



State Of California  
Business, Transportation And Housing Agency  
**DEPARTMENT OF CORPORATIONS**  
*California's Investment and Financing Authority*

[www.corp.ca.gov](http://www.corp.ca.gov)

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**Arnold Schwarzenegger**  
*Governor*

**Preston DuFauchard**  
*California Corporations Commissioner*

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**DATE: June 15, 2006**

**RELEASE No. 59-FS**

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**FINGERPRINT REQUIREMENT UNDER  
THE CALIFORNIA FINANCE LENDERS LAW**

This release provides information to applicants on the fingerprint requirement under the California Finance Lenders Law. See Financial Code Section 22101(b), as amended by AB 502 (Chap. 425, Stats. 2005).

**WHEN**

On and after July 1, 2006.

**WHAT**

Beginning July 2006, applicants under the California Finance Lenders Law must submit fingerprints to the Department of Corporations (Department) with license applications, for a criminal history background check.

**WHO**

The fingerprint requirement is applicable to all new applicants on and after July 1, 2006 not currently holding a finance lender license; therefore, fingerprints are only required with long form applications.

The individuals who must submit fingerprints include:

- The applicant,
- Officers, directors, and general partners,

- Key persons with direct responsibility for the applicant's lending activities in this state, including the person who will be in charge of the place of business, and
- Persons owning or controlling, directly or indirectly, 10 percent or more of the outstanding equity interests in the lender.

## WHERE

Fingerprint images are provided by electronic submission to the California Department of Justice from a Live Scan location. The California Department of Justice will provide the criminal history information directly to the Department.

The Attorney General maintains information on the procedures for submitting fingerprint images electronically at <http://caag.state.ca.us/fingerprints/index.htm>. A list of Live Scan locations offering fingerprinting services is available on the Attorney General's website at <http://caag.state.ca.us/fingerprints/publications/contact.htm>.

## HOW

### *In-State Applicants*

Each individual must complete the triplicate form titled Request for Live Scan Service available on the Department's website at [www.corp.ca.gov](http://www.corp.ca.gov) under "Applications and Forms" (<http://www.corp.ca.gov/pdf/BC8018CFL.pdf>), and take the triplicate form to a Live Scan location to have his or her fingerprints rolled and submitted electronically to the California Department of Justice for processing.

The applicant will be required to pay the fees charged by the California Department of Justice for processing the fingerprints directly to the Live Scan operator.

### *Out-of-State Applicants*

Applicants are encouraged to obtain fingerprint images through California's Live Scan process, if practical, to significantly decrease the processing time. However, an out-of-state applicant may provide fingerprint images to the Department on fingerprint cards. The applicant must submit the fingerprint cards, the California Department of Justice's form, "Request for Exemption from Mandatory Electronic Fingerprint Submission Requirement," available from the Department or on the Attorney General's website at: <http://caag.state.ca.us/fingerprints/pdf/bcii9004.pdf>, and all required fees, to the Department. The Department will then submit the documents and fees to the California Department of Justice for processing. To obtain fingerprint cards for this purpose, an applicant should contact the Department.

If you need additional assistance, please contact the Department's Call Center at (866) 275-2677 ((866) ASK-CORP).

Preston DuFauchard  
California Corporations Commissioner

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