

## Schedule T - Fiduciary and Related Services

(State Chartered Banks)

as of September 30, 2014

	(Column A) Managed Assets	(Column B) Non-Managed Assets	(Column C) Number of Managed Accounts	(Column D) Number of Non-Managed Accounts	
	(000s)	(000s)			
<b>FIDUCIARY AND RELATED ASSETS</b>					
4. Personal trust and agency accounts.....	6,578,627	202,850	3,994	113	4.
5. Employee benefit and retirement-related trust and agency accounts:					5.
a. Employee benefit - defined contribution.....	113,169	433,878	93	159	5.a.
b. Employee benefit - defined benefit.....	156,137	79,461	22	6	5.b.
c. Other employee benefit and retirement-related accounts.....	515,907	197,272	1,022	227	5.c.
6. Corporate trust and agency accounts.....	23,040	11	3	1	6.
7. Investment management and investment advisory agency accounts.....	3,103,372	13,686	1,769	13	7.
8. Foundation and endowment trust and agency accounts.....	262,312	131,720	142	31	8.
9. Other fiduciary accounts.....	24,525	15,367	17	7	9.
10. Total fiduciary accounts (sum of items 4 through 9).....	10,777,089	1,074,245	7,062	557	10.
11. Custody and safekeeping accounts.....		17,082,149		1,264	11.
12. Not applicable					
13. Individual Retirement Accounts, Health Savings Accounts, and other similar accounts (included in items 5c and 11)	454,798	69,793	1,013	158	13.

### Schedule T - Fiduciary and Related Services - Continued

Memoranda	(Column A)	(Column B)	(Column C)	
	Personal Trust and Agency and Investment Management Agency Accounts	Employee Benefit and Retirement-Related Trust and Agency Accounts	All Other Accounts	
	(000s)	(000s)	(000s)	
1. Managed assets held in fiduciary accounts:				
a. Noninterest-bearing deposits.....	5,745	21	13	M.1.a.
b. Interest-bearing deposits.....	159,535	6,847	1,974	M.1.b.
c. U.S. Treasury and U.S. Government agency obligations.....	240,742	24,262	9,079	M.1.c.
d. State, county and municipal obligations.....	1,348,808	7,299	4,656	M.1.d.
e. Money market mutual funds.....	520,165	28,886	26,935	M.1.e.
f. Equity mutual funds.....	1,307,952	246,480	67,216	M.1.f.
g. Other mutual funds.....	1,055,626	175,814	48,701	
h. Common trust funds and collective investment funds.....				
i. Other short-term obligations.....	83,707			
j. Other notes and bonds.....	488,814	56,766	34,722	M.1.g.
k. Investments in unregistered funds and private equity investments.....	235,703	706	508	
l. Other common and preferred stocks.....	2,397,114	220,434	79,909	M.1.h.
m. Real estate mortgages.....	49,231	14,141		M.1.i.
n. Real estate.....	873,386	964	33,529	M.1.j.
o. Miscellaneous assets.....	915,470	2,592	2,637	M.1.k.
p. Total managed assets held in fiduciary accounts (for each column, sum of Memorandum items 1.a through 1.o)	9,681,998	785,212	309,879	

q. Investments of managed fiduciary accounts in advised or sponsored mutual funds.....

	(Column A) Managed Assets	(Column B) Number of Managed Assets

Memoranda

2. Corporate trust and agency accounts:

	(Column A) Number of Issues	(Column B) Principal Amount Outstanding (000s)	
a. Corporate and municipal trusteeships.....			M.2. a.
(1) Issues reported in Memoranda 2a. that are in default.....			M.2. a. 1
b. Transfer agent, registrar, paying agent, and other corporate agency.....	1		M.2. b.

3. Collective investment funds and common trust funds:

	(Column A) Number of Funds	(Column B) Market Value of Fund Assets (000s)	
a. Domestic equity.....			M.3. a.
b. International/Global equity.....			M.3. b.
c. Stock/Bond blend.....			M.3. c.
d. Taxable bond.....			M.3. d.
e. Municipal bond.....			M.3. e.
f. Short term investments/Money market.....			M.3. f.
g. Specialty/Other.....			M.3. g.
h. Total collective investment funds (sum of Memorandum items 3. a through 3. g).....			M.3. h.

**P. Trust Business for Which Securities Are on Deposit with the State Treasurer**

	(Column A) Court Trusts (000s)	(Column B) Private Trusts (000s)	
P.1. Trust business for which securities are on deposit with the State Treasurer.....	343,123	27,549,032	P. 1.
P.2. Less: real estate.....	43,656		P. 2.
P.3. Trust business on which security is required.....	299,467	27,549,032	P. 3.
P.4. Amount of security required by Sections 1540 and 1541 of the Financial Code.....	4,150	1,500	P. 4.
P.5. Market value of securities on deposit with the State Treasurer.....	10,908	5,681	P. 5.
P.6. Excess or deficiency; denote negative amount in ( ).....	6,758	4,181	P. 6.

FIDUCIARY AND RELATED SERVICES INCOME

	(000s)	
14. Personal trust and agency accounts.....	28,777	14.
15. Employee benefit and retirement-related trust and agency accounts:		
a. Employee benefit-defined contribution .....	1,880	15.a.
b. Employee benefit-defined benefit.....	460	15.b.
c. Other employee benefit and retirement-related accounts.....	3,125	15.c.
16. Corporate trust and agency accounts .....	51	16.
17. Investment management and investment advisory agency accounts .....	12,432	17.
18. Foundation and endowment trust and agency accounts.....	1,173	18.
19. Other fiduciary accounts .....	39	19.
20. Custody and safekeeping accounts .....	3,513	20.
21. Other fiduciary and related services income.....	147	21.
22. Total gross fiduciary and related services income (sum of items 14 through 21) .....	51,598	22.
23. Less: Expenses.....	48,214	23.
24. Less: Net losses from fiduciary and related services.....	98	24.
25. Plus: Intracompany income credits for fiduciary and related services .....	7,213	25.
26. Net fiduciary and related services income .....	10,499	26.

Memoranda

	(Column A) Gross Losses Managed Accounts (000s)	(Column B) Gross Losses Non-Managed Accounts (000s)	(Column C) Recoveries (000s)	
4. Fiduciary settlements, surcharges, and other losses				
a. Personal trust and agency accounts.....	99			M.4.a.
b. Employee benefit and retirement-related trust and agency accounts .....	30		32	M.4.b.
c. Investment management and investment advisory..... agency accounts.....	1			M.4.c.
d. Other fiduciary accounts and related services.....	1			M.4.d.
e. Total fiduciary settlements, surcharges, and other losses (sum of Memorandum items 4.a through 4.d) (sum of columns A and B minus column C must equal				