

**SUMMARY OF ENFORCEMENT ACTIONS (ADMINISTRATIVE AND CIVIL)
BY THE CALIFORNIA DEPARTMENT OF BUSINESS OVERSIGHT
March 2019**

SUBJECT	ACTION	VIOLATIONS & CHARGING SECTIONS
<p>AC3 Capital, LLC Avelino Cortina, III La Jolla, California</p>	<p>Accusation in Support of: 1. Notice of Intent to Issue Order Revoking Investment Adviser Licenses of AC3 Capital, LLC and Avelino Cortina, III 2. Order Suspending Avelino Cortina, III from any Position of Employment, Management, or Control of any Investment Adviser, Broker-Dealer or Commodity Adviser March 4, 2019</p>	<p>Unlawful Broker-Dealer and Investment Adviser Activity (Corporations Code Sections 25212, 25213, 25232 and 25232.1)</p>
<p>Cassandra Kay Roman Cleveland, Ohio</p>	<p>Statement of Issues in Support of Notice of Intention to Issue Order Denying Mortgage Loan Originator Application March 4, 2019</p>	<p>Mortgage Loan Originator License (Financial Code Section 50141)</p>

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Vintage Capital Advisors, LLC David William Stewart Redding, California	Consent Order March 4, 2019	Unlawful Investment Adviser Activity (Corporations Code Sections 25232 and 25232.1)
Wellspring Industry, Inc. ILTF, Inc. dba Tutti Frutti OMB dba O'My Buns! Fullerton, California City of Industry, California	Consent Order March 4, 2019	Unlawful Franchise Investment Law Activity (Corporations Code Sections 31110 and 31402)
Veritas Funding, LLC Midvale, Utah	Consent Order March 4, 2019	Unlawful Residential Mortgage Lending Activity (Financial Code Section 50321)
New Coastal Group, Inc. Frederick M. Bloom aka Fred Bloom Costa Mesa, California	Desist and Refrain Order March 5, 2019	Unlawful Offer and Sale of Securities (Corporations Code Section 25401)

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Beehive Ventures Group, Inc. Anthony Teece Tibor "Tee" Toth Leland, North Carolina Santa Ana, California	Desist and Refrain Order March 5, 2019	Unlawful Broker-Dealer Activity and Offer and Sale of Securities (Corporations Code Sections 25210 and 25401)
Cash America Advance, Inc. Fort Worth, Texas	Settlement Agreement March 6, 2019	Unlawful California Financing Law Activity (Financial Code Sections 22712, 22161(a)(2) and (a)(4), 22337(c) and 22714)
Integrity First Financial Group, Inc. San Diego, California	Consent Order March 7, 2019	Unlawful California Financing Law Activity (Financial Code Section 22700(c))

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<p>Lenders Choice Escrow, Inc. Orange, California</p>	<p>Statement of Facts in Support of Order to Discontinue Violations and Notice of Intent to Make Order Final Order to Discontinue Violations March 11, 2019</p>	<p>Unlawful Escrow Law Activity (Financial Code Section 17602)</p>
<p>Raquel Lynn Bauer Riverside, California</p>	<p>Notice of Petition for Reinstatement March 14, 2019</p>	<p>Unlawful Escrow Law Activity (Government Code Section 11522)</p>
<p>Pacific Equity Food and Beverage, Inc. dba Attibassi Miami, Florida</p>	<p>Citation Including: (1) Desist and Refrain Order (2) Assessment of Administrative Penalties (3) Claim for Ancillary Relief March 14, 2019</p>	<p>Unlawful Franchise Investment Law Activity (Corporations Code Sections 31406 and 31408)</p>

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<p>Martians Incorporated</p> <p>Danny Jay Scheuer aka Daniel Scheuer aka Dan Scheuer aka Dan J. Scheuer aka Daniel J. Scheuer aka Dan Shire</p> <p>Newport Beach, California</p>	<p>Complaint for Preliminary and Permanent Injunction; Civil Penalties; and Ancillary Relief and Violations of Desist and Refrain Orders Issued by the Commissioner</p> <p>March 14, 2019</p>	<p>Unlawful Offer and Sale of Securities</p> <p>(Corporations Code Sections 25110 and 25401)</p>
<p>Gooi Mortgage, Inc.</p> <p>Des Moines, Iowa</p>	<p>Statement of Issues in Support of Order Denying California Residential Mortgage Lender License</p> <p>March 14, 2019</p>	<p>Unlawful Residential Mortgage Lending Activity</p> <p>(Financial Code Sections 50327(a)(1) and 50122(b)(1) and title 10 § 1950.122.4(a)(4))</p>
<p>Oceanside Funding Corp.</p> <p>Toms River, New Jersey</p>	<p>Consent Order</p> <p>March 15, 2019</p>	<p>Unlawful Residential Mortgage Lending Activity</p> <p>(Financial Code Sections 50320, 50002, 50321 and title 10 § 1950.314.1)</p>

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Brian Joseph Decker Temecula, California	Settlement Agreement March 15, 2019	Mortgage Loan Originator License (Financial Code Sections 50141 and 50513)
7 Star Escrow, Inc. San Fernando, California	Accusation to Suspend Escrow Agent License of 7 Star Escrow, Inc. March 18, 2019	Unlawful Escrow Law Activity (Financial Code Section 17608)
Unified Pictures, Inc. Animated Ark, LLC Ben Ruffman Northridge, California	Desist and Refrain Order March 18, 2019	Unlawful Offer and Sale of Securities (Corporations Code Section 25110)
First City Bancorp Mortgage, Inc. Yousef Atabakhsh aka Joe Atab San Jose, California Saratoga, California	Desist and Refrain Order March 18, 2019	Unlawful Offer and Sale of Securities (Corporations Code Section 25401)

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Juan Sopprani aka Juan S. Ludena Upland, California Rancho Cucamonga, California	Desist and Refrain Order March 18, 2019	Mortgage Loan Originator License (Financial Code Section 50002(d))
SunTrust Mortgage, Inc. Richmond, Virginia	Settlement Agreement March 18, 2019	Unlawful Residential Mortgage Lending Activity (Financial Code Section 50204(o))
RLT Management, Inc. Long Beach, California	Accusation March 19, 2019	Unlawful California Financing Law Activity (Financial Code Sections 22714(a)(2) and (a)(3))
Cheryl D. Broussard Contenta Global Capital Group Aventura, Florida Hercules, California Hallandale, Florida	Desist and Refrain Order March 19, 2019	Unlawful Investment Adviser Activity and Offer and Sale of Securities (Corporations Code Sections 25230 and 25401)

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Mortgage Unlimited LLC Garfield, New Jersey	Citation Order Rescinding Order Summarily Revoking California Lenders License March 19, 2019	Unlawful Residential Mortgage Lending Activity (Financial Code Sections 50501.5 and 50401)
QC Financial Services of California, Inc. Dublin, Ohio	Citation Including Desist and Refrain Order March 22, 2019	Unlawful California Deferred Deposit Transaction Law Activity (Financial Code Section 23058)
Strike Acceptance, Inc. Laguna Hills, California	Order (1) Granting Petition for Reinstatement and (2) Reinstating California Financing Law License March 25, 2019	Unlawful California Financing Law Activity (Government Code Section 11522)
James Preimesberger San Ramon, California	Consent Order to Desist and Refrain March 25, 2019	Unlawful Offer and Sale of Securities (Corporations Code Section 25110)

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Akros Investments, Inc. Lufkin, Texas	Order Summarily Revoking Investment Adviser Certificate March 25, 2019	Unlawful Investment Adviser Activity (Corporations Code Section 25242)
David William Congleton dba The Four Percent Solution, LLC Sedona, Arizona	Order Summarily Revoking Investment Adviser Certificate March 25, 2019	Unlawful Investment Adviser Activity (Corporations Code Section 25242)
Jonathan Pitts Carroll dba Carroll & Company Capital Management Houston, Texas	Order Summarily Revoking Investment Adviser Certificate March 25, 2019	Unlawful Investment Adviser Activity (Corporations Code Section 25242)
Schultz and Associates, Inc. Ashland, Oregon	Order Summarily Revoking Investment Adviser Certificate March 25, 2019	Unlawful Investment Adviser Activity (Corporations Code Section 25242)

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Resource Financial Services, Inc. Columbia, South Carolina	Consent Order March 28, 2019	Unlawful Residential Mortgage Lending Activity (Financial Code Sections 50141(c) and (d))
Gateway Mortgage Group, LLC Jenks, Oklahoma	Order to Discontinue Violation and Statement of Facts in Support Thereof Order Assessing Fine March 28, 2019	Unlawful Residential Mortgage Lending Activity (Financial Code Sections 50321 and 50326)
First Heritage Mortgage, LLC Fairfax, Virginia	Order to Discontinue Violation and Statement of Facts in Support Thereof Order Assessing Fine March 28, 2019	Unlawful Residential Mortgage Lending Activity (Financial Code Sections 50321 and 50326)
Deval LLC Irving, Texas	Order to Discontinue Violation and Statement of Facts in Support Thereof Order Assessing Fine March 28, 2019	Unlawful Residential Mortgage Lending Activity (Financial Code Sections 50321 and 50326)

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Assurance Financial Group, L.L.C. Baton Rouge, Louisiana	Order to Discontinue Violation and Statement of Facts in Support Thereof Order Assessing Fine March 28, 2019	Unlawful Residential Mortgage Lending Activity (Financial Code Sections 50321 and 50326)
American Nationwide Mortgage Company, Inc. Tampa, Florida	Order to Discontinue Violation and Statement of Facts in Support Thereof Order Assessing Fine March 28, 2019	Unlawful Residential Mortgage Lending Activity (Financial Code Sections 50321 and 50326)
Premia Mortgage, LLC Troy, Michigan	Statement of Facts in Support of Order to Discontinue Violations and Notice of Intent to Make Order Final Order to Discontinue Violations and Notice of Intent to Make Order Final March 28, 2019	Unlawful Residential Mortgage Lending Activity (Financial Code Section 50321)
Bloomer Biotech Investment Advisers, LLC New York, New York	Order Summarily Revoking Investment Adviser Certificate March 29, 2019	Unlawful Investment Adviser Activity (Corporations Code Section 25242)

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Finance of America Mortgage LLC Horsham, Pennsylvania	Settlement Agreement March 29, 2019	Unlawful Residential Mortgage Lending Activity (Financial Code Sections 50204(o), title 10 § 1950.314.6 and 1950.314.1)
Ascent IT LLC Arlington, Virginia	Order Summarily Revoking Investment Adviser Certificate March 29, 2019	Unlawful Investment Adviser Activity (Corporations Code Section 25242)
David Calvin Smith aka Smith Investment Management Napoleon, Ohio	Order Summarily Revoking Investment Adviser Certificate March 29, 2019	Unlawful Investment Adviser Activity (Corporations Code Section 25242)
Brian A. Wittman Henderson, Nevada	Order Summarily Revoking Investment Adviser Certificate March 29, 2019	Unlawful Investment Adviser Activity (Corporations Code Section 25242)

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Synergy Financial Partners, LLC Chatsworth, California	Order Withdrawing Accusation March 29, 2019	Unlawful California Financing Law Activity (Financial Code Sections 22159, 22715(b) and (d), 22714)
Best Western International, Inc. Phoenix, Arizona	Consent Order March 29, 2019	Unlawful Franchise Investment Law Activity (Corporations Code Sections 31110 and 31402)
AmeriPro Funding, Inc. dba AmeriPro Home Loans aka Hello Mortgage, Inc. Austin, Texas McKinney, Texas	Order Revoking Residential Mortgage Lender License March 29, 2019	Unlawful Residential Mortgage Lending Activity (Financial Code Section 50327)