

## Schedule T - Fiduciary and Related Services

(Trust Companies)

as of December 31, 2011

	(Column A) Managed Assets	(Column B) Non-Managed Assets	(Column C) Number of Managed Accounts	(Column D) Number of Non-Managed Accounts	
	(000s)	(000s)			
<b>FIDUCIARY AND RELATED ASSETS</b>					
4. Personal trust and agency accounts.....	3,217,695	536,702	1,425	240	4.
5. Employee benefit and retirement- related trust and agency accounts:					5.
a. Employee benefit - defined contribution.....	669,040	2,196,501	37	23	5.a.
b. Employee benefit - defined benefit.....	132,395	250,586	11	7	5.b.
c. Other employee benefit and retirement-related accounts.....	444,872	164,148	560	198	5.c.
6. Corporate trust and agency accounts.					6.
7. Investment management and investment advisory agency accounts.....	69,840,675	123,475	4,079	48	7.
8. Foundation and endowment trust and agency accounts	281,517	52,678	95	21	8.
9. Other fiduciary accounts .....	760,621	118,521	17	7	9.
10. Total fiduciary accounts (sum of items 4 through 9) .....	75,346,816	3,442,611	6,224	544	10.
11. Custody and safekeeping accounts.....		6,926,433		292	11.
12. Not applicable					
13. Individual Retirement Accounts, Health Savings Accounts, and other similar accounts (included in items 5c and 11)	381,949	158,721	238	183	13.

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Memoranda

1. Managed assets held in fiduciary accounts:

- a. Noninterest-bearing deposits.....
- b. Interest-bearing deposits.....
- c. U.S. Treasury and U.S. Government agency obligations.....
- d. State, county and municipal obligations.....
- e. Money market mutual funds.....
- f. Equity mutual funds.....
- g. Other mutual funds.....
- h. Common trust funds and collective investment funds.....
- i. Other short-term obligations.....
- j. Other notes and bonds.....
- k. Investments in unregistered funds and private equity investments.....
- l. Other common and preferred stocks.....
- m. Real estate mortgages.....
- n. Real estate.....
- o. Miscellaneous assets.....
- p. Total managed assets held in fiduciary accounts (for each column, sum of Memorandum items 1.a through 1.o)

- q. Investments of managed fiduciary accounts in advised or sponsored mutual funds.....

	(Column A)	(Column B)	(Column C)	
	Personal Trust and Agency and Investment Management Agency Accounts	Employee Benefit and Retirement-Related Trust and Agency Accounts	All Other Accounts	
	(000s)	(000s)	(000s)	
a.	3,588	3,247	333	M.1.a.
b.	94,766	3,073	180,897	M.1.b.
c.	698,908	43,516	31,734	M.1.c.
d.	3,108,970	23,430	7,234	M.1.d.
e.	1,634,729	72,733	75,888	M.1.e.
f.	3,327,317	239,702	62,103	M.1.f.
g.	1,985,694	125,937	48,070	M.1.g.
h.	10,763,266			M.1.h.
i.	24,361			M.1.i.
j.	9,597,054	469,623	593,779	M.1.j.
k.	56,313	50,578	14,612	M.1.k.
l.	32,107,589	200,156	6,792,569	M.1.l.
m.	75,471	46	73	M.1.m.
n.	358,057	3,083	1,056	M.1.n.
o.	2,443,243	11,184	12,834	M.1.o.
p.	66,279,326	1,246,308	7,821,182	M.1.p.
		(Column A) Managed Assets	(Column B) Number of Managed Assets	
		5,256,588	9,836	M.1.q.

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Memoranda

2. Corporate trust and agency accounts:

	(Column A) Number of Issues	(Column B) Principal Amount Outstanding (000s)	
a. Corporate and municipal trusteeships.....			M.2.a.
(1) Issues reported in Memoranda 2a. that are in default.....			M.2.a.1
b. Transfer agent, registrar, paying agent, and other corporate agency.....			M.2.b.

3. Collective investment funds and common trust funds:

	(Column A) Number of Funds	(Column B) Market Value of Fund Assets (000s)	
a. Domestic equity.....	6	370,132	M.3.a.
b. International/Global equity.....	24	7,046,948	M.3.b.
c. Stock/Bond blend.....	6	1,468,866	M.3.c.
d. Taxable bond.....	17	3,228,058	M.3.d.
e. Municipal bond .....			M.3.e.
f. Short term investments/Money market.....			M.3.f.
g. Specialty/Other.....			M.3.g.
h. Total collective investment funds (sum of Memorandum items 3.a through 3.g).....	53	12,114,004	M.3.h.

**P. Trust Business for Which Securities Are on Deposit with the State Treasurer**

P.1. Trust business for which securities are on deposit with the State Treasurer.....

	(Column A) Court Trusts (000s)	(Column B) Private Trusts (000s)	
P.1. Trust business for which securities are on deposit with the State Treasurer.....	48,620	81,368,690	P.1.
P.2. Less: real estate.....			P.2.
P.3. Trust business on which security is required.....	48,620	81,368,690	P.3.
P.4. Amount of security required by Sections 1540 and 1541 of the Financial Code.....	1,750	700	P.4.
P.5. Market value of securities on deposit with the State Treasurer.....	2,134	1,234	P.5.
P.6. Excess or deficiency; denote negative amount in ( ).....	384	534	P.6.

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**INCOME**

FIDUCIARY AND RELATED SERVICES INCOME	(000s)	
14. Personal trust and agency accounts.....	21,975	14.
15. Employee benefit and retirement-related trust and agency accounts:		
a. Employee benefit-defined contribution .....	4,930	15.a.
b. Employee benefit-defined benefit.....	583	15.b.
c. Other employee benefit and retirement-related accounts.....	2,780	15.c.
16. Corporate trust and agency accounts .....		16.
17. Investment management and investment advisory agency accounts .....	279,509	17.
18. Foundation and endowment trust and agency accounts.....	1,820	18.
19. Other fiduciary accounts .....	7,701	19.
20. Custody and safekeeping accounts .....	1,708	20.
21. Other fiduciary and related services income.....	18,597	21.
22. Total gross fiduciary and related services income (sum of items 14 through 21) .....	339,603	22.
23. Less: Expenses.....	413,222	23.
24. Less: Net losses from fiduciary and related services.....	141	24.
25. Plus: Intracompany income credits for fiduciary and related services .....	37,647	25.
26. Net fiduciary and related services income .....	(36,112)	26.

Memoranda

Memoranda	(Column A) Gross Losses Managed Accounts (000s)	(Column B) Gross Losses Non-Managed Accounts (000s)	(Column C) Recoveries  (000s)	
4. Fiduciary settlements, surcharges, and other losses				
a. Personal trust and agency accounts.....	11	33		M.4.a.
b. Employee benefit and retirement-related trust and agency... accounts	42			M.4.b.
c. Investment management and investment advisory..... agency accounts.....		55		M.4.c.
d. Other fiduciary accounts and related services.....				M.4.d.
e. Total fiduciary settlements, surcharges, and other losses (sum of Memorandum items 4.a through 4.d) (sum of columns A and B minus column C must equal Schedule T, item 21).....	53	88		M.4.e.