

Schedule T - Fiduciary and Related Services

(State Chartered Banks)

as of December 31, 2014

| | (Column A) Managed Assets | (Column B) Non-Managed Assets | (Column C) Number of Managed Accounts | (Column D) Number of Non-Managed Accounts | |
|---|---------------------------------|-------------------------------------|--|--|------|
| | (000s) | (000s) | | | |
| FIDUCIARY AND RELATED ASSETS | | | | | |
| 4. Personal trust and agency accounts..... | 6,557,415 | 204,792 | 3,988 | 116 | 4. |
| 5. Employee benefit and retirement-related trust and agency accounts: | | | | | 5. |
| a. Employee benefit - defined contribution..... | 115,493 | 491,435 | 90 | 162 | 5.a. |
| b. Employee benefit - defined benefit..... | 159,302 | 77,869 | 22 | 5 | 5.b. |
| c. Other employee benefit and retirement-related accounts..... | 513,578 | 200,561 | 1,005 | 223 | 5.c. |
| 6. Corporate trust and agency accounts..... | 23,075 | | 3 | 1 | 6. |
| 7. Investment management and investment advisory agency accounts..... | 3,126,865 | 13,011 | 1,789 | 13 | 7. |
| 8. Foundation and endowment trust and agency accounts..... | 273,694 | 131,538 | 142 | 30 | 8. |
| 9. Other fiduciary accounts..... | 29,828 | 4,846 | 16 | 8 | 9. |
| 10. Total fiduciary accounts (sum of items 4 through 9)..... | 10,799,250 | 1,124,052 | 7,055 | 558 | 10. |
| 11. Custody and safekeeping accounts..... | | 17,009,826 | | 1,225 | 11. |
| 12. Not applicable | | | | | |
| 13. Individual Retirement Accounts, Health Savings Accounts, and other similar accounts (included in items 5c and 11) | 434,899 | 62,930 | 979 | 149 | 13. |

Schedule T - Fiduciary and Related Services - Continued

| Memoranda | (Column A) | (Column B) | (Column C) | |
|---|---|---|--------------------|--------|
| | Personal Trust and Agency and Investment Management Agency Accounts | Employee Benefit and Retirement-Related Trust and Agency Accounts | All Other Accounts | |
| | (000s) | (000s) | (000s) | |
| 1. Managed assets held in fiduciary accounts: | | | | |
| a. Noninterest-bearing deposits..... | 6,234 | 416 | 94 | M.1.a. |
| b. Interest-bearing deposits..... | 143,423 | 9,514 | 3,001 | M.1.b. |
| c. U.S. Treasury and U.S. Government agency obligations..... | 250,466 | 24,459 | 10,488 | M.1.c. |
| d. State, county and municipal obligations..... | 1,362,283 | 7,954 | 4,475 | M.1.d. |
| e. Money market mutual funds..... | 506,495 | 31,171 | 34,706 | M.1.e. |
| f. Equity mutual funds..... | 1,240,100 | 238,990 | 64,094 | M.1.f. |
| g. Other mutual funds..... | 1,073,311 | 175,171 | 47,572 | |
| h. Common trust funds and collective investment funds..... | | | | |
| i. Other short-term obligations..... | 82,857 | | | |
| j. Other notes and bonds..... | 493,073 | 56,447 | 35,532 | M.1.g. |
| k. Investments in unregistered funds and private equity investments..... | 239,465 | 736 | 504 | |
| l. Other common and preferred stocks..... | 2,552,954 | 231,176 | 84,823 | M.1.h. |
| m. Real estate mortgages..... | 38,557 | 8,983 | 5,202 | M.1.i. |
| n. Real estate..... | 846,775 | 1,159 | 32,887 | M.1.j. |
| o. Miscellaneous assets..... | 848,288 | 2,197 | 3,218 | M.1.k. |
| p. Total managed assets held in fiduciary accounts (for each column, sum of Memorandum items 1.a through 1.o) | 9,684,281 | 788,373 | 326,596 | |

q. Investments of managed fiduciary accounts in advised or sponsored mutual funds.....

| | (Column A) Managed Assets | (Column B) Number of Managed Assets |
|--|------------------------------|--|
| | | |
| | | |

Memoranda

2. Corporate trust and agency accounts:

| | (Column A) Number of Issues | (Column B) Principal Amount Outstanding (000s) | |
|---|-----------------------------------|---|---------|
| a. Corporate and municipal trusteeships..... | | | M.2.a. |
| (1) Issues reported in Memoranda 2a. that are in default..... | | | M.2.a.1 |
| b. Transfer agent, registrar, paying agent, and other corporate agency..... | 1 | | M.2.b. |

3. Collective investment funds and common trust funds:

| | (Column A) Number of Funds | (Column B) Market Value of Fund Assets (000s) | |
|---|----------------------------------|--|--------|
| a. Domestic equity..... | | | M.3.a |
| b. International/Global equity..... | | | M.3.b. |
| c. Stock/Bond blend..... | | | M.3.c. |
| d. Taxable bond..... | | | M.3.d. |
| e. Municipal bond..... | | | M.3.e. |
| f. Short term investments/Money market..... | | | M.3.f. |
| g. Specialty/Other..... | | | M.3.g. |
| h. Total collective investment funds (sum of Memorandum items 3.a through 3.g)..... | | | M.3.h. |

P. Trust Business for Which Securities Are on Deposit with the State Treasurer

| | (Column A) Court Trusts (000s) | (Column B) Private Trusts (000s) | |
|---|--------------------------------------|--|------|
| P.1. Trust business for which securities are on deposit with the State Treasurer..... | 331,508 | 26,588,822 | P.1. |
| P.2. Less: real estate..... | 43,933 | | P.2. |
| P.3. Trust business on which security is required..... | 287,575 | 26,588,822 | P.3. |
| P.4. Amount of security required by Sections 1540 and 1541 of the Financial Code..... | 4,150 | 1,500 | P.4. |
| P.5. Market value of securities on deposit with the State Treasurer..... | 10,687 | 5,501 | P.5. |
| P.6. Excess or deficiency; denote negative amount in ()..... | 6,537 | 4,001 | P.6. |

FIDUCIARY AND RELATED SERVICES INCOME

| | (000s) | |
|--|--------|-------|
| 14. Personal trust and agency accounts..... | 38,312 | 14. |
| 15. Employee benefit and retirement-related trust and agency accounts: | | |
| a. Employee benefit-defined contribution | 2,477 | 15.a. |
| b. Employee benefit-defined benefit..... | 623 | 15.b. |
| c. Other employee benefit and retirement-related accounts..... | 4,159 | 15.c. |
| 16. Corporate trust and agency accounts | 76 | 16. |
| 17. Investment management and investment advisory agency accounts | 16,618 | 17. |
| 18. Foundation and endowment trust and agency accounts..... | 1,568 | 18. |
| 19. Other fiduciary accounts | 137 | 19. |
| 20. Custody and safekeeping accounts | 4,707 | 20. |
| 21. Other fiduciary and related services income..... | 343 | 21. |
| 22. Total gross fiduciary and related services income (sum of items 14 through 21) | 69,020 | 22. |
| 23. Less: Expenses..... | 63,957 | 23. |
| 24. Less: Net losses from fiduciary and related services..... | 163 | 24. |
| 25. Plus: Intracompany income credits for fiduciary and related services | 9,119 | 25. |
| 26. Net fiduciary and related services income | 14,019 | 26. |

Memoranda

| | (Column A) Gross Losses Managed Accounts (000s) | (Column B) Gross Losses Non-Managed Accounts (000s) | (Column C) Recoveries (000s) | |
|--|---|---|------------------------------------|--------|
| 4. Fiduciary settlements, surcharges, and other losses | | | | |
| a. Personal trust and agency accounts..... | 135 | 5 | | M.4.a. |
| b. Employee benefit and retirement-related trust and agency accounts | 53 | | 32 | M.4.b. |
| c. Investment management and investment advisory..... agency accounts..... | 1 | | | M.4.c. |
| d. Other fiduciary accounts and related services..... | | | | M.4.d. |
| e. Total fiduciary settlements, surcharges, and other losses (sum of Memorandum items 4.a through 4.d) (sum of columns A and B minus column C must equal | | | | |