

## Schedule T - Fiduciary and Related Services

(State Chartered Banks)

as of December 31, 2017

	(Column A) Managed Assets	(Column B) Non-Managed Assets	(Column C) Number of Managed Accounts	(Column D) Number of Non-Managed Accounts	
	(000s)	(000s)			
<b>FIDUCIARY AND RELATED ASSETS</b>					
4. Personal trust and agency accounts.....	7,899,774	307,621	3,874	120	4.
5. Employee benefit and retirement-related trust and agency accounts:					5.
a. Employee benefit - defined contribution.....	224,948	532,539	106	130	5.a.
b. Employee benefit - defined benefit.....	182,190	100,386	18	5	5.b.
c. Other employee benefit and retirement-related accounts.....	599,981	203,692	1,043	162	5.c.
6. Corporate trust and agency accounts.....					6.
7. Investment management and investment advisory agency accounts.....	3,568,816	1,952	1,727	3	7.
8. Foundation and endowment trust and agency accounts.....	465,184	131,081	261	34	8.
9. Other fiduciary accounts.....	16,934	108	18	3	9.
10. Total fiduciary accounts (sum of items 4 through 9).....	12,957,827	1,277,379	7,047	457	10.
11. Custody and safekeeping accounts.....		15,834,732		1,110	11.
12. Not applicable					
13. Individual Retirement Accounts, Health Savings Accounts, and other similar accounts (included in items 5c and 11)	536,691	97,929	1,034	156	13.

### Schedule T - Fiduciary and Related Services - Continued

Memoranda	(Column A)	(Column B)	(Column C)	
	Personal Trust and Agency and Investment Management Agency Accounts	Employee Benefit and Retirement-Related Trust and Agency Accounts	All Other Accounts	
	(000s)	(000s)	(000s)	
1. Managed assets held in fiduciary accounts:				
a. Noninterest-bearing deposits.....	226	3	64	M.1.a.
b. Interest-bearing deposits.....	198,968	14,183	13,861	M.1.b.
c. U.S. Treasury and U.S. Government agency obligations.....	378,141	31,577	15,261	M.1.c.
d. State, county and municipal obligations.....	1,552,859	18,091	13,824	M.1.d.
e. Money market mutual funds.....	487,404	48,168	34,814	M.1.e.
f. Equity mutual funds.....	1,502,462	335,351	123,111	M.1.f.
g. Other mutual funds.....	1,138,695	189,167	73,994	
h. Common trust funds and collective investment funds.....				
i. Other short-term obligations.....	89,882			
j. Other notes and bonds.....	584,297	75,808	45,622	M.1.g.
k. Investments in unregistered funds and private equity investments.....	273,763	274	231	
l. Other common and preferred stocks.....	3,216,265	290,231	155,572	M.1.h.
m. Real estate mortgages.....	61,022	1,239	776	M.1.i.
n. Real estate.....	567,214		4,990	M.1.j.
o. Miscellaneous assets.....	1,417,394	3,029		M.1.k.
p. Total managed assets held in fiduciary accounts (for each column, sum of Memorandum items 1.a through 1.o)	11,468,592	1,007,121	482,120	

q. Investments of managed fiduciary accounts in advised or sponsored mutual funds.....

	(Column A) Managed Assets	(Column B) Number of Managed Assets

Memoranda

2. Corporate trust and agency accounts:

	(Column A) Number of Issues	(Column B) Principal Amount Outstanding (000s)	
a. Corporate and municipal trusteeships.....			M.2.a.
(1) Issues reported in Memoranda 2a. that are in default.....			M.2.a.1
b. Transfer agent, registrar, paying agent, and other corporate agency.....			M.2.b.

3. Collective investment funds and common trust funds:

	(Column A) Number of Funds	(Column B) Market Value of Fund Assets (000s)	
a. Domestic equity.....			M.3.a
b. International/Global equity.....			M.3.b.
c. Stock/Bond blend.....			M.3.c.
d. Taxable bond.....			M.3.d.
e. Municipal bond.....			M.3.e.
f. Short term investments/Money market.....			M.3.f.
g. Specialty/Other.....			M.3.g.
h. Total collective investment funds (sum of Memorandum items 3.a through 3.g).....			M.3.h.

**P. Trust Business for Which Securities Are on Deposit with the State Treasurer**

	(Column A) Court Trusts (000s)	(Column B) Private Trusts (000s)	
P.1. Trust business for which securities are on deposit with the State Treasurer.....	453,711	28,392,855	P.1.
P.2. Less: real estate.....	54,240		P.2.
P.3. Trust business on which security is required.....	399,471	28,392,855	P.3.
P.4. Amount of security required by Sections 1540 and 1541 of the Financial Code.....	4,025	1,300	P.4.
P.5. Market value of securities on deposit with the State Treasurer.....	9,489	4,564	P.5.
P.6. Excess or deficiency; denote negative amount in ( ).....	5,464	3,264	P.6.

**Schedule T - Fiduciary and Related Services**  
**(State Chartered Banks)**  
**as of December 31, 2017**

**SCHEDULE T -Fiduciary and Related Services**

**INCOME**

FIDUCIARY AND RELATED SERVICES INCOME	(000s)	
14. Personal trust and agency accounts.....	44,227	14.
15. Employee benefit and retirement-related trust and agency accounts:		
a. Employee benefit-defined contribution .....	2,767	15.a.
b. Employee benefit-defined benefit.....	755	15.b.
c. Other employee benefit and retirement-related accounts.....	4,527	15.c.
16. Corporate trust and agency accounts .....	2	16.
17. Investment management and investment advisory agency accounts .....	18,551	17.
18. Foundation and endowment trust and agency accounts .....	2,833	18.
19. Other fiduciary accounts .....	317	19.
20. Custody and safekeeping accounts .....	5,475	20.
21. Other fiduciary and related services income.....	135	21.
22. Total gross fiduciary and related services income (sum of items 14 through 21) .....	79,589	22.
23. Less: Expenses.....	64,861	23.
24. Less: Net losses from fiduciary and related services.....	327	24.
25. Plus: Intracompany income credits for fiduciary and related services .....	9,577	25.
26. Net fiduciary and related services income .....	23,978	26.

Memoranda

	(Column A) Gross Losses Managed Accounts (000s)	(Column B) Gross Losses Non-Managed Accounts (000s)	(Column C) Recoveries (000s)	
4. Fiduciary settlements, surcharges, and other losses				
a. Personal trust and agency accounts.....	119	10	2	M.4.a.
b. Employee benefit and retirement-related trust and agency accounts .....				M.4.b.
c. Investment management and investment advisory..... agency accounts.....	54			M.4.c.
d. Other fiduciary accounts and related services.....	58	89	2	M.4.d.
e. Total fiduciary settlements, surcharges, and other losses (sum of Memorandum items 4.a through 4.d) (sum of columns A and B minus column C must equal Schedule T, item 21).....	231	99	4	M.4.e.