

**SUMMARY OF ENFORCEMENT ACTIONS (ADMINISTRATIVE AND CIVIL)
BY THE CALIFORNIA DEPARTMENT OF BUSINESS OVERSIGHT
February 2020**

SUBJECT	ACTION	VIOLATIONS & CHARGING SECTIONS
Charita Private Banking Los Angeles, California	Cease and Desist Order February 4, 2020	Unlawful Financial Institutions Law Activity Financial Code Sections 560, 561 and 562)
Shaneil Sewak C.A.I Financial Edmonton, Alberta	Desist and Refrain Order February 4, 2020	Unlawful Franchise Investment Law Activity (Corporations Code Section 31110)
LMF Commercial, LLC Miami, Florida	Consent Order February 5, 2020	Unlawful California Financing Law Activity (Financial Code Sections 22712 and 22107)

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TTM Guitars, LLC Lance Mitchell Benedict Indian Wells, California	First Amended Citation Including: (1) Desist and Refrain Order; (2) Assessment of Administrative Penalties; (Claim for Ancillary Relief February 5, 2020	Unlawful Franchise Investment Law Activity (Corporations Code Sections 31402, 31406 and 31408)
Solium Financial Services LLC Woodcliff Lake, New Jersey	Consent Order February 5, 2020	Unlawful Broker-Dealer Activity (Corporations Code Sections 25210 and 25252)
FFC Mortgage Corp. Rochester, New York	Consent Order February 6, 2020	Unlawful California Financing Law Activity (Financial Code Sections 22712 and 22107)
Financial Triangle, Inc. Miami Beach, Florida	Consent Order February 6, 2020	Unlawful California Financing Law Activity (Financial Code Sections 22712 and 22107)

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Glen Oaks Escrow, Inc. Glendale, California	Settlement Agreement February 6, 2020	Unlawful Escrow Law Activity (Financial Code Sections 17602 and 17414(c))
Citadel RE and Investments, LLC aka www.citadelbayarea.com Carl Bao Le San Francisco, California	Desist and Refrain Order February 6, 2020	Unlawful Offer and Sale of Securities (Corporations Code Section 25401)
Golden Gate Escrow Services Christopher Allen San Francisco, California	Desist and Refrain Order February 7, 2020	Unlawful Escrow Law Activity (Financial Code Sections 17200 and 17403)
BigShots Golf, LLC Peoria, Illinois	Desist and Refrain Order February 7, 2020	Unlawful Franchise Investment Law Activity (Corporations Code Section 31110)

SUBJECT	ACTION	VIOLATIONS & CHARGING SECTIONS
Noah Bezuayehu Woodbridge, Virginia	Order Revoking Mortgage Loan Originator License February 10, 2020	Non-Issuance of Mortgage Loan Originator License (Financial Code Sections 50327(a)(2) and 50513(a)(1) and (a)(2))
Charlie Tan Corona, California	Order Denying Application for Mortgage Loan Originator License February 10, 2020	Non-Issuance of Mortgage Loan Originator License (Financial Code Sections 22109.1, 22712, 50141 and 50513)
Athena Art Finance Corp. New York, New York	Consent Order February 10, 2020	Unlawful California Financing Law Activity (Financial Code Sections 22107 and 22712)

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GVC Inc. Manalpan, New Jersey	Consent Order February 10, 2020	Unlawful California Financing Law Activity (Financial Code Sections 22107 and 22712)
Samuel Todd Stamper Irvine, California	Statement of Issues in Support of Denial of Mortgage Loan Originator License February 11, 2020	Mortgage Loan Originator License (Financial Code Section 50141(a))
Business Capital LLC Hallandale Beach, Florida	Consent Order February 13, 2020	Unlawful California Financing Law Activity (Financial Code Sections 22107 and 22712)
Amazon Capital Services, Inc. Seattle, Washington	Consent Order February 13, 2020	Unlawful California Financing Law Activity (Financial Code Sections 22107 and 22712)

SUBJECT	ACTION	VIOLATIONS & CHARGING SECTIONS
Urban Fulfillment Services, LLC Highlands Ranch, Colorado	Consent Order February 13, 2020	Unlawful California Residential Mortgage Lending Activity (Financial Code Sections 50321, 50322, 50323, 50314, 50200 and title 10 § 1950.314.2 and 1950.200)
Alimur Park Home Owners Association Inc. Soquel, California	Consent Order February 18, 2020	Unlawful Offer and Sale of Securities (Corporations Code Section 25110)
Rachel Sykes Charlotte, North Carolina	Settlement Agreement February 18, 2020	Mortgage Loan Originator License (Financial Code Sections 50513(a)(1)(2) and 50141(a)(3))

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Pineapple Park LV LLC Douglas Brian Irvin Jr. Henderson, Nevada	Desist and Refrain Order February 18, 2020	Unlawful Franchise Investment Law Activity (Corporations Code Section 31110)
Egate, LLC Paul Horton Smith, Senior Riverside, California	Accusation in Support of: 1. Order Revoking the Investment Adviser Certificate of Egate, LLC 2. Order Barring Paul Horton Smith, Senior from any Position of Employment, Management, or Control of any Investment Adviser, Broker-Dealer or Commodity Adviser February 18, 2020	Unlawful Investment Adviser Activity (Corporations Code Sections 25232 and 25232.1)

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Hacienda Escrow Corporation Ontario, California	Order to Discontinue Escrow Activities February 18, 2020 First Amended Accusation February 19, 2020 Order Setting Aside Order to Discontinue Escrow Activities February 27, 2020	Unlawful Escrow Law Activity (Financial Code Sections 17203.1(a), 17209(g)(h)(1), 17212.1, 17414(a)(2), 17414.1(d), 17415, 17608 and 17423)
Fabian Rubal aka Fabian Rabul Long Beach, California	Desist and Refrain Order February 19, 2020	Unlawful California Residential Mortgage Lending Activity (Financial Code Section 50320)
Data Mortgage, Inc. Orange, California	Desist and Refrain Order February 19, 2020	Unlawful California Financing Law Activity (Financial Code Section 22338(a))

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Nav Technologies, Inc. Draper, Utah	Consent Order February 19, 2020	Unlawful California Financing Law Activity (Financial Code Sections 22712 and 22100)
Adir Financial, LLC Los Angeles, California	Consent Order February 19, 2020	Unlawful California Financing Law Activity (Financial Code Sections 22712, 22327, 22312, 22154 and 22306)
Westminster Finance, Inc. Pacific Palisades, California	Consent Order February 20, 2020	Unlawful California Financing Law Activity (Financial Code Sections 22712 and 22107)
Buckingham Financial Group LLC Vienna, Virginia	Consent Order February 20, 2020	Unlawful California Financing Law Activity (Financial Code Sections 22712 and 22107)

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Darryl Lance Johnson dba Court St. Financial Funding Corona, California Romoland, California Riverside, California	Desist and Refrain Order February 21, 2020	Unlawful Offer and Sale of Securities (Corporations Code Sections 25110 and 25401)
Piara Pizza, LLC Sergio Bayeh La Habra, California	Consent Order February 21, 2020	Unlawful Franchise Investment Law Activity (Corporations Code Sections 31402, 31110, 31406 and 31119)
LeaderOne Financial Corporation Overland Park, Kansas	Consent Order February 21, 2020	Unlawful California Residential Mortgage Lending Activity (Financial Code Section 50321)

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Trademark INT PTT AB dba Husse Tim Biorck Eliasson Stockholm, Sweden	Citation Including: (1) Desist and Refrain Order; and (2) Assessment of Administrative Penalties February 21, 2020	Unlawful Franchise Investment Law Activity (Corporations Code Section 31406)
Somatika Incorporated Laura E. Davidson aka Laura E. Warren aka Laura Phillips William D. Enersen Huntington Beach, California	Settlement Agreement February 21, 2020	Unlawful Offer and Sale of Securities (Corporations Code Sections 25110, 25401 and 25019)
Direct Funding Now LLC Irvine, California	Consent Order February 24, 2020	Unlawful California Financing Law Activity (Financial Code Sections 22712 and 22107)

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Brookline LLC New York, New York	Consent Order February 27, 2020	Unlawful California Financing Law Activity (Financial Code Sections 22712 and 22107)
Wyndham Capital Mortgage, Inc. Charlotte, North Carolina	Settlement Agreement February 28, 2020	Unlawful California Residential Mortgage Lending and California Financing Law Activity (Financial Code Sections 22712(a), 22105 and 22337)
Diamond Creek Capital, LLC Las Vegas, Nevada	Consent Order February 28, 2020	Unlawful California Financing Law Activity (Financial Code Sections 22712 and 22107)