

Schedule T - Fiduciary and Related Services

(State Chartered Banks)

as of December 31, 2012

	(Column A) Managed Assets	(Column B) Non-Managed Assets	(Column C) Number of Managed Accounts	(Column D) Number of Non-Managed Accounts	
	(000s)	(000s)			
FIDUCIARY AND RELATED ASSETS					
4. Personal trust and agency accounts.....	5,911,329	201,686	3,867	108	4.
5. Employee benefit and retirement-related trust and agency accounts:					5.
a. Employee benefit - defined contribution.....	106,720	425,632	100	175	5.a.
b. Employee benefit - defined benefit.....	124,996	71,933	21	6	5.b.
c. Other employee benefit and retirement-related accounts.....	391,030	141,709	1,005	253	5.c.
6. Corporate trust and agency accounts.....				1	6.
7. Investment management and investment advisory agency accounts.....	2,875,143	22,712	1,869	12	7.
8. Foundation and endowment trust and agency accounts.....	220,261	96,759	148	28	8.
9. Other fiduciary accounts.....	40,464	110	15	7	9.
10. Total fiduciary accounts (sum of items 4 through 9).....	9,669,943	960,541	7,025	590	10.
11. Custody and safekeeping accounts.....		4,687,499		1,322	11.
12. Not applicable					
13. Individual Retirement Accounts, Health Savings Accounts, and other similar accounts (included in items 5c and 11)	374,979	340,350	995	215	13.

Schedule T - Fiduciary and Related Services - Continued

Memoranda

	(Column A)	(Column B)	(Column C)	
	Personal Trust and Agency and Investment Management Agency Accounts	Employee Benefit and Retirement-Related Trust and Agency Accounts	All Other Accounts	
	(000s)	(000s)	(000s)	
1. Managed assets held in fiduciary accounts:				
a. Noninterest-bearing deposits.....	9,876	20	7	M.1.a.
b. Interest-bearing deposits.....	140,923	7,591	2,953	M.1.b.
c. U.S. Treasury and U.S. Government agency obligations.....	439,364	27,057	18,559	M.1.c.
d. State, county and municipal obligations.....	1,369,723	8,199	6,613	M.1.d.
e. Money market mutual funds.....	524,819	36,311	27,791	M.1.e.
f. Equity mutual funds.....	738,656	156,435	47,409	M.1.f.
g. Other mutual funds.....	880,906	150,303	43,284	
h. Common trust funds and collective investment funds.....				
i. Other short-term obligations.....	70,393			
j. Other notes and bonds.....	527,502	55,517	25,584	M.1.g.
k. Investments in unregistered funds and private equity investments.....	111,003	17	109	
l. Other common and preferred stocks.....	2,151,689	174,264	55,802	M.1.h.
m. Real estate mortgages.....	28,413	418		M.1.i.
n. Real estate.....	912,515	2,986	915	M.1.j.
o. Miscellaneous assets.....	880,761	3,630	31,700	M.1.k.
p. Total managed assets held in fiduciary accounts (for each column, sum of Memorandum items 1.a through 1.o)	8,786,543	622,748	260,726	
q. Investments of managed fiduciary accounts in advised or sponsored mutual funds.....		(Column A) Managed Assets	(Column B) Number of Managed Assets	

Memoranda

(Column A) Number of Issues	(Column B) Principal Amount Outstanding

	(000s)	
2. Corporate trust and agency accounts:		
a. Corporate and municipal trusteeships.....		M.2.a.
(1) Issues reported in Memoranda 2a. that are in default.....		M.2.a.1
b. Transfer agent, registrar, paying agent, and other corporate agency.....	1	M.2.b.

	(Column A) Number of Funds	(Column B) Market Value of Fund Assets (000s)	
3. Collective investment funds and common trust funds:			
a. Domestic equity.....			M.3.a
b. International/Global equity.....			M.3.b.
c. Stock/Bond blend.....			M.3.c.
d. Taxable bond.....			M.3.d.
e. Municipal bond.....			M.3.e.
f. Short term investments/Money market.....			M.3.f.
g. Specialty/Other.....			M.3.g.
h. Total collective investment funds (sum of Memorandum items 3.a through 3.g).....			M.3.h.

P. Trust Business for Which Securities Are on Deposit with the State Treasurer

	(Column A) Court Trusts (000s)	(Column B) Private Trusts (000s)	
P.1. Trust business for which securities are on deposit with the State Treasurer.....	304,082	14,235,463	P.1.
P.2. Less: real estate.....	37,871		P.2.
P.3. Trust business on which security is required.....	266,211	14,235,463	P.3.
P.4. Amount of security required by Sections 1540 and 1541 of the Financial Code.....	4,075	1,600	P.4.
P.5. Market value of securities on deposit with the State Treasurer.....	9,342	5,927	P.5.
P.6. Excess or deficiency; denote negative amount in ().....	5,267	4,327	P.6.

FIDUCIARY AND RELATED SERVICES INCOME

	(000s)	
14. Personal trust and agency accounts.....	34,899	14.
15. Employee benefit and retirement-related trust and agency accounts:		
a. Employee benefit-defined contribution	2,289	15.a.
b. Employee benefit-defined benefit.....	580	15.b.
c. Other employee benefit and retirement-related accounts.....	3,459	15.c.
16. Corporate trust and agency accounts	1	16.
17. Investment management and investment advisory agency accounts	14,714	17.
18. Foundation and endowment trust and agency accounts.....	1,534	18.
19. Other fiduciary accounts	102	19.
20. Custody and safekeeping accounts	4,582	20.
21. Other fiduciary and related services income.....	347	21.
22. Total gross fiduciary and related services income (sum of items 14 through 21)	62,508	22.
23. Less: Expenses.....	60,305	23.
24. Less: Net losses from fiduciary and related services.....	148	24.
25. Plus: Intracompany income credits for fiduciary and related services	10,867	25.
26. Net fiduciary and related services income	12,922	26.

Memoranda

	(Column A) Gross Losses Managed Accounts (000s)	(Column B) Gross Losses Non-Managed Accounts (000s)	(Column C) Recoveries (000s)	
4. Fiduciary settlements, surcharges, and other losses				
a. Personal trust and agency accounts.....	148	6	105	M.4.a.
b. Employee benefit and retirement-related trust and agency accounts				M.4.b.
c. Investment management and investment advisory..... agency accounts.....	95		8	M.4.c.
d. Other fiduciary accounts and related services.....	2	5	2	M.4.d.
e. Total fiduciary settlements, surcharges, and other losses (sum of Memorandum items 4.a through 4.d) (sum of columns A and B minus column C must equal				