

Schedule T - Fiduciary and Related Services

(Trust Companies)

as of March 31, 2015

	(Column A) Managed Assets	(Column B) Non-Managed Assets	(Column C) Number of Managed Accounts	(Column D) Number of Non-Managed Accounts	
	(000s)	(000s)			
FIDUCIARY AND RELATED ASSETS					
4. Personal trust and agency accounts.....	4,732,827	1,848,721	1,692	500	4.
5. Employee benefit and retirement- related trust and agency accounts:					5.
a. Employee benefit - defined contribution.....	208,336	3,257,478	65	26	5.a.
b. Employee benefit - defined benefit.....	92,823	311,636	7	6	5.b.
c. Other employee benefit and retirement-related accounts.....	636,565	247,312	767	161	5.c.
6. Corporate trust and agency accounts.	296,138		2		6.
7. Investment management and investment advisory agency accounts.....	69,503,422	475,300	5,018	70	7.
8. Foundation and endowment trust and agency accounts	459,982	114,883	91	9	8.
9. Other fiduciary accounts		140,315		13	9.
10. Total fiduciary accounts (sum of items 4 through 9)	75,930,093	6,395,645	7,642	785	10.
11. Custody and safekeeping accounts.....		12,938,772		225	11.
12. Not applicable					
13. Individual Retirement Accounts, Health Savings Accounts, and other similar accounts (included in items 5c and 11)	497,590	182,206	579	150	13.

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Memoranda

1. Managed assets held in fiduciary accounts:

- a. Noninterest-bearing deposits.....
- b. Interest-bearing deposits.....
- c. U.S. Treasury and U.S. Government agency obligations.....
- d. State, county and municipal obligations.....
- e. Money market mutual funds.....
- f. Equity mutual funds.....
- g. Other mutual funds.....
- h. Common trust funds and collective investment funds.....
- i. Other short-term obligations.....
- j. Other notes and bonds.....
- k. Investments in unregistered funds and private equity investments.....
- l. Other common and preferred stocks.....
- m. Real estate mortgages.....
- n. Real estate.....
- o. Miscellaneous assets.....
- p. Total managed assets held in fiduciary accounts (for each column, sum of Memorandum items 1.a through 1.o)

- q. Investments of managed fiduciary accounts in advised or sponsored mutual funds.....

	(Column A)	(Column B)	(Column C)	
	Personal Trust and Agency and Investment Management Agency Accounts	Employee Benefit and Retirement-Related Trust and Agency Accounts	All Other Accounts	
	(000s)	(000s)	(000s)	
	3,810	159	45	M.1.a.
	79,419	5,854	2,251	M.1.b.
	847,635	14,388	98,428	M.1.c.
	3,737,394	28,165	16,638	M.1.d.
	1,679,316	66,307	58,377	M.1.e.
	5,742,750	357,383	136,448	M.1.f.
	2,441,254	172,394	73,387	M.1.g.
	10,162,439			M.1.h.
	94,062			M.1.i.
	9,902,477	676,547	456,549	M.1.j.
	121,795	19,939	22,466	M.1.k.
	34,092,606	288,366	429,523	M.1.l.
	71,740	165		M.1.m.
	657,719	1,925	17,340	M.1.n.
	3,335,654	11,735	5,232	M.1.o.
	72,970,070	1,643,327	1,316,684	M.1.p.
		(Column A) Managed Assets	(Column B) Number of Managed Assets	
		7,860,007	15,019	M.1.q.

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2. Corporate trust and agency accounts:

	(Column A) Number of Issues	(Column B) Principal Amount Outstanding (000s)	
a. Corporate and municipal trusteeships.....			M.2.a.
(1) Issues reported in Memoranda 2a. that are in default.....			M.2.a.1
b. Transfer agent, registrar, paying agent, and other corporate agency.....			M.2.b.

3. Collective investment funds and common trust funds:

	(Column A) Number of Funds	(Column B) Market Value of Fund Assets (000s)	
a. Domestic equity.....	1	143,575	M.3.a.
b. International/Global equity.....			M.3.b.
c. Stock/Bond blend.....			M.3.c.
d. Taxable bond.....	1	152,563	M.3.d.
e. Municipal bond			M.3.e.
f. Short term investments/Money market.....			M.3.f.
g. Specialty/Other.....			M.3.g.
h. Total collective investment funds (sum of Memorandum items 3.a through 3.g).....	2	296,138	M.3.h.

P. Trust Business for Which Securities Are on Deposit with the State Treasurer

	(Column A) Court Trusts (000s)	(Column B) Private Trusts (000s)	
P.1. Trust business for which securities are on deposit with the State Treasurer.....	60,972	95,202,598	P.1.
P.2. Less: real estate.....			P.2.
P.3. Trust business on which security is required.....	60,972	95,202,598	P.3.
P.4. Amount of security required by Sections 1540 and 1541 of the Financial Code.....	1,750	850	P.4.
P.5. Market value of securities on deposit with the State Treasurer.....	2,050	1,418	P.5.
P.6. Excess or deficiency; denote negative amount in ().....	300	568	P.6.

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INCOME

FIDUCIARY AND RELATED SERVICES INCOME	(000s)	
14. Personal trust and agency accounts.....	7,850	14.
15. Employee benefit and retirement-related trust and agency accounts:		
a. Employee benefit-defined contribution	311	15.a.
b. Employee benefit-defined benefit.....	152	15.b.
c. Other employee benefit and retirement-related accounts.....	1,062	15.c.
16. Corporate trust and agency accounts		16.
17. Investment management and investment advisory agency accounts	104,243	17.
18. Foundation and endowment trust and agency accounts.....	678	18.
19. Other fiduciary accounts	340	19.
20. Custody and safekeeping accounts	353	20.
21. Other fiduciary and related services income.....	2,028	21.
22. Total gross fiduciary and related services income (sum of items 14 through 21)	117,017	22.
23. Less: Expenses.....	111,974	23.
24. Less: Net losses from fiduciary and related services.....	14	24.
25. Plus: Intracompany income credits for fiduciary and related services	336	25.
26. Net fiduciary and related services income	5,365	26.

Memoranda

Memoranda	(Column A) Gross Losses Managed Accounts (000s)	(Column B) Gross Losses Non-Managed Accounts (000s)	(Column C) Recoveries (000s)	
4. Fiduciary settlements, surcharges, and other losses				
a. Personal trust and agency accounts.....		13		M.4.a.
b. Employee benefit and retirement-related trust and agency... accounts				M.4.b.
c. Investment management and investment advisory..... agency accounts.....		1		M.4.c.
d. Other fiduciary accounts and related services.....				M.4.d.
e. Total fiduciary settlements, surcharges, and other losses (sum of Memorandum items 4.a through 4.d) (sum of columns A and B minus column C must equal Schedule T, item 21).....		14		M.4.e.