

Schedule T - Fiduciary and Related Services

(State Chartered Banks)

as of September 30, 2013

	(Column A) Managed Assets	(Column B) Non-Managed Assets	(Column C) Number of Managed Accounts	(Column D) Number of Non-Managed Accounts	
	(000s)	(000s)			
FIDUCIARY AND RELATED ASSETS					
4. Personal trust and agency accounts.....	6,042,801	189,646	3,732	116	4.
5. Employee benefit and retirement-related trust and agency accounts:					5.
a. Employee benefit - defined contribution.....	166,491	419,594	95	167	5.a.
b. Employee benefit - defined benefit.....	90,750	74,595	16	5	5.b.
c. Other employee benefit and retirement-related accounts.....	444,335	203,235	1,019	258	5.c.
6. Corporate trust and agency accounts.				1	6.
7. Investment management and investment advisory agency accounts.....	3,175,325	13,482	1,921	13	7.
8. Foundation and endowment trust and agency accounts	261,901	101,699	156	32	8.
9. Other fiduciary accounts	21,065		15		9.
10. Total fiduciary accounts (sum of items 4 through 9)	10,202,668	1,002,251	6,954	592	10.
11. Custody and safekeeping accounts.....		8,074,716		1,270	11.
12. Not applicable					
13. Individual Retirement Accounts, Health Savings Accounts, and other similar accounts (included in items 5c and 11)	429,964	104,910	970	212	13.

Schedule T - Fiduciary and Related Services - Continued

Memoranda	(Column A)	(Column B)	(Column C)	
	Personal Trust and Agency and Investment Management Agency Accounts	Employee Benefit and Retirement-Related Trust and Agency Accounts	All Other Accounts	
	(000s)	(000s)	(000s)	
1. Managed assets held in fiduciary accounts:				
a. Noninterest-bearing deposits.....	5,927	6	-15	M.1.a.
b. Interest-bearing deposits.....	108,047	6,918	2,377	M.1.b.
c. U.S. Treasury and U.S. Government agency obligations.....	407,556	20,103	12,626	M.1.c.
d. State, county and municipal obligations.....	1,347,662	6,115	3,488	M.1.d.
e. Money market mutual funds.....	574,342	37,411	32,539	M.1.e.
f. Equity mutual funds.....	959,311	206,966	59,223	M.1.f.
g. Other mutual funds.....	949,911	169,315	45,318	
h. Common trust funds and collective investment funds.....				
i. Other short-term obligations.....	61,946			
j. Other notes and bonds.....	479,635	53,977	29,216	M.1.g.
k. Investments in unregistered funds and private equity investments.....	119,215	542	4	
l. Other common and preferred stocks.....	2,386,836	188,669	74,174	M.1.h.
m. Real estate mortgages.....	24,839	534		M.1.i.
n. Real estate.....	925,725	3,753	3,643	M.1.j.
o. Miscellaneous assets.....	839,350	7,268	48,197	M.1.k.
p. Total managed assets held in fiduciary accounts (for each column, sum of Memorandum items 1.a through 1.o)	9,190,302	701,577	310,790	

q. Investments of managed fiduciary accounts in advised or sponsored mutual funds.....

	(Column A) Managed Assets	(Column B) Number of Managed Assets

Memoranda

2. Corporate trust and agency accounts:

	(Column A) Number of Issues	(Column B) Principal Amount Outstanding (000s)	
a. Corporate and municipal trusteeships.....			M.2.a.
(1) Issues reported in Memoranda 2a. that are in default.....			M.2.a.1
b. Transfer agent, registrar, paying agent, and other corporate agency.....	1		M.2.b.

3. Collective investment funds and common trust funds:

	(Column A) Number of Funds	(Column B) Market Value of Fund Assets (000s)	
a. Domestic equity.....			M.3.a
b. International/Global equity.....			M.3.b.
c. Stock/Bond blend.....			M.3.c.
d. Taxable bond.....			M.3.d.
e. Municipal bond.....			M.3.e.
f. Short term investments/Money market.....			M.3.f.
g. Specialty/Other.....			M.3.g.
h. Total collective investment funds (sum of Memorandum items 3.a through 3.g).....			M.3.h.

P. Trust Business for Which Securities Are on Deposit with the State Treasurer

	(Column A) Court Trusts (000s)	(Column B) Private Trusts (000s)	
P.1. Trust business for which securities are on deposit with the State Treasurer.....	336,045	18,127,667	P.1.
P.2. Less: real estate.....	41,421		P.2.
P.3. Trust business on which security is required.....	294,624	18,127,667	P.3.
P.4. Amount of security required by Sections 1540 and 1541 of the Financial Code.....	4,075	1,600	P.4.
P.5. Market value of securities on deposit with the State Treasurer.....	9,733	6,430	P.5.
P.6. Excess or deficiency; denote negative amount in ().....	5,658	4,830	P.6.

FIDUCIARY AND RELATED SERVICES INCOME		(000s)
14. Personal trust and agency accounts.....	27,199	14.
15. Employee benefit and retirement-related trust and agency accounts:		
a. Employee benefit-defined contribution	1,775	15.a.
b. Employee benefit-defined benefit.....	401	15.b.
c. Other employee benefit and retirement-related accounts.....	2,705	15.c.
16. Corporate trust and agency accounts		16.
17. Investment management and investment advisory agency accounts	12,039	17.
18. Foundation and endowment trust and agency accounts.....	1,104	18.
19. Other fiduciary accounts	374	19.
20. Custody and safekeeping accounts	3,102	20.
21. Other fiduciary and related services income.....	138	21.
22. Total gross fiduciary and related services income (sum of items 14 through 21)	48,837	22.
23. Less: Expenses.....	46,429	23.
24. Less: Net losses from fiduciary and related services.....	113	24.
25. Plus: Intracompany income credits for fiduciary and related services	8,463	25.
26. Net fiduciary and related services income	10,758	26.

Memoranda	(Column A) Gross Losses Managed Accounts (000s)	(Column B) Gross Losses Non-Managed Accounts (000s)	(Column C) Recoveries (000s)
4. Fiduciary settlements, surcharges, and other losses			
a. Personal trust and agency accounts.....	134	3	37
b. Employee benefit and retirement-related trust and agency accounts	1		
c. Investment management and investment advisory..... agency accounts.....	17	2	2
d. Other fiduciary accounts and related services.....		2	
e. Total fiduciary settlements, surcharges, and other losses (sum of Memorandum items 4.a through 4.d) (sum of columns A and B minus column C must equal			