

**Schedule T - Fiduciary and Related Services**  
**(Trust Departments)**  
**As of December 31, 2019**

	(Column A) Managed Assets (000s)	(Column B) Non-Managed Assets (000s)	(Column C) Number of Managed Accounts	(Column D) Number of Non-Managed Accounts	
<b>FIDUCIARY AND RELATED ASSETS</b>					
4. Personal trust and agency accounts.....	8,703,217	753,372	3,966	153	4.
5. Employee benefit and retirement- related trust and agency accounts:					5.
a. Employee benefit - defined contribution...	267,018	600,512	97	114	5.a.
b. Employee benefit - defined benefit.....	195,168	66,132	17	4	5.b.
c. Other employee benefit and retirement-related accounts.....	709,122	194,869	1,149	126	5.c.
6. Corporate trust and agency accounts.....	0	0	0	0	6.
7. Investment management and investment advisory agency accounts.....	4,017,509	22,615	1,969	8	7.
8. Foundation and endowment trust and agency accounts.....	518,020	10,187	281	4	8.
9. Other fiduciary accounts.....	615	2,896	3	5	9.
10. Total fiduciary accounts (sum of items 4 through 9).....	14,410,669	1,650,583	7,482	414	10.
11. Custody and safekeeping accounts.....	0	12,729,446	0	1,130	11.
12. Not applicable					
13. Individual Retirement Accounts, Health Savings Accounts, and other similar accounts (included in items 5c and 11).....	637,027	80,986	1,130	120	13.

**Schedule T - Fiduciary and Related Services - Continued**  
**(Trust Departments)**  
**As of December 31, 2019**

Memoranda

	(Column A) Personal Trust and Agency and Investment Management Agency Accounts	(Column B) Employee Benefit and Retirement- Related Trust and Agency Accounts	(Column C) All Other Accounts	
	(000s)	(000s)	(000s)	
1. Managed assets held in fiduciary accounts:				
a. Noninterest-bearing deposits.....	1,467	58	160	M.1.a.
b. Interest-bearing deposits.....	228,002	16,083	15,112	M.1.b.
c. U.S. Treasury and U.S. Government agency obligations.....	551,014	27,024	12,186	M.1.c.
d. State, county and municipal obligations.....	1,629,896	17,111	6,083	M.1.d.
e. Money market mutual funds.....	816,292	47,913	48,385	M.1.e.
f. Equity mutual funds.....	1,600,162	412,544	134,881	M.1.f.
g. Other mutual funds.....	1,331,533	232,804	99,836	M.1.g.
h. Common trust funds and collective investment funds.....	0	0	0	M.1.h.
i. Other short-term obligations.....	151,514	0	0	M.1.i.
j. Other notes and bonds.....	453,754	92,275	24,941	M.1.j.
k. Investments in unregistered funds and private equity investments.....	343,429	300	0	M.1.k.
l. Other common and preferred stocks.....	3,521,638	319,618	176,719	M.1.l.
m. Real estate mortgages.....	47,301	42	0	M.1.m.
n. Real estate.....	735,205	222	1	M.1.n.
o. Miscellaneous assets.....	1,309,520	5,315	330	M.1.o.
p. Total managed assets held in fiduciary accounts (for each column, sum of Memorandum items 1.a through 1.o).....	12,720,727	1,171,309	518,634	M.1.p.
		(Column A) Managed Assets	(Column B) Number of Managed Assets	
q. Investments of managed fiduciary accounts in advised or sponsored mutual funds.....		0	0	M.1.q.

## Schedule T - Fiduciary and Related Services - Continued (Trust Departments) As of December 31, 2019

Memoranda (continued)

2. Corporate trust and agency accounts:

	(Column A) Number of Issues	(Column B) Principal Amount Outstanding (000s)	
a. Corporate and municipal trusteeships.....	0	0	M.2.a.
(1) Issues reported in Memoranda 2a. that are in default.....	0	0	M.2.a.1
b. Transfer agent, registrar, paying agent, and other corporate agency...	0	0	M.2.b.

3. Collective investment funds and common trust funds:

	(Column A) Number of Funds	(Column B) Market Value of Fund Assets (000s)	
a. Domestic equity.....	0	0	M.3.a.
b. International/Global equity.....	0	0	M.3.b.
c. Stock/Bond blend.....	0	0	M.3.c.
d. Taxable bond.....	0	0	M.3.d.
e. Municipal bond .....	0	0	M.3.e.
f. Short term investments/Money market.....	0	0	M.3.f.
g. Specialty/Other.....	0	0	M.3.g.
h. Total collective investment funds (sum of Memorandum items 3.a through 3.g).....	0	0	M.3.h.

**P. Trust Business for Which Securities Are on Deposit with the State Treasurer**

	(Column A) Court Trusts (000s)	(Column B) Private Trusts (000s)	
P.1. Trust business for which securities are on deposit with the State Treasurer.....	488,705	26,634,360	P.1.
P.2. Less: real estate.....	67,750	0	P.2.
P.3. Trust business on which security is required.....	420,955	26,634,360	P.3.
P.4. Amount of security required by Sections 1540 and 1541 of the Financial Code.....	4,000	1,200	P.4.
P.5. Market value of securities on deposit with the State Treasurer.....	8,815	4,322	P.5.
P.6. Excess or deficiency; denote negative amount in ( ).....	4,815	3,122	P.6.

**Schedule T - Fiduciary and Related Services - Continued**  
**(Trust Departments)**  
**As of December 31, 2019**

**INCOME**

<b>FIDUCIARY AND RELATED SERVICES INCOME</b>	<b>(000s)</b>	
14. Personal trust and agency accounts.....	48,704	14.
15. Employee benefit and retirement-related trust and agency accounts:		
a. Employee benefit-defined contribution.....	2,988	15.a.
b. Employee benefit-defined benefit.....	728	15.b.
c. Other employee benefit and retirement-related accounts.....	5,117	15.c.
16. Corporate trust and agency accounts.....	0	16.
17. Investment management and investment advisory agency accounts.....	19,929	17.
18. Foundation and endowment trust and agency accounts.....	2,920	18.
19. Other fiduciary accounts.....	28	19.
20. Custody and safekeeping accounts.....	5,545	20.
21. Other fiduciary and related services income.....	397	21.
22. Total gross fiduciary and related services income (sum of items 14 through 21).....	86,356	22.
23. Less: Expenses.....	75,932	23.
24. Less: Net losses from fiduciary and related services.....	409	24.
25. Plus: Intracompany income credits for fiduciary and related services.....	6,727	25.
26. Net fiduciary and related services income.....	16,742	26.

Memoranda	(Column A) Gross Losses Managed Accounts (000s)	(Column B) Gross Losses Non-Managed Accounts (000s)	(Column C) Recoveries (000s)	
4. Fiduciary settlements, surcharges, and other losses				
a. Personal trust and agency accounts.....	270	6	32	M.4.a.
b. Employee benefit and retirement-related trust and agency accounts.....	0	1	0	M.4.b.
c. Investment management and investment advisory agency accounts.....	19	15	0	M.4.c.
d. Other fiduciary accounts and related services.....	128	2	0	M.4.d.
e. Total fiduciary settlements, surcharges, and other losses (sum of Memorandum items 4.a through 4.d) (sum of columns A and B minus column C must equal Schedule T, item 21).....	417	24	32	M.4.e.