

Schedule T - Fiduciary and Related Services
(Trust Companies)
As of March 31, 2019

	(Column A) Managed Assets (000s)	(Column B) Non-Managed Assets (000s)	(Column C) Number of Managed Accounts	(Column D) Number of Non-Managed Accounts	
FIDUCIARY AND RELATED ASSETS					
4. Personal trust and agency accounts.....	5,220,534	1,857,847	1,725	467	4.
5. Employee benefit and retirement- related trust and agency accounts:					5.
a. Employee benefit - defined contribution..	53,266	4,430,008	35	36	5.a.
b. Employee benefit - defined benefit.....	10,223	311,194	5	11	5.b.
c. Other employee benefit and retirement-related accounts.....	418,010	757,761	647	151	5.c.
6. Corporate trust and agency accounts.....	0	3	0	1	6.
7. Investment management and investment advisory agency accounts.....	41,731,164	512,082	1,680	103	7.
8. Foundation and endowment trust and agency accounts.....	392,225	176,365	85	11	8.
9. Other fiduciary accounts.....	0	177,439	0	4	9.
10. Total fiduciary accounts (sum of items 4 through 9).....	47,825,422	8,222,699	4,177	784	10.
11. Custody and safekeeping accounts.....	0	15,036,471	0	293	11.
12. Not applicable					
13. Individual Retirement Accounts, Health Savings Accounts, and other similar accounts (included in items 5c and 11).....	181,900	653,450	331	142	13.

Schedule T - Fiduciary and Related Services - Continued
(Trust Companies)
As of March 31, 2019

Memoranda

	(Column A) Personal Trust and Agency and Investment Management Agency Accounts	(Column B) Employee Benefit and Retirement- Related Trust and Agency Accounts	(Column C) All Other Accounts	
	(000s)	(000s)	(000s)	
1. Managed assets held in fiduciary accounts:				
a. Noninterest-bearing deposits.....	-16,586	180	109	M.1.a.
b. Interest-bearing deposits.....	92,204	6,177	3,276	M.1.b.
c. U.S. Treasury and U.S. Government agency obligations.....	1,014,188	10,910	20,745	M.1.c.
d. State, county and municipal obligations.....	1,944,737	18,802	16,781	M.1.d.
e. Money market mutual funds.....	739,143	28,993	22,478	M.1.e.
f. Equity mutual funds.....	898,439	84,672	145,042	M.1.f.
g. Other mutual funds.....	403,773	39,066	50,483	M.1.g.
h. Common trust funds and collective investment funds.....	6,447,125	0	0	M.1.h.
i. Other short-term obligations.....	12	0	0	M.1.i.
j. Other notes and bonds.....	9,565,466	9,887	17,817	M.1.j.
k. Investments in unregistered funds and private equity investments.....	42,450	0	0	M.1.k.
l. Other common and preferred stocks.....	21,711,891	264,927	111,549	M.1.l.
m. Real estate mortgages.....	127,469	113	0	M.1.m.
n. Real estate.....	875,048	1,742	3,253	M.1.n.
o. Miscellaneous assets.....	3,094,820	13,838	0	M.1.o.
p. Total managed assets held in fiduciary accounts (for each column, sum of Memorandum items 1.a through 1.o).....	46,940,179	479,307	391,533	M.1.p.
		(Column A) Managed Assets	(Column B) Number of Managed Assets	
q. Investments of managed fiduciary accounts in advised or sponsored mutual funds.....		408,759	676	M.1.q.

Schedule T - Fiduciary and Related Services - Continued (Trust Companies) As of March 31, 2019

Memoranda (continued)

2. Corporate trust and agency accounts:

	(Column A) Number of Issues	(Column B) Principal Amount Outstanding (000s)	
a. Corporate and municipal trusteeships.....	0	0	M.2.a.
(1) Issues reported in Memoranda 2a. that are in default.....	0	0	M.2.a.1
b. Transfer agent, registrar, paying agent, and other corporate agency...	0		M.2.b.

3. Collective investment funds and common trust funds:

	(Column A) Number of Funds	(Column B) Market Value of Fund Assets (000s)	
a. Domestic equity.....	0	0	M.3.a.
b. International/Global equity.....	0	0	M.3.b.
c. Stock/Bond blend.....	0	0	M.3.c.
d. Taxable bond.....	0	0	M.3.d.
e. Municipal bond	0	0	M.3.e.
f. Short term investments/Money market.....	0	0	M.3.f.
g. Specialty/Other.....	0	0	M.3.g.
h. Total collective investment funds (sum of Memorandum items 3.a through 3.g).....	0	0	M.3.h.

P. Trust Business for Which Securities Are on Deposit with the State Treasurer

	(Column A) Court Trusts (000s)	(Column B) Private Trusts (000s)	
P.1. Trust business for which securities are on deposit with the State Treasurer.....	52,595	70,922,493	P.1.
P.2. Less: real estate.....	12,184		P.2.
P.3. Trust business on which security is required.....	40,411	70,922,493	P.3.
P.4. Amount of security required by Sections 1540 and 1541 of the Financial Code.....	1,300	650	P.4.
P.5. Market value of securities on deposit with the State Treasurer.....	1,496	1,890	P.5.
P.6. Excess or deficiency; denote negative amount in ().....	196	1,240	P.6.

Schedule T - Fiduciary and Related Services - Continued (Trust Companies) As of March 31, 2019

INCOME

FIDUCIARY AND RELATED SERVICES INCOME	(000s)	
14. Personal trust and agency accounts.....	9,599	14.
15. Employee benefit and retirement-related trust and agency accounts:		
a. Employee benefit-defined contribution.....	202	15.a.
b. Employee benefit-defined benefit.....	94	15.b.
c. Other employee benefit and retirement-related accounts.....	1,040	15.c.
16. Corporate trust and agency accounts.....	0	16.
17. Investment management and investment advisory agency accounts.....	52,204	17.
18. Foundation and endowment trust and agency accounts.....	663	18.
19. Other fiduciary accounts.....	21	19.
20. Custody and safekeeping accounts.....	563	20.
21. Other fiduciary and related services income.....	2,035	21.
22. Total gross fiduciary and related services income (sum of items 14 through 21).....	66,421	22.
23. Less: Expenses.....	58,890	23.
24. Less: Net losses from fiduciary and related services.....	5	24.
25. Plus: Intracompany income credits for fiduciary and related services.....	-38	25.
26. Net fiduciary and related services income.....	7,488	26.

Memoranda	(Column A) Gross Losses Managed Accounts (000s)	(Column B) Gross Losses Non-Managed Accounts (000s)	(Column C) Recoveries (000s)	
4. Fiduciary settlements, surcharges, and other losses				
a. Personal trust and agency accounts.....	0	0	0	M.4.a.
b. Employee benefit and retirement-related trust and agency accounts.....	0	0	0	M.4.b.
c. Investment management and investment advisory agency accounts.....	0	5	0	M.4.c.
d. Other fiduciary accounts and related services.....	0	0	0	M.4.d.
e. Total fiduciary settlements, surcharges, and other losses (sum of Memorandum items 4.a through 4.d) (sum of columns A and B minus column C must equal Schedule T, item 21).....				M.4.e.