

Schedule T - Fiduciary and Related Services
(Trust Departments)
As of September 30, 2019

	(Column A) Managed Assets (000s)	(Column B) Non-Managed Assets (000s)	(Column C) Number of Managed Accounts	(Column D) Number of Non-Managed Accounts	
FIDUCIARY AND RELATED ASSETS					
4. Personal trust and agency accounts.....	8,442,594	730,647	3,981	162	4.
5. Employee benefit and retirement- related trust and agency accounts:					5.
a. Employee benefit - defined contribution...	214,574	584,181	99	120	5.a.
b. Employee benefit - defined benefit.....	186,774	63,860	16	3	5.b.
c. Other employee benefit and retirement-related accounts.....	683,071	195,841	1,135	129	5.c.
6. Corporate trust and agency accounts.....	0	0	0	0	6.
7. Investment management and investment advisory agency accounts.....	3,873,772	19,862	1,979	8	7.
8. Foundation and endowment trust and agency accounts.....	490,087	10,381	273	6	8.
9. Other fiduciary accounts.....	610	2,873	3	3	9.
10. Total fiduciary accounts (sum of items 4 through 9).....	13,891,482	1,607,645	7,486	431	10.
11. Custody and safekeeping accounts.....	0	13,460,207	0	1,134	11.
12. Not applicable					
13. Individual Retirement Accounts, Health Savings Accounts, and other similar accounts (included in items 5c and 11).....	613,350	84,193	1,121	123	13.

Schedule T - Fiduciary and Related Services - Continued (Trust Departments) As of September 30, 2019

Memoranda

	(Column A) Personal Trust and Agency and Investment Management Agency Accounts	(Column B) Employee Benefit and Retirement- Related Trust and Agency Accounts	(Column C) All Other Accounts	
	(000s)	(000s)	(000s)	
1. Managed assets held in fiduciary accounts:				
a. Noninterest-bearing deposits.....	4,506	76	94	M.1.a.
b. Interest-bearing deposits.....	233,066	13,047	13,118	M.1.b.
c. U.S. Treasury and U.S. Government agency obligations.....	610,681	28,287	12,747	M.1.c.
d. State, county and municipal obligations.....	1,589,053	17,817	6,574	M.1.d.
e. Money market mutual funds.....	730,713	45,998	42,488	M.1.e.
f. Equity mutual funds.....	1,515,422	356,751	126,401	M.1.f.
g. Other mutual funds.....	1,314,883	221,221	95,303	M.1.g.
h. Common trust funds and collective investment funds.....	0	0	0	M.1.h.
i. Other short-term obligations.....	132,068	0	0	M.1.i.
j. Other notes and bonds.....	473,336	90,866	25,308	M.1.j.
k. Investments in unregistered funds and private equity investments.....	320,375	300	0	M.1.k.
l. Other common and preferred stocks.....	3,323,457	304,650	168,181	M.1.l.
m. Real estate mortgages.....	48,072	45	0	M.1.m.
n. Real estate.....	695,247	222	1	M.1.n.
o. Miscellaneous assets.....	1,325,488	5,141	480	M.1.o.
p. Total managed assets held in fiduciary accounts (for each column, sum of Memorandum items 1.a through 1.o).....	12,316,367	1,084,421	490,695	M.1.p.
		(Column A) Managed Assets	(Column B) Number of Managed Assets	
q. Investments of managed fiduciary accounts in advised or sponsored mutual funds.....		0	0	M.1.q.

Schedule T - Fiduciary and Related Services - Continued (Trust Departments) As of September 30, 2019

Memoranda (continued)

2. Corporate trust and agency accounts:

(Column A) Number of Issues	(Column B) Principal Amount Outstanding (000s)	
0	0	M.2.a.
0	0	M.2.a.1
0	0	M.2.b.

3. Collective investment funds and common trust funds:

(Column A) Number of Funds	(Column B) Market Value of Fund Assets (000s)	
0	0	M.3.a
0	0	M.3.b.
0	0	M.3.c.
0	0	M.3.d.
0	0	M.3.e.
0	0	M.3.f.
0	0	M.3.g.
0	0	M.3.h.

P. Trust Business for Which Securities Are on Deposit with the State Treasurer

(Column A) Court Trusts (000s)	(Column B) Private Trusts (000s)	
897,625	27,111,705	P.1.
67,891	0	P.2.
829,734	27,111,705	P.3.
0	0	
4,150	1,200	P.4.
9,054	4,268	P.5.
4,904	3,068	P.6.

Schedule T - Fiduciary and Related Services - Continued (Trust Departments) As of September 30, 2019

INCOME

FIDUCIARY AND RELATED SERVICES INCOME	(000s)	
14. Personal trust and agency accounts.....	35,497	14.
15. Employee benefit and retirement-related trust and agency accounts:		
a. Employee benefit-defined contribution.....	2,234	15.a.
b. Employee benefit-defined benefit.....	536	15.b.
c. Other employee benefit and retirement-related accounts.....	3,735	15.c.
16. Corporate trust and agency accounts.....	0	16.
17. Investment management and investment advisory agency accounts.....	14,574	17.
18. Foundation and endowment trust and agency accounts.....	2,161	18.
19. Other fiduciary accounts.....	28	19.
20. Custody and safekeeping accounts.....	4,418	20.
21. Other fiduciary and related services income.....	318	21.
22. Total gross fiduciary and related services income (sum of items 14 through 21).....	63,501	22.
23. Less: Expenses.....	56,370	23.
24. Less: Net losses from fiduciary and related services.....	349	24.
25. Plus: Intracompany income credits for fiduciary and related services.....	5,104	25.
26. Net fiduciary and related services income.....	11,886	26.

Memoranda	(Column A) Gross Losses Managed Accounts (000s)	(Column B) Gross Losses Non-Managed Accounts (000s)	(Column C) Recoveries (000s)	
4. Fiduciary settlements, surcharges, and other losses				
a. Personal trust and agency accounts.....	333	2	32	M.4.a.
b. Employee benefit and retirement-related trust and agency accounts.....	0	1	0	M.4.b.
c. Investment management and investment advisory agency accounts.....	15	13	0	M.4.c.
d. Other fiduciary accounts and related services.....	16	2	0	M.4.d.
e. Total fiduciary settlements, surcharges, and other losses (sum of Memorandum items 4.a through 4.d) (sum of columns A and B minus column C must equal Schedule T, item 21).....	363	18	32	M.4.e.