

Schedule T - Fiduciary and Related Services
(Trust Companies)
As of June 30, 2019

	(Column A) Managed Assets (000s)	(Column B) Non-Managed Assets (000s)	(Column C) Number of Managed Accounts	(Column D) Number of Non-Managed Accounts	
FIDUCIARY AND RELATED ASSETS					
4. Personal trust and agency accounts.....	148,040,764	62,228,029	1,713	470	4.
5. Employee benefit and retirement- related trust and agency accounts:					5.
a. Employee benefit - defined contribution..	47,217,577	5,454,491	35	36	5.a.
b. Employee benefit - defined benefit.....	4,654,493	353,816	5	8	5.b.
c. Other employee benefit and retirement-related accounts.....	60,897,200	956,357	671	142	5.c.
6. Corporate trust and agency accounts.....	0	3	0	1	6.
7. Investment management and investment advisory agency accounts.....	327,982,457	1,352,089	1,671	102	7.
8. Foundation and endowment trust and agency accounts.....	51,293,744	171,982	85	10	8.
9. Other fiduciary accounts.....	0	153,455	0	4	9.
10. Total fiduciary accounts (sum of items 4 through 9).....	640,086,235	70,670,222	4,180	773	10.
11. Custody and safekeeping accounts.....	0	16,747,374	0	302	11.
12. Not applicable					
13. Individual Retirement Accounts, Health Savings Accounts, and other similar accounts (included in items 5c and 11).....	60,649,143	850,773	338	138	13.

Schedule T - Fiduciary and Related Services - Continued
(Trust Companies)
As of June 30, 2019

Memoranda

	(Column A) Personal Trust and Agency and Investment Management Agency Accounts	(Column B) Employee Benefit and Retirement- Related Trust and Agency Accounts	(Column C) All Other Accounts	
	(000s)	(000s)	(000s)	
1. Managed assets held in fiduciary accounts:				
a. Noninterest-bearing deposits.....	-17,661	515	0	M.1.a.
b. Interest-bearing deposits.....	79,550	6,879	4,032	M.1.b.
c. U.S. Treasury and U.S. Government agency obligations.....	1,101,864	10,634	20,734	M.1.c.
d. State, county and municipal obligations.....	2,035,791	18,439	19,024	M.1.d.
e. Money market mutual funds.....	718,705	24,505	19,690	M.1.e.
f. Equity mutual funds.....	926,561	86,652	151,195	M.1.f.
g. Other mutual funds.....	427,745	40,037	45,567	M.1.g.
h. Common trust funds and collective investment funds.....	6,441,249	0	0	M.1.h.
i. Other short-term obligations.....	50	0	0	M.1.i.
j. Other notes and bonds.....	9,838,684	8,661	17,951	M.1.j.
k. Investments in unregistered funds and private equity investments.....	42,616	0	0	M.1.k.
l. Other common and preferred stocks.....	21,561,515	280,988	115,822	M.1.l.
m. Real estate mortgages.....	123,963	111	0	M.1.m.
n. Real estate.....	899,618	1,736	3,347	M.1.n.
o. Miscellaneous assets.....	3,236,541	13,951	0	M.1.o.
p. Total managed assets held in fiduciary accounts (for each column, sum of Memorandum items 1.a through 1.o).....	47,416,791	493,108	397,362	M.1.p.
		(Column A) Managed Assets	(Column B) Number of Managed Assets	
q. Investments of managed fiduciary accounts in advised or sponsored mutual funds.....		423,677	655	M.1.q.

Schedule T - Fiduciary and Related Services - Continued (Trust Companies) As of June 30, 2019

Memoranda (continued)

2. Corporate trust and agency accounts:

	(Column A) Number of Issues	(Column B) Principal Amount Outstanding (000s)	
a. Corporate and municipal trusteeships.....	0	0	M.2.a.
(1) Issues reported in Memoranda 2a. that are in default.....	0	0	M.2.a.1
b. Transfer agent, registrar, paying agent, and other corporate agency...	0	0	M.2.b.

3. Collective investment funds and common trust funds:

	(Column A) Number of Funds	(Column B) Market Value of Fund Assets (000s)	
a. Domestic equity.....	0	0	M.3.a.
b. International/Global equity.....	0	0	M.3.b.
c. Stock/Bond blend.....	0	0	M.3.c.
d. Taxable bond.....	0	0	M.3.d.
e. Municipal bond	0	0	M.3.e.
f. Short term investments/Money market.....	0	0	M.3.f.
g. Specialty/Other.....	0	0	M.3.g.
h. Total collective investment funds (sum of Memorandum items 3.a through 3.g).....	0	0	M.3.h.

P. Trust Business for Which Securities Are on Deposit with the State Treasurer

	(Column A) Court Trusts (000s)	(Column B) Private Trusts (000s)	
P.1. Trust business for which securities are on deposit with the State Treasurer.....	123,118	71,605,280	P.1.
P.2. Less: real estate.....	12,184	0	P.2.
P.3. Trust business on which security is required.....	110,934	71,605,280	P.3.
P.4. Amount of security required by Sections 1540 and 1541 of the Financial Code.....	1,300	650	P.4.
P.5. Market value of securities on deposit with the State Treasurer.....	1,498	1,908	P.5.
P.6. Excess or deficiency; denote negative amount in ().....	198	1,258	P.6.

Schedule T - Fiduciary and Related Services - Continued (Trust Companies) As of June 30, 2019

INCOME

FIDUCIARY AND RELATED SERVICES INCOME	(000s)	
14. Personal trust and agency accounts.....	20,039	14.
15. Employee benefit and retirement-related trust and agency accounts:		
a. Employee benefit-defined contribution.....	329	15.a.
b. Employee benefit-defined benefit.....	194	15.b.
c. Other employee benefit and retirement-related accounts.....	2,099	15.c.
16. Corporate trust and agency accounts.....	0	16.
17. Investment management and investment advisory agency accounts.....	95,230	17.
18. Foundation and endowment trust and agency accounts.....	1,344	18.
19. Other fiduciary accounts.....	46	19.
20. Custody and safekeeping accounts.....	1,046	20.
21. Other fiduciary and related services income.....	4,079	21.
22. Total gross fiduciary and related services income (sum of items 14 through 21).....	124,406	22.
23. Less: Expenses.....	113,701	23.
24. Less: Net losses from fiduciary and related services.....	20	24.
25. Plus: Intracompany income credits for fiduciary and related services.....	19	25.
26. Net fiduciary and related services income.....	10,704	26.

Memoranda	(Column A) Gross Losses Managed Accounts (000s)	(Column B) Gross Losses Non-Managed Accounts (000s)	(Column C) Recoveries (000s)	
4. Fiduciary settlements, surcharges, and other losses				
a. Personal trust and agency accounts.....	4	0	0	M.4.a.
b. Employee benefit and retirement-related trust and agency accounts.....	0	0	0	M.4.b.
c. Investment management and investment advisory agency accounts.....	0	16	0	M.4.c.
d. Other fiduciary accounts and related services.....	0	0	0	M.4.d.
e. Total fiduciary settlements, surcharges, and other losses (sum of Memorandum items 4.a through 4.d) (sum of columns A and B minus column C must equal Schedule T, item 21).....	4	16	0	M.4.e.