

1 MARY ANN SMITH
Deputy Commissioner
2 Department of Financial Protection and Innovation
320 West 4th Street, Suite 750
3 Los Angeles, California 90013
4 Attorney for Complainant

5 BEFORE THE DEPARTMENT OF FINANCIAL PROTECTION AND INNOVATION
6 OF THE STATE OF CALIFORNIA
7

8 In the Matter of:) CFL LICENSE NO.: 60DBO-103914
9)
10 THE COMMISSIONER OF FINANCIAL)
PROTECTION AND INNOVATION,)
11)
Complainant,)
12) NOTICE OF INTENTION TO ISSUE ORDER
v.) REVOKING CALIFORNIA FINANCING
13) LAW LICENSE
14 TRI-VALLEY MORTGAGE INC.,)
Respondent.)
15)
16)

17 Pursuant to section 22714 of the California Financing Law (Fin. Code, § 22000 et seq.),
18 notice is hereby given of the intention of the Commissioner of Financial Protection and Innovation
19 to enter an Order to revoke Respondent, Tri-Valley Mortgage Inc.’s finance lender and/or broker
20 license.

21 The attached Accusation, which is incorporated herein by this reference, states the reasons
22 for the intended Order.

23 Unless a request for hearing, as evidenced by the mailing or delivery of the Notice of
24 Defense, is received within 15 days after the Accusation was personally served upon you or mailed
25 to you, such Order may be entered at any time thereafter without a hearing.
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Dated: January 8, 2021
Los Angeles, California

MANUEL P. ALVAREZ
Commissioner of Financial Protection and Innovation

By _____
ADEOLA TANIMOJO
Special Administrator
California Financing Law