

Schedule T - Fiduciary and Related Services
(Trust Departments)
As of September 30, 2020

	(Column A) Managed Assets (000s)	(Column B) Non-Managed Assets (000s)	(Column C) Number of Managed Accounts	(Column D) Number of Non-Managed Accounts	
FIDUCIARY AND RELATED ASSETS					
4. Personal trust and agency accounts.....	8,987,846	730,271	3,980	171	4.
5. Employee benefit and retirement- related trust and agency accounts:					5.
a. Employee benefit - defined contribution...	275,970	642,944	97	115	5.a.
b. Employee benefit - defined benefit.....	192,247	65,293	15	3	5.b.
c. Other employee benefit and retirement-related accounts.....	708,992	202,099	1,146	119	5.c.
6. Corporate trust and agency accounts.....	0	0	0	0	6.
7. Investment management and investment advisory agency accounts.....	4,240,601	22,881	1,966	7	7.
8. Foundation and endowment trust and agency accounts.....	509,304	15,735	293	4	8.
9. Other fiduciary accounts.....	615	2,918	3	2	9.
10. Total fiduciary accounts (sum of items 4 through 9).....	14,915,575	1,682,141	7,500	421	10.
11. Custody and safekeeping accounts.....	0	26,153,032	0	45,362	11.
12. Not applicable					
13. Individual Retirement Accounts, Health Savings Accounts, and other similar accounts (included in items 5c and 11).....	635,183	15,110,497	1,135	43,352	13.

Schedule T - Fiduciary and Related Services - Continued (Trust Departments) As of September 30, 2020

Memoranda

	(Column A) Personal Trust and Agency and Investment Management Agency Accounts	(Column B) Employee Benefit and Retirement- Related Trust and Agency Accounts	(Column C) All Other Accounts	
	(000s)	(000s)	(000s)	
1. Managed assets held in fiduciary accounts:				
a. Noninterest-bearing deposits.....	689	789	194	M.1.a.
b. Interest-bearing deposits.....	191,019	13,571	10,064	M.1.b.
c. U.S. Treasury and U.S. Government agency obligations.....	443,427	25,353	6,035	M.1.c.
d. State, county and municipal obligations.....	1,789,098	17,406	7,433	M.1.d.
e. Money market mutual funds.....	811,378	54,495	44,611	M.1.e.
f. Equity mutual funds.....	1,591,901	423,248	125,541	M.1.f.
g. Other mutual funds.....	1,465,608	241,992	107,733	M.1.g.
h. Common trust funds and collective investment funds.....	0	0	0	M.1.h.
i. Other short-term obligations.....	147,025	0	0	M.1.i.
j. Other notes and bonds.....	550,577	84,086	24,169	M.1.j.
k. Investments in unregistered funds and private equity investments.....	434,732	0	0	M.1.k.
l. Other common and preferred stocks.....	3,612,054	315,834	183,937	M.1.l.
m. Real estate mortgages.....	74,207	14	0	M.1.m.
n. Real estate.....	781,029	176	1	M.1.n.
o. Miscellaneous assets.....	1,335,705	243	200	M.1.o.
p. Total managed assets held in fiduciary accounts (for each column, sum of Memorandum items 1.a through 1.o).....	13,228,449	1,177,207	509,918	M.1.p.
		(Column A) Managed Assets	(Column B) Number of Managed Assets	
q. Investments of managed fiduciary accounts in advised or sponsored mutual funds.....		0	0	M.1.q.

Schedule T - Fiduciary and Related Services - Continued
(Trust Departments)
As of September 30, 2020

Memoranda (continued)

2. Corporate trust and agency accounts:

	(Column A) Number of Issues	(Column B) Principal Amount Outstanding (000s)	
a. Corporate and municipal trusteeships.....	0	0	M.2.a.
(1) Issues reported in Memoranda 2a. that are in default.....	0	0	M.2.a.1
b. Transfer agent, registrar, paying agent, and other corporate agency...	0		M.2.b.

3. Collective investment funds and common trust funds:

	(Column A) Number of Funds	(Column B) Market Value of Fund Assets (000s)	
a. Domestic equity.....	0	0	M.3.a
b. International/Global equity.....	0	0	M.3.b.
c. Stock/Bond blend.....	0	0	M.3.c.
d. Taxable bond.....	0	0	M.3.d.
e. Municipal bond	0	0	M.3.e.
f. Short term investments/Money market.....	0	0	M.3.f.
g. Specialty/Other.....	0	0	M.3.g.
h. Total collective investment funds (sum of Memorandum items 3.a through 3.g).....	0	0	M.3.h.

P. Trust Business for Which Securities Are on Deposit with the State Treasurer

	(Column A) Court Trusts (000s)	(Column B) Private Trusts (000s)	
P.1. Trust business for which securities are on deposit with the State Treasurer.....	486,834	24,588,409	P.1.
P.2. Less: real estate.....	64,708		P.2.
P.3. Trust business on which security is required.....	422,126	24,588,409	P.3.
P.4. Amount of security required by Sections 1540 and 1541 of the Financial Code.....	4,075	1,200	P.4.
P.5. Market value of securities on deposit with the State Treasurer.....	8,413	4,674	P.5.
P.6. Excess or deficiency; denote negative amount in ().....	4,338	3,474	P.6.

Schedule T - Fiduciary and Related Services - Continued (Trust Departments) As of September 30, 2020

INCOME

FIDUCIARY AND RELATED SERVICES INCOME	(000s)	
14. Personal trust and agency accounts.....	37,801	14.
15. Employee benefit and retirement-related trust and agency accounts:		
a. Employee benefit-defined contribution.....	2,175	15.a.
b. Employee benefit-defined benefit.....	547	15.b.
c. Other employee benefit and retirement-related accounts.....	4,267	15.c.
16. Corporate trust and agency accounts.....	0	16.
17. Investment management and investment advisory agency accounts.....	17,293	17.
18. Foundation and endowment trust and agency accounts.....	2,392	18.
19. Other fiduciary accounts.....	0	19.
20. Custody and safekeeping accounts.....	13,524	20.
21. Other fiduciary and related services income.....	318	21.
22. Total gross fiduciary and related services income (sum of items 14 through 21).....	78,317	22.
23. Less: Expenses.....	70,769	23.
24. Less: Net losses from fiduciary and related services.....	208	24.
25. Plus: Intracompany income credits for fiduciary and related services.....	5,897	25.
26. Net fiduciary and related services income.....	13,237	26.

Memoranda	(Column A) Gross Losses Managed Accounts (000s)	(Column B) Gross Losses Non-Managed Accounts (000s)	(Column C) Recoveries (000s)	
4. Fiduciary settlements, surcharges, and other losses				
a. Personal trust and agency accounts.....	634	1	541	M.4.a.
b. Employee benefit and retirement-related trust and agency accounts.....	7	0	0	M.4.b.
c. Investment management and investment advisory agency accounts.....	18	1	0	M.4.c.
d. Other fiduciary accounts and related services.....	2	94	8	M.4.d.
e. Total fiduciary settlements, surcharges, and other losses (sum of Memorandum items 4.a through 4.d) (sum of columns A and B minus column C must equal Schedule T, item 21).....	661	96	549	M.4.e.