

Schedule T - Fiduciary and Related Services

(Trust Companies)

as of March 31, 2016

	(Column A) Managed Assets	(Column B) Non-Managed Assets	(Column C) Number of Managed Accounts	(Column D) Number of Non-Managed Accounts	
	(000s)	(000s)			
FIDUCIARY AND RELATED ASSETS					
4. Personal trust and agency accounts.....	4,735,796	2,022,194	1,735	382	4.
5. Employee benefit and retirement- related trust and agency accounts:					5.
a. Employee benefit - defined contribution.....	69,286	3,230,679	38	36	5.a.
b. Employee benefit - defined benefit.....	87,541	306,452	10	8	5.b.
c. Other employee benefit and retirement-related accounts.....	350,144	256,103	565	152	5.c.
6. Corporate trust and agency accounts.	86,341		1	1	6.
7. Investment management and investment advisory agency accounts.....	40,601,510	440,981	1,576	66	7.
8. Foundation and endowment trust and agency accounts	409,728	107,073	88	8	8.
9. Other fiduciary accounts		134,919		3	9.
10. Total fiduciary accounts (sum of items 4 through 9)	46,340,346	6,498,401	4,013	656	10.
11. Custody and safekeeping accounts.....		10,870,817		219	11.
12. Not applicable					
13. Individual Retirement Accounts, Health Savings Accounts, and other similar accounts (included in items 5c and 11)	190,275	137,247	332	129	13.

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Memoranda

1. Managed assets held in fiduciary accounts:

- a. Noninterest-bearing deposits.....
- b. Interest-bearing deposits.....
- c. U.S. Treasury and U.S. Government agency obligations.....
- d. State, county and municipal obligations.....
- e. Money market mutual funds.....
- f. Equity mutual funds.....
- g. Other mutual funds.....
- h. Common trust funds and collective investment funds.....
- i. Other short-term obligations.....
- j. Other notes and bonds.....
- k. Investments in unregistered funds and private equity investments.....
- l. Other common and preferred stocks.....
- m. Real estate mortgages.....
- n. Real estate.....
- o. Miscellaneous assets.....
- p. Total managed assets held in fiduciary accounts (for each column, sum of Memorandum items 1.a through 1.o)

- q. Investments of managed fiduciary accounts in advised or sponsored mutual funds.....

(Column A)	(Column B)	(Column C)	
Personal Trust and Agency and Investment Management Agency Accounts	Employee Benefit and Retirement-Related Trust and Agency Accounts	All Other Accounts	
(000s)	(000s)	(000s)	
-4,709	216	103	M.1.a.
77,421	6,496	2,133	M.1.b.
372,023	11,614	39,413	M.1.c.
1,646,870	30,267	18,213	M.1.d.
598,354	47,787	21,415	M.1.e.
542,858	71,778	119,713	M.1.f.
522,436	63,266	68,814	M.1.g.
7,553,547			M.1.h.
73,095			M.1.i.
9,674,516	23,395	92,857	M.1.j.
80,444	4,855	19,696	M.1.k.
20,324,269	241,859	198,949	M.1.l.
73,523	144		M.1.m.
715,227	1,895	4,442	M.1.n.
2,975,260	12,555	3,622	M.1.o.
45,225,134	516,127	589,370	M.1.p.
	(Column A) Managed Assets	(Column B) Number of Managed Assets	
			M.1.q.

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2. Corporate trust and agency accounts:

	(Column A) Number of Issues	(Column B) Principal Amount Outstanding (000s)	
a. Corporate and municipal trusteeships.....			M.2.a.
(1) Issues reported in Memoranda 2a. that are in default.....			M.2.a.1
b. Transfer agent, registrar, paying agent, and other corporate agency.....			M.2.b.

3. Collective investment funds and common trust funds:

	(Column A) Number of Funds	(Column B) Market Value of Fund Assets (000s)	
a. Domestic equity.....			M.3.a.
b. International/Global equity.....			M.3.b.
c. Stock/Bond blend.....			M.3.c.
d. Taxable bond.....	1	86,341	M.3.d.
e. Municipal bond.....			M.3.e.
f. Short term investments/Money market.....			M.3.f.
g. Specialty/Other.....			M.3.g.
h. Total collective investment funds (sum of Memorandum items 3.a through 3.g).....	1	86,341	M.3.h.

P. Trust Business for Which Securities Are on Deposit with the State Treasurer

	(Column A) Court Trusts (000s)	(Column B) Private Trusts (000s)	
P.1. Trust business for which securities are on deposit with the State Treasurer.....	58,774	63,521,374	P.1.
P.2. Less: real estate.....			P.2.
P.3. Trust business on which security is required.....	58,774	63,521,374	P.3.
P.4. Amount of security required by Sections 1540 and 1541 of the Financial Code.....	1,900	851	P.4.
P.5. Market value of securities on deposit with the State Treasurer.....	2,154	1,448	P.5.
P.6. Excess or deficiency; denote negative amount in ().....	254	597	P.6.

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INCOME

FIDUCIARY AND RELATED SERVICES INCOME	(000s)	
14. Personal trust and agency accounts.....	7,790	14.
15. Employee benefit and retirement-related trust and agency accounts:		
a. Employee benefit-defined contribution	265	15.a.
b. Employee benefit-defined benefit.....	153	15.b.
c. Other employee benefit and retirement-related accounts.....	748	15.c.
16. Corporate trust and agency accounts		16.
17. Investment management and investment advisory agency accounts	52,047	17.
18. Foundation and endowment trust and agency accounts.....	618	18.
19. Other fiduciary accounts	76	19.
20. Custody and safekeeping accounts	350	20.
21. Other fiduciary and related services income.....	1,796	21.
22. Total gross fiduciary and related services income (sum of items 14 through 21)	63,843	22.
23. Less: Expenses.....	60,341	23.
24. Less: Net losses from fiduciary and related services.....	62	24.
25. Plus: Intracompany income credits for fiduciary and related services	265	25.
26. Net fiduciary and related services income	3,705	26.

Memoranda

Memoranda	(Column A) Gross Losses Managed Accounts (000s)	(Column B) Gross Losses Non-Managed Accounts (000s)	(Column C) Recoveries (000s)	
4. Fiduciary settlements, surcharges, and other losses				
a. Personal trust and agency accounts.....	0	43		M.4.a.
b. Employee benefit and retirement-related trust and agency... accounts				M.4.b.
c. Investment management and investment advisory..... agency accounts.....		19		M.4.c.
d. Other fiduciary accounts and related services.....				M.4.d.
e. Total fiduciary settlements, surcharges, and other losses (sum of Memorandum items 4.a through 4.d) (sum of columns A and B minus column C must equal Schedule T, item 21).....	0	62		M.4.e.