

Schedule T - Fiduciary and Related Services
(Trust Departments)
As of June 30, 2019

	(Column A) Managed Assets (000s)	(Column B) Non-Managed Assets (000s)	(Column C) Number of Managed Accounts	(Column D) Number of Non-Managed Accounts	
FIDUCIARY AND RELATED ASSETS					
4. Personal trust and agency accounts.....	8,303,392	748,443	3,892	146	4.
5. Employee benefit and retirement- related trust and agency accounts:					5.
a. Employee benefit - defined contribution...	216,168	581,796	96	122	5.a.
b. Employee benefit - defined benefit.....	176,620	63,931	15	4	5.b.
c. Other employee benefit and retirement-related accounts.....	654,746	193,331	1,108	141	5.c.
6. Corporate trust and agency accounts.....	0	0	0	0	6.
7. Investment management and investment advisory agency accounts.....	3,802,060	14,671	1,808	4	7.
8. Foundation and endowment trust and agency accounts.....	469,005	10,333	279	6	8.
9. Other fiduciary accounts.....	609	2,898	3	3	9.
10. Total fiduciary accounts (sum of items 4 through 9).....	13,622,600	1,615,403	7,201	426	10.
11. Custody and safekeeping accounts.....	0	14,163,172	0	1,136	11.
12. Not applicable					
13. Individual Retirement Accounts, Health Savings Accounts, and other similar accounts (included in items 5c and 11).....	586,351	82,827	1,097	135	13.

Schedule T - Fiduciary and Related Services - Continued (Trust Departments) As of June 30, 2019

Memoranda

	(Column A) Personal Trust and Agency and Investment Management Agency Accounts	(Column B) Employee Benefit and Retirement- Related Trust and Agency Accounts	(Column C) All Other Accounts	
	(000s)	(000s)	(000s)	
1. Managed assets held in fiduciary accounts:				
a. Noninterest-bearing deposits.....	822	45	14	M.1.a.
b. Interest-bearing deposits.....	243,799	13,101	13,633	M.1.b.
c. U.S. Treasury and U.S. Government agency obligations.....	569,421	28,382	13,250	M.1.c.
d. State, county and municipal obligations.....	1,552,501	18,141	8,778	M.1.d.
e. Money market mutual funds.....	793,223	48,178	41,069	M.1.e.
f. Equity mutual funds.....	1,447,691	345,329	124,943	M.1.f.
g. Other mutual funds.....	1,180,272	200,044	84,398	M.1.g.
h. Common trust funds and collective investment funds.....	0	0	0	M.1.h.
i. Other short-term obligations.....	131,186	0	0	M.1.i.
j. Other notes and bonds.....	453,761	87,015	21,006	M.1.j.
k. Investments in unregistered funds and private equity investments.....	344,233	300	0	M.1.k.
l. Other common and preferred stocks.....	3,340,088	301,635	162,181	M.1.l.
m. Real estate mortgages.....	45,986	41	0	M.1.m.
n. Real estate.....	687,676	228	1	M.1.n.
o. Miscellaneous assets.....	1,314,245	5,094	340	M.1.o.
p. Total managed assets held in fiduciary accounts (for each column, sum of Memorandum items 1.a through 1.o).....	12,104,904	1,047,533	469,613	M.1.p.
		(Column A) Managed Assets	(Column B) Number of Managed Assets	
q. Investments of managed fiduciary accounts in advised or sponsored mutual funds.....		0	0	M.1.q.

Schedule T - Fiduciary and Related Services - Continued (Trust Departments) As of June 30, 2019

Memoranda (continued)

2. Corporate trust and agency accounts:

	(Column A) Number of Issues	(Column B) Principal Amount Outstanding (000s)	
a. Corporate and municipal trusteeships.....	0	0	M.2.a.
(1) Issues reported in Memoranda 2a. that are in default.....	0	0	M.2.a.1
b. Transfer agent, registrar, paying agent, and other corporate agency...	0		M.2.b.

3. Collective investment funds and common trust funds:

	(Column A) Number of Funds	(Column B) Market Value of Fund Assets (000s)	
a. Domestic equity.....	0	0	M.3.a
b. International/Global equity.....	0	0	M.3.b.
c. Stock/Bond blend.....	0	0	M.3.c.
d. Taxable bond.....	0	0	M.3.d.
e. Municipal bond	0	0	M.3.e.
f. Short term investments/Money market.....	0	0	M.3.f.
g. Specialty/Other.....	0	0	M.3.g.
h. Total collective investment funds (sum of Memorandum items 3.a through 3.g).....	0	0	M.3.h.

P. Trust Business for Which Securities Are on Deposit with the State Treasurer

	(Column A) Court Trusts (000s)	(Column B) Private Trusts (000s)	
P.1. Trust business for which securities are on deposit with the State Treasurer.....	487,829	27,557,407	P.1.
P.2. Less: real estate.....	66,493		P.2.
P.3. Trust business on which security is required.....	421,336	27,557,407	P.3.
P.4. Amount of security required by Sections 1540 and 1541 of the Financial Code.....	4,150	1,200	P.4.
P.5. Market value of securities on deposit with the State Treasurer.....	8,049	3,293	P.5.
P.6. Excess or deficiency; denote negative amount in ().....	3,899	2,093	P.6.

Schedule T - Fiduciary and Related Services - Continued
(Trust Departments)
As of June 30, 2019

INCOME

FIDUCIARY AND RELATED SERVICES INCOME	(000s)	
14. Personal trust and agency accounts.....	23,322	14.
15. Employee benefit and retirement-related trust and agency accounts:		
a. Employee benefit-defined contribution.....	1,477	15.a.
b. Employee benefit-defined benefit.....	349	15.b.
c. Other employee benefit and retirement-related accounts.....	2,422	15.c.
16. Corporate trust and agency accounts.....	0	16.
17. Investment management and investment advisory agency accounts.....	9,461	17.
18. Foundation and endowment trust and agency accounts.....	1,423	18.
19. Other fiduciary accounts.....	28	19.
20. Custody and safekeeping accounts.....	2,898	20.
21. Other fiduciary and related services income.....	273	21.
22. Total gross fiduciary and related services income (sum of items 14 through 21).....	41,653	22.
23. Less: Expenses.....	36,818	23.
24. Less: Net losses from fiduciary and related services.....	204	24.
25. Plus: Intracompany income credits for fiduciary and related services.....	3,414	25.
26. Net fiduciary and related services income.....	8,045	26.

Memoranda	(Column A) Gross Losses Managed Accounts (000s)	(Column B) Gross Losses Non-Managed Accounts (000s)	(Column C) Recoveries (000s)	
4. Fiduciary settlements, surcharges, and other losses				
a. Personal trust and agency accounts.....	205	2	31	M.4.a.
b. Employee benefit and retirement-related trust and agency accounts.....	0	0	0	M.4.b.
c. Investment management and investment advisory agency accounts.....	15	13	0	M.4.c.
d. Other fiduciary accounts and related services.....	0	0	0	M.4.d.
e. Total fiduciary settlements, surcharges, and other losses (sum of Memorandum items 4.a through 4.d) (sum of columns A and B minus column C must equal Schedule T, item 21).....	220	15	31	M.4.e.