

STATE OF CALIFORNIA
BUSINESS, CONSUMER SERVICES AND HOUSING AGENCY
DEPARTMENT OF BUSINESS OVERSIGHT

File No. 7735

Re: PTX SECURITIES, LLC

ORDER SUMMARILY
REVOKING CERTIFICATE

IN ACCORDANCE WITH SECTION 25242(c)(1) OF THE CORPORATE SECURITIES LAW OF 1968, the Broker-Dealer Certificate issued to the above-named broker-dealer is hereby summarily revoked for non-payment of the renewal fees required by Section 25608(o)(3) of the Corporate Securities Law of 1968.

Dated: January 30, 2020
Sacramento, California

MANUEL P. ALVAREZ
Commissioner of Business Oversight

By _____
Balbiro Kazla
Deputy Commissioner