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8 Attorneys for the Complainant

10 BEFORE THE DEPARTMENT OF BUSINESS OVERSIGHT  
11 OF THE STATE OF CALIFORNIA

12 In the Matter of:

IA LICENSE NO. 147070

13 THE COMMISSIONER OF BUSINESS  
14 OVERSIGHT,

15 Complainant,

16 v.

17 STEVE SUSOEFF, LLC, an entity,

18 Respondent.

ORDER SUMMARILY REVOKING  
INVESTMENT ADVISER CERTIFICATE  
PURSUANT TO CORPORATIONS CODE  
SECTION 25242

20 TO: STEVE SUSOEFF, LLC  
21 2904 W. Horizon Ridge Parkway, Suite 120  
22 Henderson, Nevada 89052

23 Jan Lynn Owen, Commissioner of Business Oversight (Commissioner) of the Department of  
24 Business Oversight (DBO) finds that:

25 1. At all relevant times, Steve Susoeff, LLC held a valid and unrevoked investment  
26 adviser certificate issued by the Commissioner on May 29, 2008, pursuant to Corporations Code  
27 section 25230. Steve Susoeff, LLC is an investment adviser business located at 2904 W. Horizon  
28 Ridge Parkway, Suite 120, Henderson, Nevada 89052. Steve Susoeff, LLC is a Nevada limited

1 liability company.

2           2. On or about March 14, 2018, the Commissioner notified Steve Susoeff, LLC that Steve  
3 Susoeff, LLC must designate an email address to receive an online examination form. In response to  
4 the Commissioner's notification, Steve Susoeff, LLC designated an email to receive the online  
5 examination form.

6           3. On or about October 1, 2018, the Commissioner sent the online examination to Steve  
7 Susoeff, LLC to the email designated by Steve Susoeff, LLC. The Commissioner informed Steve  
8 Susoeff, LLC that the online examination must be completed and submitted by November 16, 2018.

9           4. The Commissioner sent four emails, dated October 15, 2018, October 26, 2018,  
10 November 5, 2018, and November 12, 2018, to Steve Susoeff, LLC's designated email address,  
11 reminding Steve Susoeff, LLC of the November 16, 2018 deadline to complete and submit the  
12 online examination.

13           5. Despite the Commissioner's efforts, Steve Susoeff, LLC failed to timely complete and  
14 submit the online examination by the November 16, 2018 deadline.

15           6. Corporations Code section 25241 requires every investment adviser licensed by the  
16 Commissioner to maintain books and records, file reports with the Commissioner, and submit to  
17 examination by the Commissioner, as the Commissioner deems necessary or appropriate in the  
18 public interest or for the protection of investors.

19           NOW THEREFORE, GOOD CAUSE SHOWING, it is hereby ORDERED under the  
20 provisions of Corporations Code section 25242, subdivision (c), that Steve Susoeff, LLC's  
21 investment adviser certificate is summarily revoked for violations of section 25241. This revocation  
22 precludes Steve Susoeff, LLC from engaging in normal investment adviser activities that solicit or  
23 facilitates new business, including for existing clients. This revocation does not preclude Steve  
24 Susoeff, LLC from engaging in activities necessary to the winding down of the business such as  
25 liquidating transactions, transferring accounts, forwarding any checks received to clearing firms,  
26 responding to regulatory inquiries, and otherwise fielding client/customer inquiries for account  
27 servicing or document requests, among other things. This order is effective immediately.

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This order is necessary, in the public interest, for the protection of investors and consistent with the purposes, policies, and provisions of the Corporate Securities Law of 1968.

Dated: April 4, 2019

JAN LYNN OWEN  
Commissioner of Business Oversight

By: \_\_\_\_\_  
MARY ANN SMITH  
Enforcement Division  
Department of Business Oversight