

Schedule T - Fiduciary and Related Services
(Trust Companies)
As of December 31, 2019

	(Column A) Managed Assets (000s)	(Column B) Non-Managed Assets (000s)	(Column C) Number of Managed Accounts	(Column D) Number of Non-Managed Accounts	
FIDUCIARY AND RELATED ASSETS					
4. Personal trust and agency accounts.....	5,668,545	2,121,978	1,672	479	4.
5. Employee benefit and retirement- related trust and agency accounts:					5.
a. Employee benefit - defined contribution..	56,809	114,712	34	37	5.a.
b. Employee benefit - defined benefit.....	11,255	558,368	6	9	5.b.
c. Other employee benefit and retirement-related accounts.....	454,569	1,023,702	670	137	5.c.
6. Corporate trust and agency accounts.....	0	1	0	1	6.
7. Investment management and investment advisory agency accounts.....	6,384,308	571,960	1,539	105	7.
8. Foundation and endowment trust and agency accounts.....	1,032,772	167,200	156	16	8.
9. Other fiduciary accounts.....	23,795	1,335	1	3	9.
10. Total fiduciary accounts (sum of items 4 through 9).....	13,632,053	4,559,256	4,078	787	10.
11. Custody and safekeeping accounts.....	0	14,355,952	0	319	11.
12. Not applicable					
13. Individual Retirement Accounts, Health Savings Accounts, and other similar accounts (included in items 5c and 11).....	186,353	935,476	318	135	13.

Schedule T - Fiduciary and Related Services - Continued
(Trust Companies)
As of December 31, 2019

Memoranda

	(Column A) Personal Trust and Agency and Investment Management Agency Accounts	(Column B) Employee Benefit and Retirement- Related Trust and Agency Accounts	(Column C) All Other Accounts	
	(000s)	(000s)	(000s)	
1. Managed assets held in fiduciary accounts:				
a. Noninterest-bearing deposits.....	-16,935	472	210	M.1.a.
b. Interest-bearing deposits.....	136,292	7,554	2,471	M.1.b.
c. U.S. Treasury and U.S. Government agency obligations.....	343,336	10,228	24,172	M.1.c.
d. State, county and municipal obligations.....	636,202	18,967	21,091	M.1.d.
e. Money market mutual funds.....	701,835	20,705	52,418	M.1.e.
f. Equity mutual funds.....	924,812	91,768	148,269	M.1.f.
g. Other mutual funds.....	446,925	41,696	52,504	M.1.g.
h. Common trust funds and collective investment funds.....	0	0	0	M.1.h.
i. Other short-term obligations.....	382	0	0	M.1.i.
j. Other notes and bonds.....	96,641	8,561	24,794	M.1.j.
k. Investments in unregistered funds and private equity investments.....	37,683	0	0	M.1.k.
l. Other common and preferred stocks.....	5,623,573	304,233	473,503	M.1.l.
m. Real estate mortgages.....	128,330	106	0	M.1.m.
n. Real estate.....	1,115,982	973	38,336	M.1.n.
o. Miscellaneous assets.....	1,867,337	14,800	218,049	M.1.o.
p. Total managed assets held in fiduciary accounts (for each column, sum of Memorandum items 1.a through 1.o).....	12,042,395	520,063	1,055,817	M.1.p.
		(Column A) Managed Assets	(Column B) Number of Managed Assets	
q. Investments of managed fiduciary accounts in advised or sponsored mutual funds.....		358,793	626	M.1.q.

Schedule T - Fiduciary and Related Services - Continued (Trust Companies) As of December 31, 2019

Memoranda (continued)

2. Corporate trust and agency accounts:

	(Column A) Number of Issues	(Column B) Principal Amount Outstanding (000s)	
a. Corporate and municipal trusteeships.....	0	0	M.2.a.
(1) Issues reported in Memoranda 2a. that are in default.....	0	0	M.2.a.1
b. Transfer agent, registrar, paying agent, and other corporate agency...	0		M.2.b.

3. Collective investment funds and common trust funds:

	(Column A) Number of Funds	(Column B) Market Value of Fund Assets (000s)	
a. Domestic equity.....	0	0	M.3.a.
b. International/Global equity.....	0	0	M.3.b.
c. Stock/Bond blend.....	0	0	M.3.c.
d. Taxable bond.....	0	0	M.3.d.
e. Municipal bond	0	0	M.3.e.
f. Short term investments/Money market.....	0	0	M.3.f.
g. Specialty/Other.....	0	0	M.3.g.
h. Total collective investment funds (sum of Memorandum items 3.a through 3.g).....	0	0	M.3.h.

P. Trust Business for Which Securities Are on Deposit with the State Treasurer

	(Column A) Court Trusts (000s)	(Column B) Private Trusts (000s)	
P.1. Trust business for which securities are on deposit with the State Treasurer.....	133,263	32,293,655	P.1.
P.2. Less: real estate.....	14,326		P.2.
P.3. Trust business on which security is required.....	118,937	32,293,655	P.3.
P.4. Amount of security required by Sections 1540 and 1541 of the Financial Code.....	1,300	550	P.4.
P.5. Market value of securities on deposit with the State Treasurer.....	1,491	1,208	P.5.
P.6. Excess or deficiency; denote negative amount in ().....	191	658	P.6.

Schedule T - Fiduciary and Related Services - Continued
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INCOME

FIDUCIARY AND RELATED SERVICES INCOME	(000s)	
14. Personal trust and agency accounts.....	39,397	14.
15. Employee benefit and retirement-related trust and agency accounts:		
a. Employee benefit-defined contribution.....	707	15.a.
b. Employee benefit-defined benefit.....	398	15.b.
c. Other employee benefit and retirement-related accounts.....	4,355	15.c.
16. Corporate trust and agency accounts.....	0	16.
17. Investment management and investment advisory agency accounts.....	37,263	17.
18. Foundation and endowment trust and agency accounts.....	7,903	18.
19. Other fiduciary accounts.....	66	19.
20. Custody and safekeeping accounts.....	2,051	20.
21. Other fiduciary and related services income.....	7,009	21.
22. Total gross fiduciary and related services income (sum of items 14 through 21).....	99,149	22.
23. Less: Expenses.....	81,003	23.
24. Less: Net losses from fiduciary and related services.....	169	24.
25. Plus: Intracompany income credits for fiduciary and related services.....	0	25.
26. Net fiduciary and related services income.....	17,977	26.

Memoranda	(Column A) Gross Losses Managed Accounts (000s)	(Column B) Gross Losses Non-Managed Accounts (000s)	(Column C) Recoveries (000s)	
4. Fiduciary settlements, surcharges, and other losses				
a. Personal trust and agency accounts.....	5	0	0	M.4.a.
b. Employee benefit and retirement-related trust and agency accounts.....	0	0	0	M.4.b.
c. Investment management and investment advisory agency accounts.....	164	0	0	M.4.c.
d. Other fiduciary accounts and related services.....	0	0	0	M.4.d.
e. Total fiduciary settlements, surcharges, and other losses (sum of Memorandum items 4.a through 4.d) (sum of columns A and B minus column C must equal Schedule T, item 21).....	169	0	0	M.4.e.