

Schedule T - Fiduciary and Related Services
(Trust Companies)
As of September 30, 2019

	(Column A) Managed Assets (000s)	(Column B) Non-Managed Assets (000s)	(Column C) Number of Managed Accounts	(Column D) Number of Non-Managed Accounts	
FIDUCIARY AND RELATED ASSETS					
4. Personal trust and agency accounts.....	5,458,408	1,853,635	1,704	487	4.
5. Employee benefit and retirement- related trust and agency accounts:					5.
a. Employee benefit - defined contribution..	55,230	110,570	34	36	5.a.
b. Employee benefit - defined benefit.....	10,896	386,595	5	8	5.b.
c. Other employee benefit and retirement-related accounts.....	435,008	919,636	675	139	5.c.
6. Corporate trust and agency accounts.....	0	3	0	1	6.
7. Investment management and investment advisory agency accounts.....	7,106,178	549,705	1,593	103	7.
8. Foundation and endowment trust and agency accounts.....	397,306	125,863	81	11	8.
9. Other fiduciary accounts.....	0	155,527	0	4	9.
10. Total fiduciary accounts (sum of items 4 through 9).....	13,463,026	4,101,534	4,092	789	10.
11. Custody and safekeeping accounts.....	0	14,252,180	0	307	11.
12. Not applicable					
13. Individual Retirement Accounts, Health Savings Accounts, and other similar accounts (included in items 5c and 11).....	181,950	827,350	325	137	13.

Schedule T - Fiduciary and Related Services - Continued (Trust Companies) As of September 30, 2019

Memoranda

	(Column A) Personal Trust and Agency and Investment Management Agency Accounts	(Column B) Employee Benefit and Retirement- Related Trust and Agency Accounts	(Column C) All Other Accounts	
	(000s)	(000s)	(000s)	
1. Managed assets held in fiduciary accounts:				
a. Noninterest-bearing deposits.....	-18,881	592	0	M.1.a.
b. Interest-bearing deposits.....	88,573	6,908	4,991	M.1.b.
c. U.S. Treasury and U.S. Government agency obligations.....	798,636	9,713	24,415	M.1.c.
d. State, county and municipal obligations.....	626,265	19,150	19,829	M.1.d.
e. Money market mutual funds.....	747,784	25,725	22,818	M.1.e.
f. Equity mutual funds.....	891,096	87,028	139,343	M.1.f.
g. Other mutual funds.....	478,808	40,715	47,136	M.1.g.
h. Common trust funds and collective investment funds.....	0	0	0	M.1.h.
i. Other short-term obligations.....	39	0	0	M.1.i.
j. Other notes and bonds.....	89,880	9,071	20,353	M.1.j.
k. Investments in unregistered funds and private equity investments.....	36,892	0	0	M.1.k.
l. Other common and preferred stocks.....	5,602,975	283,960	114,141	M.1.l.
m. Real estate mortgages.....	128,666	108	0	M.1.m.
n. Real estate.....	929,115	1,641	3,613	M.1.n.
o. Miscellaneous assets.....	2,153,970	13,972	0	M.1.o.
p. Total managed assets held in fiduciary accounts (for each column, sum of Memorandum items 1.a through 1.o).....	12,553,818	498,583	396,639	M.1.p.
		(Column A) Managed Assets	(Column B) Number of Managed Assets	
q. Investments of managed fiduciary accounts in advised or sponsored mutual funds.....		368,833	639	M.1.q.

Schedule T - Fiduciary and Related Services - Continued (Trust Companies) As of September 30, 2019

Memoranda (continued)

2. Corporate trust and agency accounts:

(Column A) Number of Issues	(Column B) Principal Amount Outstanding (000s)	
0	0	M.2.a.
0	0	M.2.a.1
0	0	M.2.b.

3. Collective investment funds and common trust funds:

(Column A) Number of Funds	(Column B) Market Value of Fund Assets (000s)	
0	0	M.3.a
0	0	M.3.b.
0	0	M.3.c.
0	0	M.3.d.
0	0	M.3.e.
0	0	M.3.f.
0	0	M.3.g.
0	0	M.3.h.

P. Trust Business for Which Securities Are on Deposit with the State Treasurer

(Column A) Court Trusts (000s)	(Column B) Private Trusts (000s)	
134,858	31,494,902	P.1.
14,326	0	P.2.
120,532	31,494,902	P.3.
1,300	550	P.4.
1,493	1,210	P.5.
193	660	P.6.

Schedule T - Fiduciary and Related Services - Continued (Trust Companies) As of September 30, 2019

INCOME

FIDUCIARY AND RELATED SERVICES INCOME	(000s)	
14. Personal trust and agency accounts.....	29,755	14.
15. Employee benefit and retirement-related trust and agency accounts:		
a. Employee benefit-defined contribution.....	512	15.a.
b. Employee benefit-defined benefit.....	290	15.b.
c. Other employee benefit and retirement-related accounts.....	3,201	15.c.
16. Corporate trust and agency accounts.....	0	16.
17. Investment management and investment advisory agency accounts.....	30,779	17.
18. Foundation and endowment trust and agency accounts.....	1,928	18.
19. Other fiduciary accounts.....	66	19.
20. Custody and safekeeping accounts.....	1,595	20.
21. Other fiduciary and related services income.....	5,237	21.
22. Total gross fiduciary and related services income (sum of items 14 through 21).....	73,363	22.
23. Less: Expenses.....	59,302	23.
24. Less: Net losses from fiduciary and related services.....	131	24.
25. Plus: Intracompany income credits for fiduciary and related services.....	0	25.
26. Net fiduciary and related services income.....	13,930	26.

Memoranda	(Column A) Gross Losses Managed Accounts (000s)	(Column B) Gross Losses Non-Managed Accounts (000s)	(Column C) Recoveries (000s)	
4. Fiduciary settlements, surcharges, and other losses				
a. Personal trust and agency accounts.....	4	0	0	M.4.a.
b. Employee benefit and retirement-related trust and agency accounts.....	0	0	0	M.4.b.
c. Investment management and investment advisory agency accounts.....	127	0	0	M.4.c.
d. Other fiduciary accounts and related services.....	0	0	0	M.4.d.
e. Total fiduciary settlements, surcharges, and other losses (sum of Memorandum items 4.a through 4.d) (sum of columns A and B minus column C must equal Schedule T, item 21).....	131	0	0	M.4.e.