

Schedule T - Fiduciary and Related Services
(Trust Departments)
As of June 30, 2020

	(Column A) Managed Assets (000s)	(Column B) Non-Managed Assets (000s)	(Column C) Number of Managed Accounts	(Column D) Number of Non-Managed Accounts	
FIDUCIARY AND RELATED ASSETS					
4. Personal trust and agency accounts.....	8,743,428	800,421	3,995	173	4.
5. Employee benefit and retirement- related trust and agency accounts:					5.
a. Employee benefit - defined contribution...	258,917	615,051	94	114	5.a.
b. Employee benefit - defined benefit.....	184,999	62,269	16	3	5.b.
c. Other employee benefit and retirement-related accounts.....	676,377	184,851	1,142	119	5.c.
6. Corporate trust and agency accounts.....	0	0	0	0	6.
7. Investment management and investment advisory agency accounts.....	4,115,574	22,380	1,980	7	7.
8. Foundation and endowment trust and agency accounts.....	499,033	10,908	288	3	8.
9. Other fiduciary accounts.....	616	2,942	3	5	9.
10. Total fiduciary accounts (sum of items 4 through 9).....	14,478,944	1,698,822	7,518	424	10.
11. Custody and safekeeping accounts.....	0	25,594,288	0	45,491	11.
12. Not applicable					
13. Individual Retirement Accounts, Health Savings Accounts, and other similar accounts (included in items 5c and 11).....	604,431	14,455,375	1,130	43,449	13.

Schedule T - Fiduciary and Related Services - Continued (Trust Departments) As of June 30, 2020

Memoranda

	(Column A) Personal Trust and Agency and Investment Management Agency Accounts	(Column B) Employee Benefit and Retirement- Related Trust and Agency Accounts	(Column C) All Other Accounts	
	(000s)	(000s)	(000s)	
1. Managed assets held in fiduciary accounts:				
a. Noninterest-bearing deposits.....	2,374	11	12	M.1.a.
b. Interest-bearing deposits.....	181,989	12,638	12,807	M.1.b.
c. U.S. Treasury and U.S. Government agency obligations.....	448,066	25,696	8,685	M.1.c.
d. State, county and municipal obligations.....	1,752,927	17,002	7,908	M.1.d.
e. Money market mutual funds.....	1,030,604	58,076	38,418	M.1.e.
f. Equity mutual funds.....	1,495,191	399,122	123,616	M.1.f.
g. Other mutual funds.....	1,340,067	222,919	102,545	M.1.g.
h. Common trust funds and collective investment funds.....	0	0	0	M.1.h.
i. Other short-term obligations.....	139,079	0	0	M.1.i.
j. Other notes and bonds.....	516,333	85,934	25,483	M.1.j.
k. Investments in unregistered funds and private equity investments.....	367,944	300	0	M.1.k.
l. Other common and preferred stocks.....	3,395,514	293,744	179,976	M.1.l.
m. Real estate mortgages.....	65,225	33	0	M.1.m.
n. Real estate.....	754,661	222	1	M.1.n.
o. Miscellaneous assets.....	1,369,029	4,595	200	M.1.o.
p. Total managed assets held in fiduciary accounts (for each column, sum of Memorandum items 1.a through 1.o).....	12,859,003	1,120,292	499,651	M.1.p.
		(Column A) Managed Assets	(Column B) Number of Managed Assets	
q. Investments of managed fiduciary accounts in advised or sponsored mutual funds.....		0	0	M.1.q.

Schedule T - Fiduciary and Related Services - Continued (Trust Departments) As of June 30, 2020

Memoranda (continued)

2. Corporate trust and agency accounts:

	(Column A) Number of Issues	(Column B) Principal Amount Outstanding (000s)	
a. Corporate and municipal trusteeships.....	0	0	M.2.a.
(1) Issues reported in Memoranda 2a. that are in default.....	0	0	M.2.a.1
b. Transfer agent, registrar, paying agent, and other corporate agency...	0		M.2.b.

3. Collective investment funds and common trust funds:

	(Column A) Number of Funds	(Column B) Market Value of Fund Assets (000s)	
a. Domestic equity.....	0	0	M.3.a.
b. International/Global equity.....	0	0	M.3.b.
c. Stock/Bond blend.....	0	0	M.3.c.
d. Taxable bond.....	0	0	M.3.d.
e. Municipal bond	0	0	M.3.e.
f. Short term investments/Money market.....	0	0	M.3.f.
g. Specialty/Other.....	0	0	M.3.g.
h. Total collective investment funds (sum of Memorandum items 3.a through 3.g).....	0	0	M.3.h.

P. Trust Business for Which Securities Are on Deposit with the State Treasurer

	(Column A) Court Trusts (000s)	(Column B) Private Trusts (000s)	
P.1. Trust business for which securities are on deposit with the State Treasurer.....	477,390	25,418,975	P.1.
P.2. Less: real estate.....	66,575		P.2.
P.3. Trust business on which security is required.....	410,815	25,418,975	P.3.
P.4. Amount of security required by Sections 1540 and 1541 of the Financial Code.....	4,025	1,200	P.4.
P.5. Market value of securities on deposit with the State Treasurer.....	8,769	4,855	P.5.
P.6. Excess or deficiency; denote negative amount in ().....	4,744	3,655	P.6.

Schedule T - Fiduciary and Related Services - Continued (Trust Departments) As of June 30, 2020

INCOME

FIDUCIARY AND RELATED SERVICES INCOME	(000s)	
14. Personal trust and agency accounts.....	24,889	14.
15. Employee benefit and retirement-related trust and agency accounts:		
a. Employee benefit-defined contribution.....	1,491	15.a.
b. Employee benefit-defined benefit.....	377	15.b.
c. Other employee benefit and retirement-related accounts.....	2,792	15.c.
16. Corporate trust and agency accounts.....	0	16.
17. Investment management and investment advisory agency accounts.....	11,423	17.
18. Foundation and endowment trust and agency accounts.....	1,554	18.
19. Other fiduciary accounts.....	0	19.
20. Custody and safekeeping accounts.....	5,373	20.
21. Other fiduciary and related services income.....	186	21.
22. Total gross fiduciary and related services income (sum of items 14 through 21).....	48,085	22.
23. Less: Expenses.....	42,471	23.
24. Less: Net losses from fiduciary and related services.....	30	24.
25. Plus: Intracompany income credits for fiduciary and related services.....	3,686	25.
26. Net fiduciary and related services income.....	9,270	26.

Memoranda	(Column A) Gross Losses Managed Accounts (000s)	(Column B) Gross Losses Non-Managed Accounts (000s)	(Column C) Recoveries (000s)	
4. Fiduciary settlements, surcharges, and other losses				
a. Personal trust and agency accounts.....	509	1	538	M.4.a.
b. Employee benefit and retirement-related trust and agency accounts.....	0	0	0	M.4.b.
c. Investment management and investment advisory agency accounts.....	13	1	0	M.4.c.
d. Other fiduciary accounts and related services.....	2	43	0	M.4.d.
e. Total fiduciary settlements, surcharges, and other losses (sum of Memorandum items 4.a through 4.d) (sum of columns A and B minus column C must equal Schedule T, item 21).....	524	45	538	M.4.e.