

Schedule T - Fiduciary and Related Services

(State Chartered Banks)

as of December 31, 2015

	(Column A) Managed Assets	(Column B) Non-Managed Assets	(Column C) Number of Managed Accounts	(Column D) Number of Non-Managed Accounts	
	(000s)	(000s)			
FIDUCIARY AND RELATED ASSETS					
4. Personal trust and agency accounts.....	7,187,704	236,977	3,963	111	4.
5. Employee benefit and retirement-related trust and agency accounts:					5.
a. Employee benefit - defined contribution.....	108,984	462,733	84	130	5.a.
b. Employee benefit - defined benefit.....	153,911	73,411	18	4	5.b.
c. Other employee benefit and retirement-related accounts.....	524,336	251,651	1,023	188	5.c.
6. Corporate trust and agency accounts.....	18,724		1	1	6.
7. Investment management and investment advisory agency accounts.....	3,138,410	15,048	1,742	7	7.
8. Foundation and endowment trust and agency accounts.....	328,254	16,070	188	5	8.
9. Other fiduciary accounts.....	29,378	107,274	16	42	9.
10. Total fiduciary accounts (sum of items 4 through 9).....	11,489,701	1,163,164	7,035	488	10.
11. Custody and safekeeping accounts.....		14,196,558		1,136	11.
12. Not applicable					
13. Individual Retirement Accounts, Health Savings Accounts, and other similar accounts (included in items 5c and 11)	452,700	52,457	1,009	118	13.

Schedule T - Fiduciary and Related Services - Continued

Memoranda	(Column A)	(Column B)	(Column C)	
	Personal Trust and Agency and Investment Management Agency Accounts	Employee Benefit and Retirement-Related Trust and Agency Accounts	All Other Accounts	
	(000s)	(000s)	(000s)	
1. Managed assets held in fiduciary accounts:				
a. Noninterest-bearing deposits.....	303	221	120	M.1.a.
b. Interest-bearing deposits.....	197,115	17,034	8,154	M.1.b.
c. U.S. Treasury and U.S. Government agency obligations.....	285,410	29,083	18,005	M.1.c.
d. State, county and municipal obligations.....	1,503,794	11,712	6,228	M.1.d.
e. Money market mutual funds.....	527,538	27,985	23,291	M.1.e.
f. Equity mutual funds.....	1,117,682	225,539	81,813	M.1.f.
g. Other mutual funds.....	1,044,441	172,835	61,272	
h. Common trust funds and collective investment funds.....				
i. Other short-term obligations.....	83,427			
j. Other notes and bonds.....	438,050	56,183	36,822	M.1.g.
k. Investments in unregistered funds and private equity investments.....	857,138	689	3,534	
l. Other common and preferred stocks.....	2,621,102	242,126	97,240	M.1.h.
m. Real estate mortgages.....	52,297	401	5,173	M.1.i.
n. Real estate.....	858,870	960	31,639	M.1.j.
o. Miscellaneous assets.....	738,945	2,467	3,065	M.1.k.
p. Total managed assets held in fiduciary accounts (for each column, sum of Memorandum items 1.a through 1.o)	10,326,112	787,235	376,356	

q. Investments of managed fiduciary accounts in advised or sponsored mutual funds.....

	(Column A) Managed Assets	(Column B) Number of Managed Assets

Memoranda

2. Corporate trust and agency accounts:

	(Column A) Number of Issues	(Column B) Principal Amount Outstanding (000s)	
a. Corporate and municipal trusteeships.....			M.2.a.
(1) Issues reported in Memoranda 2a. that are in default.....			M.2.a.1
b. Transfer agent, registrar, paying agent, and other corporate agency.....	1		M.2.b.

3. Collective investment funds and common trust funds:

	(Column A) Number of Funds	(Column B) Market Value of Fund Assets (000s)	
a. Domestic equity.....			M.3.a
b. International/Global equity.....			M.3.b.
c. Stock/Bond blend.....			M.3.c.
d. Taxable bond.....			M.3.d.
e. Municipal bond.....			M.3.e.
f. Short term investments/Money market.....			M.3.f.
g. Specialty/Other.....			M.3.g.
h. Total collective investment funds (sum of Memorandum items 3.a through 3.g).....			M.3.h.

P. Trust Business for Which Securities Are on Deposit with the State Treasurer

	(Column A) Court Trusts (000s)	(Column B) Private Trusts (000s)	
P.1. Trust business for which securities are on deposit with the State Treasurer.....			P.1.
P.2. Less: real estate.....			P.2.
P.3. Trust business on which security is required.....			P.3.
P.4. Amount of security required by Sections 1540 and 1541 of the Financial Code.....			P.4.
P.5. Market value of securities on deposit with the State Treasurer.....			P.5.
P.6. Excess or deficiency; denote negative amount in ()......			P.6.

FIDUCIARY AND RELATED SERVICES INCOME

	(000s)	
14. Personal trust and agency accounts.....	36,280	14.
15. Employee benefit and retirement-related trust and agency accounts:		
a. Employee benefit-defined contribution	2,360	15.a.
b. Employee benefit-defined benefit.....	659	15.b.
c. Other employee benefit and retirement-related accounts.....	4,116	15.c.
16. Corporate trust and agency accounts	335	16.
17. Investment management and investment advisory agency accounts	16,711	17.
18. Foundation and endowment trust and agency accounts.....	1,758	18.
19. Other fiduciary accounts	174	19.
20. Custody and safekeeping accounts	4,655	20.
21. Other fiduciary and related services income.....	161	21.
22. Total gross fiduciary and related services income (sum of items 14 through 21)	67,209	22.
23. Less: Expenses.....	64,253	23.
24. Less: Net losses from fiduciary and related services.....	402	24.
25. Plus: Intracompany income credits for fiduciary and related services	8,806	25.
26. Net fiduciary and related services income	11,360	26.

Memoranda

	(Column A) Gross Losses Managed Accounts (000s)	(Column B) Gross Losses Non-Managed Accounts (000s)	(Column C) Recoveries (000s)	
4. Fiduciary settlements, surcharges, and other losses				
a. Personal trust and agency accounts.....	307	33		M.4.a.
b. Employee benefit and retirement-related trust and agency accounts				M.4.b.
c. Investment management and investment advisory..... agency accounts.....	18	4	7	M.4.c.
d. Other fiduciary accounts and related services.....	14	49	17	M.4.d.
e. Total fiduciary settlements, surcharges, and other losses (sum of Memorandum items 4.a through 4.d) (sum of columns A and B minus column C must equal				