

Schedule T - Fiduciary and Related Services

(Trust Companies)

as of June 30, 2015

	(Column A) Managed Assets	(Column B) Non-Managed Assets	(Column C) Number of Managed Accounts	(Column D) Number of Non-Managed Accounts	
	(000s)	(000s)			
FIDUCIARY AND RELATED ASSETS					
4. Personal trust and agency accounts.....	4,820,212	1,869,090	1,696	512	4.
5. Employee benefit and retirement- related trust and agency accounts:					5.
a. Employee benefit - defined contribution.....	207,554	3,391,389	65	26	5.a.
b. Employee benefit - defined benefit.....	93,250	314,625	7	7	5.b.
c. Other employee benefit and retirement-related accounts.....	636,996	237,369	798	156	5.c.
6. Corporate trust and agency accounts.	253,156		2		6.
7. Investment management and investment advisory agency accounts.....	68,288,096	495,009	5,067	77	7.
8. Foundation and endowment trust and agency accounts	451,199	104,292	90	7	8.
9. Other fiduciary accounts		140,414		13	9.
10. Total fiduciary accounts (sum of items 4 through 9)	74,750,463	6,552,188	7,725	798	10.
11. Custody and safekeeping accounts.....		12,698,177		225	11.
12. Not applicable					
13. Individual Retirement Accounts, Health Savings Accounts, and other similar accounts (included in items 5c and 11)	493,867	174,266	588	144	13.

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Memoranda

1. Managed assets held in fiduciary accounts:

- a. Noninterest-bearing deposits.....
- b. Interest-bearing deposits.....
- c. U.S. Treasury and U.S. Government agency obligations.....
- d. State, county and municipal obligations.....
- e. Money market mutual funds.....
- f. Equity mutual funds.....
- g. Other mutual funds.....
- h. Common trust funds and collective investment funds.....
- i. Other short-term obligations.....
- j. Other notes and bonds.....
- k. Investments in unregistered funds and private equity investments.....
- l. Other common and preferred stocks.....
- m. Real estate mortgages.....
- n. Real estate.....
- o. Miscellaneous assets.....
- p. Total managed assets held in fiduciary accounts (for each column, sum of Memorandum items 1.a through 1.o)

- q. Investments of managed fiduciary accounts in advised or sponsored mutual funds.....

(Column A)	(Column B)	(Column C)	
Personal Trust and Agency and Investment Management Agency Accounts	Employee Benefit and Retirement-Related Trust and Agency Accounts	All Other Accounts	
(000s)	(000s)	(000s)	
-1,088	424	12	M.1.a.
73,643	7,699	2,086	M.1.b.
853,596	124,872	88,058	M.1.c.
3,743,466	28,693	16,731	M.1.d.
1,499,485	87,320	26,488	M.1.e.
5,948,449	359,220	136,680	M.1.f.
2,415,447	171,617	72,985	M.1.g.
10,185,784			M.1.h.
83,945			M.1.i.
9,647,826	676,872	409,525	M.1.j.
123,579	19,024	23,210	M.1.k.
33,246,666	282,393	411,449	M.1.l.
69,278	151		M.1.m.
708,440	1,713	15,988	M.1.n.
3,171,596	12,983	4,155	M.1.o.
71,770,112	1,772,981	1,207,367	M.1.p.
	(Column A) Managed Assets	(Column B) Number of Managed Assets	
	8,040,599	15,020	M.1.q.

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2. Corporate trust and agency accounts:

	(Column A) Number of Issues	(Column B) Principal Amount Outstanding (000s)	
a. Corporate and municipal trusteeships.....			M.2.a.
(1) Issues reported in Memoranda 2a. that are in default.....			M.2.a.1
b. Transfer agent, registrar, paying agent, and other corporate agency.....			M.2.b.

3. Collective investment funds and common trust funds:

	(Column A) Number of Funds	(Column B) Market Value of Fund Assets (000s)	
a. Domestic equity.....	1	129,211	M.3.a.
b. International/Global equity.....			M.3.b.
c. Stock/Bond blend.....			M.3.c.
d. Taxable bond.....	1	123,944	M.3.d.
e. Municipal bond.....			M.3.e.
f. Short term investments/Money market.....			M.3.f.
g. Specialty/Other.....			M.3.g.
h. Total collective investment funds (sum of Memorandum items 3.a through 3.g).....	2	253,155	M.3.h.

P. Trust Business for Which Securities Are on Deposit with the State Treasurer

	(Column A) Court Trusts (000s)	(Column B) Private Trusts (000s)	
P.1. Trust business for which securities are on deposit with the State Treasurer.....	66,499	93,933,415	P.1.
P.2. Less: real estate.....			P.2.
P.3. Trust business on which security is required.....	66,499	93,933,415	P.3.
P.4. Amount of security required by Sections 1540 and 1541 of the Financial Code.....	1,750	850	P.4.
P.5. Market value of securities on deposit with the State Treasurer.....	2,055	1,449	P.5.
P.6. Excess or deficiency; denote negative amount in ().....	305	599	P.6.

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INCOME

FIDUCIARY AND RELATED SERVICES INCOME	(000s)	
14. Personal trust and agency accounts.....	16,186	14.
15. Employee benefit and retirement-related trust and agency accounts:		
a. Employee benefit-defined contribution	626	15.a.
b. Employee benefit-defined benefit.....	317	15.b.
c. Other employee benefit and retirement-related accounts.....	2,125	15.c.
16. Corporate trust and agency accounts		16.
17. Investment management and investment advisory agency accounts	221,212	17.
18. Foundation and endowment trust and agency accounts.....	1,370	18.
19. Other fiduciary accounts	674	19.
20. Custody and safekeeping accounts	808	20.
21. Other fiduciary and related services income.....	4,227	21.
22. Total gross fiduciary and related services income (sum of items 14 through 21)	247,545	22.
23. Less: Expenses.....	236,247	23.
24. Less: Net losses from fiduciary and related services.....	487	24.
25. Plus: Intracompany income credits for fiduciary and related services	705	25.
26. Net fiduciary and related services income	11,516	26.

Memoranda

Memoranda	(Column A) Gross Losses Managed Accounts (000s)	(Column B) Gross Losses Non-Managed Accounts (000s)	(Column C) Recoveries (000s)	
4. Fiduciary settlements, surcharges, and other losses				
a. Personal trust and agency accounts.....	0	16		M.4.a.
b. Employee benefit and retirement-related trust and agency... accounts				M.4.b.
c. Investment management and investment advisory..... agency accounts.....	1	470		M.4.c.
d. Other fiduciary accounts and related services.....				M.4.d.
e. Total fiduciary settlements, surcharges, and other losses (sum of Memorandum items 4.a through 4.d) (sum of columns A and B minus column C must equal Schedule T, item 21).....	1	486		M.4.e.