

NOTICE PUBLICATION/REGULATIONS SUBMISSION

See instructions on reverse

For use by Secretary of State only

STD. 400 (REV. 01-2013)

NONSUBSTANTIVE

OAL FILE NUMBERS	NOTICE FILE NUMBER	REGULATORY ACTION NUMBER	EMERGENCY NUMBER
Z-		2019-0710-02N	

For use by Office of Administrative Law (OAL) only

2019 JUL 10 P 3:52
OFFICE OF ADMINISTRATIVE LAW

ENDORSED - FILED
in the office of the Secretary of State
of the State of California

AUG 21 2019

1:45 P.M.

AGENCY WITH RULEMAKING AUTHORITY Department of Business Oversight	AGENCY FILE NUMBER (if any) PRO 02-15 Part A
--	---

A. PUBLICATION OF NOTICE (Complete for publication in Notice Register)

1. SUBJECT OF NOTICE	TITLE(S)	FIRST SECTION AFFECTED	2. REQUESTED PUBLICATION DATE
3. NOTICE TYPE <input type="checkbox"/> Notice re Proposed Regulatory Action <input type="checkbox"/> Other	4. AGENCY CONTACT PERSON	TELEPHONE NUMBER	FAX NUMBER (Optional)
OAL USE ONLY	ACTION ON PROPOSED NOTICE <input type="checkbox"/> Approved as Submitted <input type="checkbox"/> Approved as Modified <input type="checkbox"/> Disapproved/Withdrawn	NOTICE REGISTER NUMBER	PUBLICATION DATE

B. SUBMISSION OF REGULATIONS (Complete when submitting regulations)

1a. SUBJECT OF REGULATION(S) Department of Business Oversight Clean-up Regulations Part A	1b. ALL PREVIOUS RELATED OAL REGULATORY ACTION NUMBER(S) 2018-0423-01N
--	---

2. SPECIFY CALIFORNIA CODE OF REGULATIONS TITLE(S) AND SECTION(S) (Including title 26, if toxics related)

SECTION(S) AFFECTED (List all section number(s) individually. Attach additional sheet if needed.)	ADOPT
	AMEND See Attached Sheet
	REPEAL See Attached Sheet
TITLE(S) 10	

3. TYPE OF FILING

<input type="checkbox"/> Regular Rulemaking (Gov. Code §11346)	<input type="checkbox"/> Certificate of Compliance: The agency officer named below certifies that this agency complied with the provisions of Gov. Code §§11346.2-11347.3 either before the emergency regulation was adopted or within the time period required by statute.	<input type="checkbox"/> Emergency Readopt (Gov. Code, §11346.1(h))	<input checked="" type="checkbox"/> Changes Without Regulatory Effect (Cal. Code Regs., title 1, §100)
<input type="checkbox"/> Resubmittal of disapproved or withdrawn nonemergency filing (Gov. Code §11349.3, 11349.4)	<input type="checkbox"/> Resubmittal of disapproved or withdrawn emergency filing (Gov. Code, §11346.1)	<input type="checkbox"/> File & Print	<input type="checkbox"/> Print Only
<input type="checkbox"/> Emergency (Gov. Code, §11346.1(b))		<input type="checkbox"/> Other (Specify) _____	

4. ALL BEGINNING AND ENDING DATES OF AVAILABILITY OF MODIFIED REGULATIONS AND/OR MATERIAL ADDED TO THE RULEMAKING FILE (Cal. Code Regs. title 1, §44 and Gov. Code §11347.1)
N/A

5. EFFECTIVE DATE OF CHANGES (Gov. Code, §§ 11343.4, 11346.1(d); Cal. Code Regs., title 1, §100)

<input type="checkbox"/> Effective January 1, April 1, July 1, or October 1 (Gov. Code §11343.4(a))	<input type="checkbox"/> Effective on filing with Secretary of State	<input checked="" type="checkbox"/> §100 Changes Without Regulatory Effect	<input type="checkbox"/> Effective other (Specify) _____
---	--	--	--

6. CHECK IF THESE REGULATIONS REQUIRE NOTICE TO, OR REVIEW, CONSULTATION, APPROVAL OR CONCURRENCE BY, ANOTHER AGENCY OR ENTITY

<input type="checkbox"/> Department of Finance (Form STD. 399) (SAM §6660)	<input type="checkbox"/> Fair Political Practices Commission	<input type="checkbox"/> State Fire Marshal
<input type="checkbox"/> Other (Specify) _____		

7. CONTACT PERSON Mark Dyer	TELEPHONE NUMBER (916) 322-1977	FAX NUMBER (Optional) (916) 322-1559	E-MAIL ADDRESS (Optional) mark.dyer@dbo.ca.gov
--------------------------------	------------------------------------	---	---

8. I certify that the attached copy of the regulation(s) is a true and correct copy of the regulation(s) identified on this form, that the information specified on this form is true and correct, and that I am the head of the agency taking this action, or a designee of the head of the agency, and am authorized to make this certification.

SIGNATURE OF AGENCY HEAD OR DESIGNEE 	DATE July 5, 2019
TYPED NAME AND TITLE OF SIGNATORY Manuel P. Alvarez, Commissioner of Business Oversight	

For use by Office of Administrative Law (OAL) only

ENDORSED APPROVED

AUG 21 2019

Office of Administrative Law

**NOTICE PUBLICATION/REGULATIONS SUBMISSION
(Form STD 400-Additional Sheet)**

CHANGES WITHOUT REGULATORY EFFECT

PART A. SUBMISSION OF REGULATIONS

1.A. SUBJECT OF REGULATIONS: Department of Business Oversight Clean-up Regulations

PART B.2. SECTION(S) AFFECTED (ADDITIONAL SHEET):

AMEND: 1.1, 1.2, 1.1005, 1.1009.5, 1.1010, 1.1013, 1.1018, 1.1027, 5.3, 5.3009, 5.3109, 5.5000, 10.3, 10.101, 10.111, 10.117, 10.124, 10.126, 10.134, 10.136, 10.140.5, 10.143, 10.147, 10.153, 10.155.5, 10.157, 10.161.5, 10.162.5, 10.165, 10.177, 10.178, 10.182, 10.3000, 10.3100, 10.3101, 10.3162, 10.3376, 10.3378, 10.3400, 10.3475, 10.3483, 10.3518, 10.3520, 10.3525, 10.3700, 10.3752, 10.4351, 10.4360, 10.6000, 10.6001, 10.6602, 10.6701, 10.6954, 10.7301, 10.13001, 10.13003, 10.13200, 10.13226, 10.13278, 10.14100, 10.14126, 10.14180, 10.14183, 10.14185, 10.14730, 10.14775, 10.14779, 10.14800, 10.15225, 10.15226, 10.15227, 10.15626, 10.15627, 10.16000, 10.16001, 10.16051, 10.16102, 10.16128, 10.16131, 10.16177, 10.16202, 10.19050, 10.19051, 10.19052, 10.19100, 10.19102, 10.19103, 10.19104, 10.19107, 10.19401, 10.19402, 10.19901, 40.503, 40.600, 40.1300, 40.1703, 50.3306, 50.9002, 95.10, 95.25, 95.1010, 95.1020, 95.1025.

REPEAL: 1.1021, 1.1028, 10.6050, 10.6051, 10.6052, 10.6053, 10.6054, 10.6055, 10.9101, 10.9602, 10.11800, 10.11825, 10.11826, 10.11850, 10.11851, 10.11875, 10.11876, 10.14802, 10.19053, 10.19054, 10.19056, 10.19060, 10.19061, 10.19070, 10.19071, 10.19072, 10.19073, 10.19080, 10.19081, 10.19082, 10.19083, 10.19084, 10.19085, 10.19090, 10.19091, 10.19092, 10.19120, 10.19130, 10.19131, 10.19132, 10.19133, 10.19134, 10.19135, 10.19140, 10.19141, 10.19142, 10.19143, 95.4010, 95.4020, 95.4030.