

Schedule T - Fiduciary and Related Services
(Trust Companies)
As of June 30, 2020

	(Column A) Managed Assets (000s)	(Column B) Non-Managed Assets (000s)	(Column C) Number of Managed Accounts	(Column D) Number of Non-Managed Accounts	
FIDUCIARY AND RELATED ASSETS					
4. Personal trust and agency accounts.....	5,291,741	2,237,260	1,667	514	4.
5. Employee benefit and retirement- related trust and agency accounts:					5.
a. Employee benefit - defined contribution..	58,213	107,908	33	35	5.a.
b. Employee benefit - defined benefit.....	11,966	568,067	4	9	5.b.
c. Other employee benefit and retirement-related accounts.....	441,492	1,131,234	698	151	5.c.
6. Corporate trust and agency accounts.....	0	1	0	1	6.
7. Investment management and investment advisory agency accounts.....	6,412,913	470,400	1,610	116	7.
8. Foundation and endowment trust and agency accounts.....	994,761	163,126	159	14	8.
9. Other fiduciary accounts.....	0	1,296	0	3	9.
10. Total fiduciary accounts (sum of items 4 through 9).....	13,211,086	4,679,292	4,171	843	10.
11. Custody and safekeeping accounts.....	3,457	14,339,707	0	349	11.
12. Not applicable					
13. Individual Retirement Accounts, Health Savings Accounts, and other similar accounts (included in items 5c and 11).....	178,176	1,153,168	325	149	13.

Schedule T - Fiduciary and Related Services - Continued
(Trust Companies)
As of June 30, 2020

Memoranda

	(Column A) Personal Trust and Agency and Investment Management Agency Accounts	(Column B) Employee Benefit and Retirement- Related Trust and Agency Accounts	(Column C) All Other Accounts	
	(000s)	(000s)	(000s)	
1. Managed assets held in fiduciary accounts:				
a. Noninterest-bearing deposits.....	7,444	378	2,131	M.1.a.
b. Interest-bearing deposits.....	119,793	6,857	2,510	M.1.b.
c. U.S. Treasury and U.S. Government agency obligations.....	243,035	7,525	27,952	M.1.c.
d. State, county and municipal obligations.....	627,205	19,839	22,900	M.1.d.
e. Money market mutual funds.....	807,057	30,214	49,069	M.1.e.
f. Equity mutual funds.....	778,183	88,403	141,630	M.1.f.
g. Other mutual funds.....	474,644	42,568	50,518	M.1.g.
h. Common trust funds and collective investment funds.....	118	0	0	M.1.h.
i. Other short-term obligations.....	51	0	0	M.1.i.
j. Other notes and bonds.....	75,728	7,586	19,290	M.1.j.
k. Investments in unregistered funds and private equity investments.....	37,502	0	0	M.1.k.
l. Other common and preferred stocks.....	5,392,593	290,807	458,456	M.1.l.
m. Real estate mortgages.....	131,636	101	0	M.1.m.
n. Real estate.....	1,178,451	1,036	15,836	M.1.n.
o. Miscellaneous assets.....	1,821,669	14,321	203,829	M.1.o.
p. Total managed assets held in fiduciary accounts (for each column, sum of Memorandum items 1.a through 1.o).....	11,695,109	509,635	994,121	M.1.p.
		(Column A) Managed Assets	(Column B) Number of Managed Assets	
q. Investments of managed fiduciary accounts in advised or sponsored mutual funds.....		394,807	623	M.1.q.

Schedule T - Fiduciary and Related Services - Continued (Trust Companies) As of June 30, 2020

Memoranda (continued)

2. Corporate trust and agency accounts:

	(Column A) Number of Issues	(Column B) Principal Amount Outstanding (000s)	
a. Corporate and municipal trusteeships.....	0	0	M.2.a.
(1) Issues reported in Memoranda 2a. that are in default.....	0	0	M.2.a.1
b. Transfer agent, registrar, paying agent, and other corporate agency...	0	0	M.2.b.

3. Collective investment funds and common trust funds:

	(Column A) Number of Funds	(Column B) Market Value of Fund Assets (000s)	
a. Domestic equity.....	0	0	M.3.a.
b. International/Global equity.....	0	0	M.3.b.
c. Stock/Bond blend.....	0	0	M.3.c.
d. Taxable bond.....	0	0	M.3.d.
e. Municipal bond	0	0	M.3.e.
f. Short term investments/Money market.....	0	0	M.3.f.
g. Specialty/Other.....	0	0	M.3.g.
h. Total collective investment funds (sum of Memorandum items 3.a through 3.g).....	0	0	M.3.h.

P. Trust Business for Which Securities Are on Deposit with the State Treasurer

	(Column A) Court Trusts (000s)	(Column B) Private Trusts (000s)	
P.1. Trust business for which securities are on deposit with the State Treasurer.....	96,973	32,058,737	P.1.
P.2. Less: real estate.....	13,969	0	P.2.
P.3. Trust business on which security is required.....	83,004	32,058,737	P.3.
P.4. Amount of security required by Sections 1540 and 1541 of the Financial Code.....	1,300	550	P.4.
P.5. Market value of securities on deposit with the State Treasurer.....	1,521	1,221	P.5.
P.6. Excess or deficiency; denote negative amount in ().....	221	671	P.6.

Schedule T - Fiduciary and Related Services - Continued (Trust Companies) As of June 30, 2020

INCOME

FIDUCIARY AND RELATED SERVICES INCOME	(000s)	
14. Personal trust and agency accounts.....	20,533	14.
15. Employee benefit and retirement-related trust and agency accounts:		
a. Employee benefit-defined contribution.....	359	15.a.
b. Employee benefit-defined benefit.....	204	15.b.
c. Other employee benefit and retirement-related accounts.....	2,174	15.c.
16. Corporate trust and agency accounts.....	0	16.
17. Investment management and investment advisory agency accounts.....	19,361	17.
18. Foundation and endowment trust and agency accounts.....	4,013	18.
19. Other fiduciary accounts.....	1	19.
20. Custody and safekeeping accounts.....	1,155	20.
21. Other fiduciary and related services income.....	3,939	21.
22. Total gross fiduciary and related services income (sum of items 14 through 21).....	51,739	22.
23. Less: Expenses.....	41,807	23.
24. Less: Net losses from fiduciary and related services.....	539	24.
25. Plus: Intracompany income credits for fiduciary and related services.....	0	25.
26. Net fiduciary and related services income.....	9,393	26.

Memoranda	(Column A) Gross Losses Managed Accounts (000s)	(Column B) Gross Losses Non-Managed Accounts (000s)	(Column C) Recoveries (000s)	
4. Fiduciary settlements, surcharges, and other losses				
a. Personal trust and agency accounts.....	9	0	0	M.4.a.
b. Employee benefit and retirement-related trust and agency accounts.....	0	0	0	M.4.b.
c. Investment management and investment advisory agency accounts.....	530	0	0	M.4.c.
d. Other fiduciary accounts and related services.....	0	0	0	M.4.d.
e. Total fiduciary settlements, surcharges, and other losses (sum of Memorandum items 4.a through 4.d) (sum of columns A and B minus column C must equal Schedule T, item 21).....	539	0	0	M.4.e.