

1 STATE OF CALIFORNIA
2 BUSINESS, CONSUMER SERVICES AND HOUSING AGENCY
3 DEPARTMENT OF BUSINESS OVERSIGHT

4 TO: Charel Faith Hansell Winston
5 4767 Lonesome Dove Drive
6 Shingle Springs, California 95682

7 **DESIST AND REFRAIN ORDER**
8 **(For violations of sections 25110 and 25401 of the Corporations Code)**

9 The Commissioner of Business Oversight (Commissioner) finds that:

10 1. At all relevant times, Charel Faith Hansell Winston (Winston) was an individual with
11 a last known address at 4767 Lonesome Dove Drive, Shingle Springs, California 95682. Winston
12 alleges that she is a “trustee” of Dene Bank and Trust Sovereign Native International Trade Bank, a
13 business entity of unknown formation owned by the Sovereign Dene Tribes of North America with a
14 last known address at 129 Fast Lane, Suite 200, Mooresville, North Carolina 28117.

15 2. Beginning in 2018, Winston offered or sold securities, in the form of promissory notes
16 - investment contracts, in California, in order to raise money to grow “high grade” medical cannabis
17 on the Sovereign Dene Indian Reservation in Canada. Winston and her associates represented to
18 investors that they would grow, sell and distribute cannabis to generate revenue. Investors were not
19 involved in these activities.

20 3. On September 15, 2018, a California investor invested \$20,000 with Winston.
21 Winston promised to repay the principal investment plus a profit of \$230,000 within 60 days of or on
22 or before December 15, 2018.

23 4 These securities were offered or sold in this state in issuer transactions. The
24 Department of Business Oversight has not issued a permit or other form of qualification authorizing
25 Winston or any person to offer or sell the above described securities, in this state.

26 5. When Winston offered and sold these securities in this state, she made at least one
27 untrue statement of material fact when she guaranteed to return to the California investor the entire
28 principal investment plus profit within 60 days, or on before December 15, 2018. To date, Winston
has returned no money to the California investor despite the fact that she requested her principal back

1 plus profit from Winston on numerous occasions in 2018 and 2019.

2 Based on the foregoing, the Commissioner of Business Oversight finds that the promissory
3 note - investment agreements offered and sold by Charel Faith Hansell Winston are securities subject
4 to qualification under the Corporate Securities Law of 1968. These investment agreements were
5 offered and sold without being qualified or exempt, in violation of Corporations Code section 25110.

6 Pursuant to Corporations Code section 25532 Charel Faith Hansell Winston is hereby ordered
7 to desist and refrain from the further offer or sale in California of securities, including, but not limited
8 to promissory note - investment agreements, unless and until qualification has been made under said
9 law or unless exempt.

10 Further, the Commissioner of Business Oversight is of the opinion that the securities in the
11 form of investment agreements were offered and sold in California by means of a written or oral
12 communication which included an untrue statement of material fact or omitted to state a material fact
13 necessary to make the statement made, in light of the circumstances under which they were made, not
14 misleading, in violation of section 25401.

15 Pursuant to section 25532, Charel Faith Hansell Winston is hereby ordered to desist and
16 refrain from offering or selling or buying or offering to buy any security in California, including but
17 not limited to investment agreements, by means of any written or oral communication which includes
18 an untrue statement of material fact or omits to state a material fact necessary in order to make the
19 statements made, in light of circumstances under which they were made, not misleading.

20 This order is necessary, in the public interest, for the protection of investors and consistent
21 with the purposes, policies, and provisions of the Corporate Securities Law of 1968.

22 Dated: June 18, 2019

23 MANUEL P. ALVAREZ
24 Commissioner of Business Oversight

25
26 By _____
27 MARY ANN SMITH
28 Deputy Commissioner
Enforcement Division