

**Schedule T - Fiduciary and Related Services**  
**(Trust Companies)**  
**As of March 31, 2020**

	(Column A) Managed Assets (000s)	(Column B) Non-Managed Assets (000s)	(Column C) Number of Managed Accounts	(Column D) Number of Non-Managed Accounts	
<b>FIDUCIARY AND RELATED ASSETS</b>					
4. Personal trust and agency accounts.....	4,752,224	2,062,568	1,684	495	4.
5. Employee benefit and retirement- related trust and agency accounts:					5.
a. Employee benefit - defined contribution..	54,872	99,646	38	36	5.a.
b. Employee benefit - defined benefit.....	10,720	493,119	5	9	5.b.
c. Other employee benefit and retirement-related accounts.....	391,012	897,423	698	146	5.c.
6. Corporate trust and agency accounts.....	0	1	0	1	6.
7. Investment management and investment advisory agency accounts.....	5,885,442	454,894	1,596	115	7.
8. Foundation and endowment trust and agency accounts.....	876,296	145,971	157	13	8.
9. Other fiduciary accounts.....	0	1,315	0	3	9.
10. Total fiduciary accounts (sum of items 4 through 9).....	11,970,566	4,154,937	4,178	818	10.
11. Custody and safekeeping accounts.....	0	13,819,585	0	335	11.
12. Not applicable					
13. Individual Retirement Accounts, Health Savings Accounts, and other similar accounts (included in items 5c and 11).....	162,597	921,326	331	144	13.

**Schedule T - Fiduciary and Related Services - Continued**  
**(Trust Companies)**  
**As of March 31, 2020**

Memoranda

	(Column A) Personal Trust and Agency and Investment Management Agency Accounts	(Column B) Employee Benefit and Retirement- Related Trust and Agency Accounts	(Column C) All Other Accounts	
	(000s)	(000s)	(000s)	
1. Managed assets held in fiduciary accounts:				
a. Noninterest-bearing deposits.....	-19,937	287	151	M.1.a.
b. Interest-bearing deposits.....	108,299	7,839	2,444	M.1.b.
c. U.S. Treasury and U.S. Government agency obligations.....	269,959	9,526	23,625	M.1.c.
d. State, county and municipal obligations.....	605,951	19,542	22,724	M.1.d.
e. Money market mutual funds.....	761,116	27,766	45,448	M.1.e.
f. Equity mutual funds.....	637,135	78,784	120,655	M.1.f.
g. Other mutual funds.....	431,179	39,793	48,822	M.1.g.
h. Common trust funds and collective investment funds.....	0	0	0	M.1.h.
i. Other short-term obligations.....	60	0	0	M.1.i.
j. Other notes and bonds.....	73,635	7,670	20,879	M.1.j.
k. Investments in unregistered funds and private equity investments.....	38,796	0	0	M.1.k.
l. Other common and preferred stocks.....	4,663,392	249,441	404,326	M.1.l.
m. Real estate mortgages.....	128,329	104	0	M.1.m.
n. Real estate.....	1,184,544	877	15,623	M.1.n.
o. Miscellaneous assets.....	1,746,413	13,163	171,101	M.1.o.
p. Total managed assets held in fiduciary accounts (for each column, sum of Memorandum items 1.a through 1.o).....	10,628,871	454,792	875,798	M.1.p.
		(Column A) Managed Assets	(Column B) Number of Managed Assets	
q. Investments of managed fiduciary accounts in advised or sponsored mutual funds.....		315,626	627	M.1.q.

## Schedule T - Fiduciary and Related Services - Continued (Trust Companies) As of March 31, 2020

Memoranda (continued)

2. Corporate trust and agency accounts:

	(Column A) Number of Issues	(Column B) Principal Amount Outstanding (000s)	
a. Corporate and municipal trusteeships.....	0	0	M.2.a.
(1) Issues reported in Memoranda 2a. that are in default.....	0	0	M.2.a.1
b. Transfer agent, registrar, paying agent, and other corporate agency...	0	0	M.2.b.

3. Collective investment funds and common trust funds:

	(Column A) Number of Funds	(Column B) Market Value of Fund Assets (000s)	
a. Domestic equity.....	0	0	M.3.a.
b. International/Global equity.....	0	0	M.3.b.
c. Stock/Bond blend.....	0	0	M.3.c.
d. Taxable bond.....	0	0	M.3.d.
e. Municipal bond .....	0	0	M.3.e.
f. Short term investments/Money market.....	0	0	M.3.f.
g. Specialty/Other.....	0	0	M.3.g.
h. Total collective investment funds (sum of Memorandum items 3.a through 3.g).....	0	0	M.3.h.

**P. Trust Business for Which Securities Are on Deposit with the State Treasurer**

	(Column A) Court Trusts (000s)	(Column B) Private Trusts (000s)	
P.1. Trust business for which securities are on deposit with the State Treasurer.....	93,637	25,839,720	P.1.
P.2. Less: real estate.....	14,326	0	P.2.
P.3. Trust business on which security is required.....	79,311	25,839,720	P.3.
P.4. Amount of security required by Sections 1540 and 1541 of the Financial Code.....	1,300	550	P.4.
P.5. Market value of securities on deposit with the State Treasurer.....	1,491	610	P.5.
P.6. Excess or deficiency; denote negative amount in ( ).....	191	60	P.6.

## Schedule T - Fiduciary and Related Services - Continued (Trust Companies) As of March 31, 2020

### INCOME

<b>FIDUCIARY AND RELATED SERVICES INCOME</b>	(000s)	
14. Personal trust and agency accounts.....	10,435	14.
15. Employee benefit and retirement-related trust and agency accounts:		
a. Employee benefit-defined contribution.....	186	15.a.
b. Employee benefit-defined benefit.....	112	15.b.
c. Other employee benefit and retirement-related accounts.....	1,110	15.c.
16. Corporate trust and agency accounts.....	0	16.
17. Investment management and investment advisory agency accounts.....	9,570	17.
18. Foundation and endowment trust and agency accounts.....	2,047	18.
19. Other fiduciary accounts.....	1	19.
20. Custody and safekeeping accounts.....	551	20.
21. Other fiduciary and related services income.....	1,971	21.
22. Total gross fiduciary and related services income (sum of items 14 through 21).....	25,983	22.
23. Less: Expenses.....	20,607	23.
24. Less: Net losses from fiduciary and related services.....	507	24.
25. Plus: Intracompany income credits for fiduciary and related services.....	0	25.
26. Net fiduciary and related services income.....	4,869	26.

Memoranda	(Column A) Gross Losses Managed Accounts (000s)	(Column B) Gross Losses Non-Managed Accounts (000s)	(Column C) Recoveries (000s)	
4. Fiduciary settlements, surcharges, and other losses				
a. Personal trust and agency accounts.....	0	0	0	M.4.a.
b. Employee benefit and retirement-related trust and agency accounts.....	0	0	0	M.4.b.
c. Investment management and investment advisory agency accounts.....	507	0	0	M.4.c.
d. Other fiduciary accounts and related services.....	0	0	0	M.4.d.
e. Total fiduciary settlements, surcharges, and other losses (sum of Memorandum items 4.a through 4.d) (sum of columns A and B minus column C must equal Schedule T, item 21).....	507	0	0	M.4.e.