

Schedule T - Fiduciary and Related Services
(Trust Departments)
As of March 31, 2020

	(Column A) Managed Assets (000s)	(Column B) Non-Managed Assets (000s)	(Column C) Number of Managed Accounts	(Column D) Number of Non-Managed Accounts	
FIDUCIARY AND RELATED ASSETS					
4. Personal trust and agency accounts.....	8,231,843	715,886	3,996	168	4.
5. Employee benefit and retirement- related trust and agency accounts:					5.
a. Employee benefit - defined contribution...	233,294	566,365	96	118	5.a.
b. Employee benefit - defined benefit.....	168,463	55,452	16	3	5.b.
c. Other employee benefit and retirement-related accounts.....	615,193	168,058	1,106	121	5.c.
6. Corporate trust and agency accounts.....	0	0	0	0	6.
7. Investment management and investment advisory agency accounts.....	3,801,607	24,489	2,013	11	7.
8. Foundation and endowment trust and agency accounts.....	457,086	10,219	282	4	8.
9. Other fiduciary accounts.....	614	2,884	3	3	9.
10. Total fiduciary accounts (sum of items 4 through 9).....	13,508,100	1,543,353	7,512	428	10.
11. Custody and safekeeping accounts.....	0	11,117,198	0	1,116	11.
12. Not applicable					
13. Individual Retirement Accounts, Health Savings Accounts, and other similar accounts (included in items 5c and 11).....	549,469	88,499	1,129	116	13.

Schedule T - Fiduciary and Related Services - Continued (Trust Departments) As of March 31, 2020

Memoranda

	(Column A) Personal Trust and Agency and Investment Management Agency Accounts	(Column B) Employee Benefit and Retirement- Related Trust and Agency Accounts	(Column C) All Other Accounts	
	(000s)	(000s)	(000s)	
1. Managed assets held in fiduciary accounts:				
a. Noninterest-bearing deposits.....	2,625	323	129	M.1.a.
b. Interest-bearing deposits.....	354,628	17,218	14,718	M.1.b.
c. U.S. Treasury and U.S. Government agency obligations.....	526,358	25,716	9,685	M.1.c.
d. State, county and municipal obligations.....	1,709,843	16,304	6,858	M.1.d.
e. Money market mutual funds.....	949,685	61,509	55,248	M.1.e.
f. Equity mutual funds.....	1,260,895	340,067	106,814	M.1.f.
g. Other mutual funds.....	1,266,423	216,564	95,653	M.1.g.
h. Common trust funds and collective investment funds.....	0	0	0	M.1.h.
i. Other short-term obligations.....	41,118	0	0	M.1.i.
j. Other notes and bonds.....	466,668	87,560	25,505	M.1.j.
k. Investments in unregistered funds and private equity investments.....	349,497	300	0	M.1.k.
l. Other common and preferred stocks.....	2,860,389	246,575	142,889	M.1.l.
m. Real estate mortgages.....	63,472	34	0	M.1.m.
n. Real estate.....	739,915	222	1	M.1.n.
o. Miscellaneous assets.....	1,441,930	4,543	200	M.1.o.
p. Total managed assets held in fiduciary accounts (for each column, sum of Memorandum items 1.a through 1.o).....	12,033,446	1,016,935	457,700	M.1.p.
		(Column A) Managed Assets	(Column B) Number of Managed Assets	
q. Investments of managed fiduciary accounts in advised or sponsored mutual funds.....		0	0	M.1.q.

Schedule T - Fiduciary and Related Services - Continued (Trust Departments) As of March 31, 2020

Memoranda (continued)

2. Corporate trust and agency accounts:

	(Column A) Number of Issues	(Column B) Principal Amount Outstanding (000s)	
a. Corporate and municipal trusteeships.....	0	0	M.2.a.
(1) Issues reported in Memoranda 2a. that are in default.....	0	0	M.2.a.1
b. Transfer agent, registrar, paying agent, and other corporate agency...	0		M.2.b.

3. Collective investment funds and common trust funds:

	(Column A) Number of Funds	(Column B) Market Value of Fund Assets (000s)	
a. Domestic equity.....	0	0	M.3.a
b. International/Global equity.....	0	0	M.3.b.
c. Stock/Bond blend.....	0	0	M.3.c.
d. Taxable bond.....	0	0	M.3.d.
e. Municipal bond	0	0	M.3.e.
f. Short term investments/Money market.....	0	0	M.3.f.
g. Specialty/Other.....	0	0	M.3.g.
h. Total collective investment funds (sum of Memorandum items 3.a through 3.g).....	0	0	M.3.h.

P. Trust Business for Which Securities Are on Deposit with the State Treasurer

	(Column A) Court Trusts (000s)	(Column B) Private Trusts (000s)	
P.1. Trust business for which securities are on deposit with the State Treasurer.....	441,127	24,201,025	P.1.
P.2. Less: real estate.....	65,809		P.2.
P.3. Trust business on which security is required.....	375,318	24,201,025	P.3.
P.4. Amount of security required by Sections 1540 and 1541 of the Financial Code.....	3,975	1,200	P.4.
P.5. Market value of securities on deposit with the State Treasurer.....	9,298	4,864	P.5.
P.6. Excess or deficiency; denote negative amount in ().....	5,323	3,664	P.6.

Schedule T - Fiduciary and Related Services - Continued (Trust Departments) As of March 31, 2020

INCOME

FIDUCIARY AND RELATED SERVICES INCOME	(000s)	
14. Personal trust and agency accounts.....	12,674	14.
15. Employee benefit and retirement-related trust and agency accounts:		
a. Employee benefit-defined contribution.....	760	15.a.
b. Employee benefit-defined benefit.....	192	15.b.
c. Other employee benefit and retirement-related accounts.....	1,402	15.c.
16. Corporate trust and agency accounts.....	0	16.
17. Investment management and investment advisory agency accounts.....	5,640	17.
18. Foundation and endowment trust and agency accounts.....	787	18.
19. Other fiduciary accounts.....	0	19.
20. Custody and safekeeping accounts.....	1,919	20.
21. Other fiduciary and related services income.....	104	21.
22. Total gross fiduciary and related services income (sum of items 14 through 21).....	23,478	22.
23. Less: Expenses.....	20,042	23.
24. Less: Net losses from fiduciary and related services.....	55	24.
25. Plus: Intracompany income credits for fiduciary and related services.....	1,546	25.
26. Net fiduciary and related services income.....	4,927	26.

Memoranda	(Column A) Gross Losses Managed Accounts (000s)	(Column B) Gross Losses Non-Managed Accounts (000s)	(Column C) Recoveries (000s)	
4. Fiduciary settlements, surcharges, and other losses				
a. Personal trust and agency accounts.....	453	0	412	M.4.a.
b. Employee benefit and retirement-related trust and agency accounts.....	0	0	0	M.4.b.
c. Investment management and investment advisory agency accounts.....	8	0	0	M.4.c.
d. Other fiduciary accounts and related services.....	4	2	0	M.4.d.
e. Total fiduciary settlements, surcharges, and other losses (sum of Memorandum items 4.a through 4.d) (sum of columns A and B minus column C must equal Schedule T, item 21).....	465	2	412	M.4.e.