust and agency accounts			
c. Investment management and investment advisory..... agency accounts.....	91,837		989
d. Other fiduciary accounts and related services.....	2	3	2
e. Total fiduciary settlements, surcharges, and other losses (sum of Memorandum items 4.a through 4.d) (sum of columns A and B minus column C must equal			