

**Schedule T - Fiduciary and Related Services  
 (Trust Departments)  
 As of March 31, 2019**

	(Column A) Managed Assets (000s)	(Column B) Non-Managed Assets (000s)	(Column C) Number of Managed Accounts	(Column D) Number of Non-Managed Accounts	
<b>FIDUCIARY AND RELATED ASSETS</b>					
4. Personal trust and agency accounts.....	8,061,218	684,126	3,818	119	4.
5. Employee benefit and retirement- related trust and agency accounts:					5.
a. Employee benefit - defined contribution...	214,524	572,031	96	120	5.a.
b. Employee benefit - defined benefit.....	173,547	62,803	15	4	5.b.
c. Other employee benefit and retirement-related accounts.....	598,349	189,254	1,044	142	5.c.
6. Corporate trust and agency accounts.....	0	5,510	0	1	6.
7. Investment management and investment advisory agency accounts.....	3,282,500	2,830	1,709	3	7.
8. Foundation and endowment trust and agency accounts.....	442,220	9,526	268	30	8.
9. Other fiduciary accounts.....	1,220	1,332	4	2	9.
10. Total fiduciary accounts (sum of items 4 through 9).....	12,773,578	1,527,412	6,954	421	10.
11. Custody and safekeeping accounts.....	0	13,638,200	0	1,114	11.
12. Not applicable					
13. Individual Retirement Accounts, Health Savings Accounts, and other similar accounts (included in items 5c and 11).....					13.

## Schedule T - Fiduciary and Related Services - Continued (Trust Departments) As of March 31, 2019

Memoranda

	(Column A) Personal Trust and Agency and Investment Management Agency Accounts	(Column B) Employee Benefit and Retirement- Related Trust and Agency Accounts	(Column C) All Other Accounts	
	(000s)	(000s)	(000s)	
1. Managed assets held in fiduciary accounts:				
a. Noninterest-bearing deposits.....	1,735	40	663	M.1.a.
b. Interest-bearing deposits.....	216,614	13,930	13,646	M.1.b.
c. U.S. Treasury and U.S. Government agency obligations.....	497,647	29,805	13,717	M.1.c.
d. State, county and municipal obligations.....	1,441,154	17,858	8,975	M.1.d.
e. Money market mutual funds.....	670,294	45,965	32,636	M.1.e.
f. Equity mutual funds.....	1,372,679	322,779	114,096	M.1.f.
g. Other mutual funds.....	1,125,720	188,870	78,293	M.1.g.
h. Common trust funds and collective investment funds.....	0	0	0	M.1.h.
i. Other short-term obligations.....	43,808	0	0	M.1.i.
j. Other notes and bonds.....	449,980	86,474	20,899	M.1.j.
k. Investments in unregistered funds and private equity investments.....	348,029	300	0	M.1.k.
l. Other common and preferred stocks.....	3,205,138	275,773	160,174	M.1.l.
m. Real estate mortgages.....	48,607	42	0	M.1.m.
n. Real estate.....	658,476	236	0	M.1.n.
o. Miscellaneous assets.....	1,263,839	4,349	340	M.1.o.
p. Total managed assets held in fiduciary accounts (for each column, sum of Memorandum items 1.a through 1.o).....	11,343,720	986,421	443,439	M.1.p.
		(Column A) Managed Assets	(Column B) Number of Managed Assets	
q. Investments of managed fiduciary accounts in advised or sponsored mutual funds.....		0	0	M.1.q.

## Schedule T - Fiduciary and Related Services - Continued (Trust Departments) As of March 31, 2019

Memoranda (continued)

2. Corporate trust and agency accounts:

	(Column A) Number of Issues	(Column B) Principal Amount Outstanding (000s)	
a. Corporate and municipal trusteeships.....	0	0	M.2.a.
(1) Issues reported in Memoranda 2a. that are in default.....	0	0	M.2.a.1
b. Transfer agent, registrar, paying agent, and other corporate agency...	0		M.2.b.

3. Collective investment funds and common trust funds:

	(Column A) Number of Funds	(Column B) Market Value of Fund Assets (000s)	
a. Domestic equity.....	0	0	M.3.a.
b. International/Global equity.....	0	0	M.3.b.
c. Stock/Bond blend.....	0	0	M.3.c.
d. Taxable bond.....	0	0	M.3.d.
e. Municipal bond .....	0	0	M.3.e.
f. Short term investments/Money market.....	0	0	M.3.f.
g. Specialty/Other.....	0	0	M.3.g.
h. Total collective investment funds (sum of Memorandum items 3.a through 3.g).....	0	0	M.3.h.

**P. Trust Business for Which Securities Are on Deposit with the State Treasurer**

	(Column A) Court Trusts (000s)	(Column B) Private Trusts (000s)	
P.1. Trust business for which securities are on deposit with the State Treasurer.....	477,199	26,227,157	P.1.
P.2. Less: real estate.....	64,367		P.2.
P.3. Trust business on which security is required.....	412,832	26,227,157	P.3.
P.4. Amount of security required by Sections 1540 and 1541 of the Financial Code.....	3,875	1,100	P.4.
P.5. Market value of securities on deposit with the State Treasurer.....	7,355	3,264	P.5.
P.6. Excess or deficiency; denote negative amount in ( ).....	3,480	2,164	P.6.

## Schedule T - Fiduciary and Related Services - Continued (Trust Departments) As of March 31, 2019

### INCOME

<b>FIDUCIARY AND RELATED SERVICES INCOME</b>	(000s)	
14. Personal trust and agency accounts.....	11,295	14.
15. Employee benefit and retirement-related trust and agency accounts:		
a. Employee benefit-defined contribution.....	797	15.a.
b. Employee benefit-defined benefit.....	170	15.b.
c. Other employee benefit and retirement-related accounts.....	1,110	15.c.
16. Corporate trust and agency accounts.....	0	16.
17. Investment management and investment advisory agency accounts.....	4,420	17.
18. Foundation and endowment trust and agency accounts.....	710	18.
19. Other fiduciary accounts.....	28	19.
20. Custody and safekeeping accounts.....	1,522	20.
21. Other fiduciary and related services income.....	82	21.
22. Total gross fiduciary and related services income (sum of items 14 through 21).....	20,134	22.
23. Less: Expenses.....	18,034	23.
24. Less: Net losses from fiduciary and related services.....	-13	24.
25. Plus: Intracompany income credits for fiduciary and related services.....	1,713	25.
26. Net fiduciary and related services income.....	3,826	26.

Memoranda	(Column A) Gross Losses Managed Accounts (000s)	(Column B) Gross Losses Non-Managed Accounts (000s)	(Column C) Recoveries (000s)	
4. Fiduciary settlements, surcharges, and other losses				
a. Personal trust and agency accounts.....	6	2	31	M.4.a.
b. Employee benefit and retirement-related trust and agency accounts.....	0	0	0	M.4.b.
c. Investment management and investment advisory agency accounts.....	10	0	0	M.4.c.
d. Other fiduciary accounts and related services.....	0	0	0	M.4.d.
e. Total fiduciary settlements, surcharges, and other losses (sum of Memorandum items 4.a through 4.d) (sum of columns A and B minus column C must equal Schedule T, item 21).....				M.4.e.