

Schedule T - Fiduciary and Related Services
(Trust Departments)
As of December 31, 2018

	(Column A) Managed Assets (000s)	(Column B) Non-Managed Assets (000s)	(Column C) Number of Managed Accounts	(Column D) Number of Non-Managed Accounts	
FIDUCIARY AND RELATED ASSETS					
4. Personal trust and agency accounts.....	7,699,059	678,473	3,813	127	4.
5. Employee benefit and retirement- related trust and agency accounts:					5.
a. Employee benefit - defined contribution...	199,152	544,658	98	127	5.a.
b. Employee benefit - defined benefit.....	161,484	58,197	15	5	5.b.
c. Other employee benefit and retirement-related accounts.....	579,017	136,999	1,093	96	5.c.
6. Corporate trust and agency accounts.....	25,613	35,805	8	46	6.
7. Investment management and investment advisory agency accounts.....	3,249,300	2,757	1,778	5	7.
8. Foundation and endowment trust and agency accounts.....	404,979	131,235	264	31	8.
9. Other fiduciary accounts.....	3,435	108	5	3	9.
10. Total fiduciary accounts (sum of items 4 through 9).....	12,322,039	1,588,232	7,074	440	10.
11. Custody and safekeeping accounts.....	0	13,734,492	0	1,122	11.
12. Not applicable					
13. Individual Retirement Accounts, Health Savings Accounts, and other similar accounts (included in items 5c and 11).....	522,429	71,064	1,090	136	13.

Schedule T - Fiduciary and Related Services - Continued (Trust Departments) As of December 31, 2018

Memoranda

	(Column A) Personal Trust and Agency and Investment Management Agency Accounts	(Column B) Employee Benefit and Retirement- Related Trust and Agency Accounts	(Column C) All Other Accounts	
	(000s)	(000s)	(000s)	
1. Managed assets held in fiduciary accounts:				
a. Noninterest-bearing deposits.....	8,865	147	199	M.1.a.
b. Interest-bearing deposits.....	242,900	16,828	13,319	M.1.b.
c. U.S. Treasury and U.S. Government agency obligations.....	430,149	30,629	12,429	M.1.c.
d. State, county and municipal obligations.....	1,368,986	17,814	28,735	M.1.d.
e. Money market mutual funds.....	734,868	49,462	32,563	M.1.e.
f. Equity mutual funds.....	1,222,948	297,451	99,906	M.1.f.
g. Other mutual funds.....	1,074,070	185,032	76,966	M.1.g.
h. Common trust funds and collective investment funds.....	0	0	0	M.1.h.
i. Other short-term obligations.....	114,522	0	0	M.1.i.
j. Other notes and bonds.....	459,344	83,057	20,544	M.1.j.
k. Investments in unregistered funds and private equity investments.....	328,969	300	0	M.1.k.
l. Other common and preferred stocks.....	2,975,022	260,447	142,150	M.1.l.
m. Real estate mortgages.....	49,246	44	0	M.1.m.
n. Real estate.....	637,585	235	730	M.1.n.
o. Miscellaneous assets.....	1,300,885	4,352	340	M.1.o.
p. Total managed assets held in fiduciary accounts (for each column, sum of Memorandum items 1.a through 1.o).....	10,948,359	945,798	427,881	M.1.p.
		(Column A) Managed Assets	(Column B) Number of Managed Assets	
q. Investments of managed fiduciary accounts in advised or sponsored mutual funds.....		0	0	M.1.q.

Schedule T - Fiduciary and Related Services - Continued (Trust Departments) As of December 31, 2018

Memoranda (continued)

2. Corporate trust and agency accounts:

	(Column A) Number of Issues	(Column B) Principal Amount Outstanding (000s)	
a. Corporate and municipal trusteeships.....	0	0	M.2.a.
(1) Issues reported in Memoranda 2a. that are in default.....	0	0	M.2.a.1
b. Transfer agent, registrar, paying agent, and other corporate agency...	0	0	M.2.b.

3. Collective investment funds and common trust funds:

	(Column A) Number of Funds	(Column B) Market Value of Fund Assets (000s)	
a. Domestic equity.....	0	0	M.3.a
b. International/Global equity.....	0	0	M.3.b.
c. Stock/Bond blend.....	0	0	M.3.c.
d. Taxable bond.....	0	0	M.3.d.
e. Municipal bond	0	0	M.3.e.
f. Short term investments/Money market.....	0	0	M.3.f.
g. Specialty/Other.....	0	0	M.3.g.
h. Total collective investment funds (sum of Memorandum items 3.a through 3.g).....	0	0	M.3.h.

P. Trust Business for Which Securities Are on Deposit with the State Treasurer

	(Column A) Court Trusts (000s)	(Column B) Private Trusts (000s)	
P.1. Trust business for which securities are on deposit with the State Treasurer.....	472,705	25,924,327	P.1.
P.2. Less: real estate.....	62,742	0	P.2.
P.3. Trust business on which security is required.....	409,963	25,924,327	P.3.
P.4. Amount of security required by Sections 1540 and 1541 of the Financial Code.....	4,025	1,200	P.4.
P.5. Market value of securities on deposit with the State Treasurer.....	9,956	4,739	P.5.
P.6. Excess or deficiency; denote negative amount in ().....	5,931	3,539	P.6.

Schedule T - Fiduciary and Related Services - Continued
(Trust Departments)
As of December 31, 2018

INCOME

FIDUCIARY AND RELATED SERVICES INCOME	(000s)	
14. Personal trust and agency accounts.....	45,149	14.
15. Employee benefit and retirement-related trust and agency accounts:		
a. Employee benefit-defined contribution.....	3,098	15.a.
b. Employee benefit-defined benefit.....	752	15.b.
c. Other employee benefit and retirement-related accounts.....	4,775	15.c.
16. Corporate trust and agency accounts.....	5	16.
17. Investment management and investment advisory agency accounts.....	19,161	17.
18. Foundation and endowment trust and agency accounts.....	3,020	18.
19. Other fiduciary accounts.....	1	19.
20. Custody and safekeeping accounts.....	5,859	20.
21. Other fiduciary and related services income.....	284	21.
22. Total gross fiduciary and related services income (sum of items 14 through 21).....	82,104	22.
23. Less: Expenses.....	68,297	23.
24. Less: Net losses from fiduciary and related services.....	709	24.
25. Plus: Intracompany income credits for fiduciary and related services.....	8,007	25.
26. Net fiduciary and related services income.....	21,105	26.

Memoranda

	(Column A) Gross Losses Managed Accounts (000s)	(Column B) Gross Losses Non-Managed Accounts (000s)	(Column C) Recoveries (000s)	
4. Fiduciary settlements, surcharges, and other losses				
a. Personal trust and agency accounts.....	544	1	8	M.4.a.
b. Employee benefit and retirement-related trust and agency accounts.....	45	1	0	M.4.b.
c. Investment management and investment advisory agency accounts.....	5	1	0	M.4.c.
d. Other fiduciary accounts and related services.....	41	79	0	M.4.d.
e. Total fiduciary settlements, surcharges, and other losses (sum of Memorandum items 4.a through 4.d) (sum of columns A and B minus column C must equal				