

Schedule T - Fiduciary and Related Services

(Trust Companies)

as of June 30, 2014

	(Column A) Managed Assets	(Column B) Non-Managed Assets	(Column C) Number of Managed Accounts	(Column D) Number of Non-Managed Accounts	
	(000s)	(000s)			
FIDUCIARY AND RELATED ASSETS					
4. Personal trust and agency accounts.....	5,255,685	1,730,112	1,669	454	4.
5. Employee benefit and retirement- related trust and agency accounts:					5.
a. Employee benefit - defined contribution.....	381,507	3,170,444	41	30	5.a.
b. Employee benefit - defined benefit.....	124,962	296,723	8	6	5.b.
c. Other employee benefit and retirement-related accounts.....	595,183	236,982	709	166	5.c.
6. Corporate trust and agency accounts.					6.
7. Investment management and investment advisory agency accounts.....	74,525,071	443,621	4,812	62	7.
8. Foundation and endowment trust and agency accounts	465,126	190,071	94	24	8.
9. Other fiduciary accounts	441,522	145,599	2	13	9.
10. Total fiduciary accounts (sum of items 4 through 9)	81,789,056	6,213,552	7,335	755	10.
11. Custody and safekeeping accounts.....		7,763,758		201	11.
12. Not applicable					
13. Individual Retirement Accounts, Health Savings Accounts, and other similar accounts (included in items 5c and 11)	473,433	178,092	548	152	13.

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Memoranda

1. Managed assets held in fiduciary accounts:

- a. Noninterest-bearing deposits.....
- b. Interest-bearing deposits.....
- c. U.S. Treasury and U.S. Government agency obligations.....
- d. State, county and municipal obligations.....
- e. Money market mutual funds.....
- f. Equity mutual funds.....
- g. Other mutual funds.....
- h. Common trust funds and collective investment funds.....
- i. Other short-term obligations.....
- j. Other notes and bonds.....
- k. Investments in unregistered funds and private equity investments.....
- l. Other common and preferred stocks.....
- m. Real estate mortgages.....
- n. Real estate.....
- o. Miscellaneous assets.....
- p. Total managed assets held in fiduciary accounts (for each column, sum of Memorandum items 1.a through 1.o)

- q. Investments of managed fiduciary accounts in advised or sponsored mutual funds.....

	(Column A)	(Column B)	(Column C)	
	Personal Trust and Agency and Investment Management Agency Accounts	Employee Benefit and Retirement-Related Trust and Agency Accounts	All Other Accounts	
	(000s)	(000s)	(000s)	
a.	7,006	663	57	M.1.a.
b.	77,823	6,158	2,408	M.1.b.
c.	1,592,073	21,851	79,388	M.1.c.
d.	3,493,711	27,347	16,301	M.1.d.
e.	1,867,924	65,939	139,838	M.1.e.
f.	5,895,601	331,472	129,689	M.1.f.
g.	2,318,846	165,504	66,382	M.1.g.
h.	10,950,422			M.1.h.
i.	119,493			M.1.i.
j.	10,371,788	202,176	1,009,209	M.1.j.
k.	105,820	5,473	41,818	M.1.k.
l.	38,336,090	261,768	465,297	M.1.l.
m.	68,318	2,430		M.1.m.
n.	530,833	1,971	11,646	M.1.n.
o.	2,987,483	8,900	2,139	M.1.o.
p.	78,723,231	1,101,652	1,964,172	M.1.p.
		(Column A) Managed Assets	(Column B) Number of Managed Assets	
q.		7,927,656	71,070	M.1.q.

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Memoranda

2. Corporate trust and agency accounts:

	(Column A) Number of Issues	(Column B) Principal Amount Outstanding (000s)	
a. Corporate and municipal trusteeships.....			M.2.a.
(1) Issues reported in Memoranda 2a. that are in default.....			M.2.a.1
b. Transfer agent, registrar, paying agent, and other corporate agency.....			M.2.b.

3. Collective investment funds and common trust funds:

	(Column A) Number of Funds	(Column B) Market Value of Fund Assets (000s)	
a. Domestic equity.....			M.3.a.
b. International/Global equity.....	1	155,280	M.3.b.
c. Stock/Bond blend.....			M.3.c.
d. Taxable bond.....			M.3.d.
e. Municipal bond	1	286,242	M.3.e.
f. Short term investments/Money market.....			M.3.f.
g. Specialty/Other.....			M.3.g.
h. Total collective investment funds (sum of Memorandum items 3.a through 3.g).....	2	441522	M.3.h.

P. Trust Business for Which Securities Are on Deposit with the State Treasurer

	(Column A) Court Trusts (000s)	(Column B) Private Trusts (000s)	
P.1. Trust business for which securities are on deposit with the State Treasurer.....	60,904	95,704,237	P.1.
P.2. Less: real estate.....			P.2.
P.3. Trust business on which security is required.....	60,904	95,704,237	P.3.
P.4. Amount of security required by Sections 1540 and 1541 of the Financial Code.....	1,750	850	P.4.
P.5. Market value of securities on deposit with the State Treasurer.....	2,082	1,424	P.5.
P.6. Excess or deficiency; denote negative amount in ().....	332	574	P.6.

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INCOME

FIDUCIARY AND RELATED SERVICES INCOME	(000s)	
14. Personal trust and agency accounts.....	16,280	14.
15. Employee benefit and retirement-related trust and agency accounts:		
a. Employee benefit-defined contribution	631	15.a.
b. Employee benefit-defined benefit.....	297	15.b.
c. Other employee benefit and retirement-related accounts.....	1,950	15.c.
16. Corporate trust and agency accounts		16.
17. Investment management and investment advisory agency accounts	145,724	17.
18. Foundation and endowment trust and agency accounts.....	1,317	18.
19. Other fiduciary accounts	1,054	19.
20. Custody and safekeeping accounts	1,014	20.
21. Other fiduciary and related services income.....	10,444	21.
22. Total gross fiduciary and related services income (sum of items 14 through 21)	178,711	22.
23. Less: Expenses.....	230,820	23.
24. Less: Net losses from fiduciary and related services.....	81	24.
25. Plus: Intracompany income credits for fiduciary and related services	17,158	25.
26. Net fiduciary and related services income	(35,032)	26.

Memoranda

	(Column A) Gross Losses Managed Accounts (000s)	(Column B) Gross Losses Non-Managed Accounts (000s)	(Column C) Recoveries (000s)	
4. Fiduciary settlements, surcharges, and other losses				
a. Personal trust and agency accounts.....				M.4.a.
b. Employee benefit and retirement-related trust and agency... accounts				M.4.b.
c. Investment management and investment advisory..... agency accounts.....	1	80		M.4.c.
d. Other fiduciary accounts and related services.....				M.4.d.
e. Total fiduciary settlements, surcharges, and other losses (sum of Memorandum items 4.a through 4.d) (sum of columns A and B minus column C must equal Schedule T, item 21).....	1	80		M.4.e.