

## Schedule T - Fiduciary and Related Services

(State Chartered Banks)

as of December 31, 2016

	(Column A) Managed Assets	(Column B) Non-Managed Assets	(Column C) Number of Managed Accounts	(Column D) Number of Non-Managed Accounts	
	(000s)	(000s)			
<b>FIDUCIARY AND RELATED ASSETS</b>					
4. Personal trust and agency accounts.....	7,056,707	244,760	3,921	118	4.
5. Employee benefit and retirement-related trust and agency accounts:					5.
a. Employee benefit - defined contribution.....	106,226	497,809	83	129	5.a.
b. Employee benefit - defined benefit.....	167,281	91,048	21	4	5.b.
c. Other employee benefit and retirement-related accounts.....	562,263	260,159	1,029	184	5.c.
6. Corporate trust and agency accounts.				1	6.
7. Investment management and investment advisory agency accounts.....	3,411,800	10,555	1,697	4	7.
8. Foundation and endowment trust and agency accounts	400,921	94,453	225	33	8.
9. Other fiduciary accounts .....	75,917	109	19	4	9.
10. Total fiduciary accounts (sum of items 4 through 9) .....	11,781,115	1,198,893	6,995	477	10.
11. Custody and safekeeping accounts.....		15,588,255		1,156	11.
12. Not applicable					
13. Individual Retirement Accounts, Health Savings Accounts, and other similar accounts (included in items 5c and 11)					13.

### Schedule T - Fiduciary and Related Services - Continued

Memoranda

	(Column A)	(Column B)	(Column C)	
	Personal Trust and Agency and Investment Management Agency Accounts	Employee Benefit and Retirement-Related Trust and Agency Accounts	All Other Accounts	
	(000s)	(000s)	(000s)	
1. Managed assets held in fiduciary accounts:				
a. Noninterest-bearing deposits.....	801	4	68	M.1.a.
b. Interest-bearing deposits.....	299,959	14,113	16,652	M.1.b.
c. U.S. Treasury and U.S. Government agency obligations.....	353,125	32,997	14,961	M.1.c.
d. State, county and municipal obligations.....	1,543,383	18,220	26,992	M.1.d.
e. Money market mutual funds.....	460,186	35,692	28,804	M.1.e.
f. Equity mutual funds.....	1,243,100	239,566	118,953	M.1.f.
g. Other mutual funds.....	1,014,572	183,101	74,556	
h. Common trust funds and collective investment funds.....				
i. Other short-term obligations.....	140,997			
j. Other notes and bonds.....	485,953	59,520	40,403	M.1.g.
k. Investments in unregistered funds and private equity investments.....	282,433	680	371	
l. Other common and preferred stocks.....	2,707,847	247,901	125,290	M.1.h.
m. Real estate mortgages.....	72,185	264	5,173	M.1.i.
n. Real estate.....	596,244	1,106	22,556	M.1.j.
o. Miscellaneous assets.....	1,267,726	2,608	2,061	M.1.k.
p. Total managed assets held in fiduciary accounts (for each column, sum of Memorandum items 1.a through 1.o)	10,468,511	835,771	476,840	

q. Investments of managed fiduciary accounts in advised or sponsored mutual funds.....

	(Column A) Managed Assets	(Column B) Number of Managed Assets

Memoranda

2. Corporate trust and agency accounts:

- a. Corporate and municipal trusteeships.....
- (1) Issues reported in Memoranda 2a. that are in default.....
- b. Transfer agent, registrar, paying agent, and other corporate agency.....

(Column A) Number of Issues	(Column B) Principal Amount Outstanding (000s)
1	

M.2.a.  
M.2.a.1  
M.2.b.

3. Collective investment funds and common trust funds:

- a. Domestic equity.....
- b. International/Global equity.....
- c. Stock/Bond blend.....
- d. Taxable bond.....
- e. Municipal bond.....
- f. Short term investments/Money market.....
- g. Specialty/Other.....
- h. Total collective investment funds (sum of Memorandum items 3.a through 3.g).....

(Column A) Number of Funds	(Column B) Market Value of Fund Assets (000s)

M.3.a  
M.3.b.  
M.3.c.  
M.3.d.  
M.3.e.  
M.3.f.  
M.3.g.  
M.3.h.

**P. Trust Business for Which Securities Are on Deposit with the State Treasurer**

- P.1. Trust business for which securities are on deposit with the State Treasurer.....
- P.2. Less: real estate.....
- P.3. Trust business on which security is required.....
- P.4. Amount of security required by Sections 1540 and 1541 of the Financial Code.....
- P.5. Market value of securities on deposit with the State Treasurer.....
- P.6. Excess or deficiency; denote negative amount in ( ).....

(Column A) Court Trusts (000s)	(Column B) Private Trusts (000s)
397,935	27,150,292
57,924	
340,011	27,150,292
3,925	1,300
1,534,727	1,388,947
1,530,802	1,387,647

P.1.  
P.2.  
P.3.  
P.4.  
P.5.  
P.6.

**Schedule T - Fiduciary and Related Services**  
**(State Chartered Banks)**  
**as of December 31, 2016**

**SCHEDULE T -Fiduciary and Related Services**

**INCOME**

FIDUCIARY AND RELATED SERVICES INCOME	(000s)	
14. Personal trust and agency accounts.....	40,040	14.
15. Employee benefit and retirement-related trust and agency accounts:		
a. Employee benefit-defined contribution .....	2,363	15.a.
b. Employee benefit-defined benefit.....	686	15.b.
c. Other employee benefit and retirement-related accounts.....	4,246	15.c.
16. Corporate trust and agency accounts .....		16.
17. Investment management and investment advisory agency accounts .....	18,031	17.
18. Foundation and endowment trust and agency accounts.....	1,998	18.
19. Other fiduciary accounts .....	411	19.
20. Custody and safekeeping accounts .....	5,253	20.
21. Other fiduciary and related services income.....	205	21.
22. Total gross fiduciary and related services income (sum of items 14 through 21) .....	73,233	22.
23. Less: Expenses.....	65,617	23.
24. Less: Net losses from fiduciary and related services.....	31	24.
25. Plus: Intracompany income credits for fiduciary and related services .....	9,331	25.
26. Net fiduciary and related services income .....	16,917	26.

Memoranda

	(Column A) Gross Losses Managed Accounts (000s)	(Column B) Gross Losses Non-Managed Accounts (000s)	(Column C) Recoveries (000s)	
4. Fiduciary settlements, surcharges, and other losses				
a. Personal trust and agency accounts.....	37		31	M.4.a.
b. Employee benefit and retirement-related trust and agency accounts .....	2	2		M.4.b.
c. Investment management and investment advisory..... agency accounts.....	26		13	M.4.c.
d. Other fiduciary accounts and related services.....	5	3		M.4.d.
e. Total fiduciary settlements, surcharges, and other losses (sum of Memorandum items 4.a through 4.d) (sum of columns A and B minus column C must equal Schedule T, item 21).....	71	5	44	M.4.e.